FY 2011 CONGRESSIONAL SUBMISSION
<table>
<thead>
<tr>
<th>Exhibit</th>
<th>Page No.</th>
</tr>
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<td>Organizational Chart OIG - 1</td>
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<td>General Statement OIG - 3</td>
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<td>Performance Goals and Measures OIG - 5</td>
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<td>Program Change Detail by Object Class OIG -35</td>
</tr>
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<td>16</td>
<td>Summary of Requirements by Object Class OIG -39</td>
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<td>Detailed Requirements by Object Class OIG -41</td>
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<td>31</td>
<td>Appropriation Summary Statement OIG -49</td>
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<tr>
<td>34</td>
<td>Consulting and Related Services OIG -53</td>
</tr>
<tr>
<td>35</td>
<td>Periodicals, Pamphlets and Audiovisual Services OIG -55</td>
</tr>
<tr>
<td>36</td>
<td>Average Grade and Salaries OIG -57</td>
</tr>
<tr>
<td>40</td>
<td>Inspector General Reform Act of 2008 Reporting Requirements OIG -59</td>
</tr>
</tbody>
</table>
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General Statement

The Office of Inspector General has the mission of providing a unique, independent voice to the Secretary of Commerce and senior managers, and Congress, in combating fraud, waste, abuse, and mismanagement, and in improving the efficiency, effectiveness, and economy of Department operations. The Office has authority to inquire into all program and administrative activities of the Department, including individuals or organizations performing under contracts, grants, cooperative agreements, and other financial assistance awards. The Inspector General Act of 1978, as amended, and other legislation authorize the specific functions and programs that make up these broad activities.

For FY 2011, OIG will continue to focus its work on the Department’s efforts to address the major challenges we have identified. OIG will initiate oversight of Departmental contracting and procurement, and will continue oversight of the Department’s implementation of the American Recovery and Reinvestment Act of 2009. Other critical areas of focus will be the U.S. Patent and Trademark Office’s growing work backlog and funding shortfall, the National Telecommunications and Information Administration’s implementation of the Public Safety Interoperable Communications program, and the Department’s efforts to promote international trade and domestic commerce, protect marine resources, and ensure the safety and security of its people, facilities, and operations worldwide. The Office will monitor preparations for the FY 2020 decennial census to avoid problems encountered in the FY 2010 decennial census.
### Exhibit 3

#### (Dollar amounts in thousands)

<table>
<thead>
<tr>
<th>Appropriation</th>
<th>2010 Estimate</th>
<th>2011 Estimate</th>
<th>Inc. (+) or Dec. (-)</th>
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</thead>
<tbody>
<tr>
<td></td>
<td>Positions</td>
<td>Amount</td>
<td>Positions</td>
</tr>
<tr>
<td>Office of Inspector General Pos./Appr.</td>
<td>186</td>
<td>27,000</td>
<td>150</td>
</tr>
<tr>
<td>Total, Office of Inspector General Pos./Appr.</td>
<td>186</td>
<td>27,000</td>
<td>150</td>
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</table>
Department of Commerce  
Office of Inspector General  
FY 2011 Performance Goals and Measures

Mission

The mission of the Office of Inspector General is to promote economy, efficiency, and effectiveness in the programs and operations of the Department of Commerce, and detect and prevent waste, fraud, abuse, and mismanagement in those programs and operations. OIG proposes innovative ideas and constructive solutions that lead to positive internal and external changes for the Department. OIG provides timely, useful, and reliable information and advice to Commerce officials, the Administration, Congress, and the public to improve the Department's management, operations, and delivery of services.

Corresponding DOC Strategic Goal, Objective / Outcome

Management Integration Goal: Achieve Organizational and Management Excellence

As the Department of Commerce works to accomplish its mission, the Office of Inspector General speaks with a unique, independent voice to the Secretary and other Commerce leaders, as well as to Congress, in keeping with its mandate to promote integrity, efficiency, and effectiveness and prevent and detect waste, fraud, and abuse in Department programs and operations. The work is primarily accomplished through audits, inspections, evaluations, investigations, and a variety of other activities geared toward averting problems. OIG strives to: perform high-quality, timely work; concentrate its efforts on the Department’s most critical programs, operations, challenges, and vulnerabilities; and achieve results that allow government funds to be put to better use and address criminal, civil, and other wrongdoing.

The Department uses reviews and reports generated by OIG to evaluate activities of the Department related to this goal and its achievement of performance targets consistent with the FY 2007 – FY 2012 Strategic Plan.

OIG performs its activities in accordance with the General Accountability Office’s (GAO’s) Government Auditing Standards and the President’s Council on Integrity and Efficiency’s (PCIE) Quality Standards for Inspections and Program Evaluations. OIG audit and investigations programs are subject to external peer reviews conducted under guidelines issued by PCIE and are designed to evaluate compliance with applicable standards. In accordance with the Inspector General Reform Act of 2009 (P.L. 110-409), PCIE was replaced by the Council of the Inspectors General on Integrity and Efficiency (CIGIE).

Mitigating Strategies: A variety of external factors may affect OIG’s ability to reach its targets. Key among these is the ability to hire well-qualified staff, and acquire support staff.

OIG - 5
**Impact of Recovery Act**

In FY 2009 OIG received $10 million in Recovery Act funds to provide oversight of the Department’s Broadband Technology Opportunities Program, and an additional $6 million to provide oversight for other Departmental programs receiving Recovery Act funding. Specific activities and goals associated with the use of these funds are currently under development.

**Priorities / Management Challenges**

Recovery Act requirements have significantly affected OIG’s priorities. While the Recovery Act provided substantial financial resources, prompt implementation of Recovery Act activities has significantly reduced the number of OIG staff to conduct other normal assignments. OIG’s immediate challenge is the expedited hiring of qualified personnel, both to assist with Recovery Act responsibilities and to discharge OIG’s recurring duties.
## Targets and Performance Summary / FY 2011 Target Description / Measure Descriptions / Validation and Verification

### Outcome 1 – Promote improvements to Department programs and operations by identifying and completing work that (1) promotes integrity, efficiency, and effectiveness; and (2) prevents and detects fraud, waste, and abuse.

**Measure 1a: Percentage of OIG recommendations accepted by Departmental and bureau management.**

<table>
<thead>
<tr>
<th>Outcome</th>
<th>FY 2006 Actual</th>
<th>FY 2007 Actual</th>
<th>FY 2008 Actual</th>
<th>FY 2009 Actual</th>
<th>FY 2010 Target</th>
<th>FY 2011 Target</th>
</tr>
</thead>
<tbody>
<tr>
<td>Targets based on original Amount</td>
<td>96%</td>
<td>96%</td>
<td>99%</td>
<td>97%</td>
<td>95%</td>
<td>95%</td>
</tr>
<tr>
<td>Increase in performance as a result of Recovery Act Funds</td>
<td>N/A</td>
<td>N/A</td>
<td>N/A</td>
<td>N/A</td>
<td>TBD</td>
<td>TBD</td>
</tr>
<tr>
<td>Total Adjusted Targets reflecting original and Recovery Act funds</td>
<td>N/A</td>
<td>N/A</td>
<td>N/A</td>
<td>N/A</td>
<td>TBD</td>
<td>TBD</td>
</tr>
</tbody>
</table>

**Description:** Many of the improvements to Commerce operations and programs come through recommendations made in various OIG work products. A measure of OIG’s effectiveness is the extent to which it offers useful, practical recommendations for improvements. A measure of the usefulness and practicality of OIG’s recommendations is the extent to which they are accepted by Commerce management.

**Comments on Changes to Targets:** None.

**Impact of Recovery Act:** Impacts of the Recovery Act are still under review.

**Relevant Program Change(s):**

**Title:** Acquisition and Contracts Oversight

<table>
<thead>
<tr>
<th>Validation and Verification</th>
</tr>
</thead>
<tbody>
<tr>
<td>Data Source</td>
</tr>
<tr>
<td>OIG audit and inspection process</td>
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</tbody>
</table>

Exhibit 13 Page # N/A
Outcome 1 – Promote improvements to Department programs and operations by identifying and completing work that (1) promotes integrity, efficiency, and effectiveness; and (2) prevents and detects fraud, waste, and abuse.

<table>
<thead>
<tr>
<th>Measure 1b: Dollar value of financial benefits identified by OIG.</th>
<th>FY 2006 Actual</th>
<th>FY 2007 Actual</th>
<th>FY 2008 Actual</th>
<th>FY 2009 Actual</th>
<th>FY 2010 Target</th>
<th>FY 2011 Target</th>
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</thead>
<tbody>
<tr>
<td>Targets based on original Amount</td>
<td>$34,200,000</td>
<td>$51,700,000</td>
<td>$113,877,000</td>
<td>$126,935,000</td>
<td>$38,000,000</td>
<td>$39,000,000</td>
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<tr>
<td>Increase in performance as a result of Recovery Act Funds</td>
<td>N/A</td>
<td>N/A</td>
<td>N/A</td>
<td>N/A</td>
<td>TBD</td>
<td>TBD</td>
</tr>
<tr>
<td>Total Adjusted Targets reflecting original and Recovery Act funds</td>
<td>N/A</td>
<td>N/A</td>
<td>N/A</td>
<td>N/A</td>
<td>TBD</td>
<td>TBD</td>
</tr>
</tbody>
</table>

**Description:** A key measure of the value of OIG’s work is its dollar return on investment. Financial benefits include: (1) questioned costs agreed to by management, (2) funds put to better use, and (3) administrative, civil, and criminal recoveries.

**Comments on Changes to Targets:** None.

**Impact of Recovery Act:** Impacts of Recovery Act are under review.

**Relevant Program Change(s):**

| Title: | Acquisition and Contracts Oversight | Exhibit 13 Page #: | N/A |

**Validation and Verification**

<table>
<thead>
<tr>
<th>Data Source</th>
<th>Frequency</th>
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<th>Internal Control Procedures</th>
<th>Data Limitations</th>
<th>Actions to be Taken</th>
</tr>
</thead>
<tbody>
<tr>
<td>OIG audit and inspection process</td>
<td>As conducted</td>
<td>OIG files</td>
<td>OIG review</td>
<td>None</td>
<td>Continue collecting data</td>
</tr>
</tbody>
</table>
**Outcome 1 – Promote improvements to Department programs and operations by identifying and completing work that (1) promotes integrity, efficiency, and effectiveness; and (2) prevents and detects fraud, waste, and abuse.**

<table>
<thead>
<tr>
<th>Measure 1c: Percentage of criminal and civil matters accepted for prosecution.</th>
<th>FY 2006 Actual</th>
<th>FY 2007 Actual</th>
<th>FY 2008 Actual</th>
<th>FY 2009 Actual</th>
<th>FY 2010 Target</th>
<th>FY 2011 Target</th>
</tr>
</thead>
<tbody>
<tr>
<td>Targets based on original Amount</td>
<td>91%</td>
<td>73%</td>
<td>78%</td>
<td>75%</td>
<td>75%</td>
<td>75%</td>
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<tr>
<td>Increase in performance as a result of Recovery Act Funds</td>
<td>N/A</td>
<td>N/A</td>
<td>N/A</td>
<td>TBD</td>
<td>TBD</td>
<td>TBD</td>
</tr>
<tr>
<td>Total Adjusted Targets reflecting original and Recovery Act funds</td>
<td>N/A</td>
<td>N/A</td>
<td>N/A</td>
<td>TBD</td>
<td>TBD</td>
<td>TBD</td>
</tr>
</tbody>
</table>

**Description:** OIG investigative work that helps prevent waste, fraud and abuse results in either civil or criminal legal issues that are referred for prosecution. Thus, the percentage of investigative work that results in civil or criminal referrals for prosecution is a measure of the quality of OIG investigative work.

**Comments on Changes to Targets:** None

**Impact of Recovery Act:** Impacts of Recovery Act are under review.

<table>
<thead>
<tr>
<th>Relevant Program Change(s):</th>
<th>Title: Acquisition and Contracts Oversight</th>
<th>Exhibit 13 Page no: N/A</th>
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### Validation and Verification

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<th>Internal Control Procedures</th>
<th>Data Limitations</th>
<th>Actions to be Taken</th>
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<tbody>
<tr>
<td>OIG audit and inspection process</td>
<td>As conducted</td>
<td>OIG files</td>
<td>OIG review</td>
<td>None.</td>
<td>Continue collecting data.</td>
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</tbody>
</table>

**Recovery Act – new metrics, consistent with section 2.h of OMB Memorandum M-09-10**

Recovery Act goals are under development.
## FY 2011 Program Changes

<table>
<thead>
<tr>
<th>APP Page #</th>
<th>Accompanying Performance Measure #</th>
<th>Base</th>
<th>Increase/Decrease</th>
<th>Page # of Exhibit 13</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td>Program Change: Acquisition and Contract Oversight</td>
<td>FTE</td>
<td>Amount</td>
<td>$819,000</td>
</tr>
</tbody>
</table>

| | FTE | Amount | FTE | Amount |
| | 0   | 0      | 8   | $819,000 |

Page 3 of Exhibit 13

OIG - 10
## Resource Requirements Summary

(Dollar amounts in thousands)

<table>
<thead>
<tr>
<th></th>
<th></th>
<th></th>
<th></th>
<th></th>
<th></th>
<th></th>
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</thead>
<tbody>
<tr>
<td>Original Funding</td>
<td>22,667</td>
<td>22,592</td>
<td>25,020</td>
<td>28,062</td>
<td>36,794</td>
<td>32,006</td>
<td>1,213</td>
<td>33,219</td>
</tr>
<tr>
<td>Direct</td>
<td>22,467</td>
<td>20,392</td>
<td>25,020</td>
<td>27,224</td>
<td>28,383</td>
<td>28,181</td>
<td>1,213</td>
<td>29,394</td>
</tr>
<tr>
<td>Reimbursable</td>
<td>200</td>
<td>0</td>
<td>0</td>
<td>838</td>
<td>8,411</td>
<td>3,825</td>
<td>0</td>
<td>3,825</td>
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<tr>
<td>Recovery Act Funding</td>
<td>N/A</td>
<td>N/A</td>
<td>N/A</td>
<td>61</td>
<td>15,939</td>
<td>0</td>
<td>0</td>
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<tr>
<td>Direct</td>
<td>N/A</td>
<td>N/A</td>
<td>N/A</td>
<td>61</td>
<td>15,939</td>
<td>0</td>
<td>0</td>
<td>0</td>
</tr>
<tr>
<td>Reimbursable</td>
<td>N/A</td>
<td>N/A</td>
<td>N/A</td>
<td>0</td>
<td>0</td>
<td>0</td>
<td>0</td>
<td>0</td>
</tr>
<tr>
<td>Total Funding</td>
<td>22,667</td>
<td>22,592</td>
<td>25,020</td>
<td>28,123</td>
<td>52,733</td>
<td>32,006</td>
<td>1,213</td>
<td>33,219</td>
</tr>
<tr>
<td>Direct</td>
<td>22,467</td>
<td>22,592</td>
<td>25,020</td>
<td>27,285</td>
<td>44,322</td>
<td>28,181</td>
<td>1,213</td>
<td>29,394</td>
</tr>
<tr>
<td>Reimbursable</td>
<td>200</td>
<td>0</td>
<td>0</td>
<td>838</td>
<td>8,411</td>
<td>3,825</td>
<td>0</td>
<td>3,825</td>
</tr>
<tr>
<td>FTE</td>
<td>138</td>
<td>124</td>
<td>113</td>
<td>117</td>
<td>181</td>
<td>149</td>
<td>8</td>
<td>157</td>
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<tr>
<td>OIG FTE</td>
<td>138</td>
<td>124</td>
<td>113</td>
<td>114</td>
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<td>157</td>
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<td>Recovery Act FTE</td>
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<td>36</td>
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</table>
### Summary of Resource Requirements

(Dollar amounts in thousands)

<table>
<thead>
<tr>
<th></th>
<th>2009 Actual</th>
<th>2010 Currently Available</th>
<th>2011 Base</th>
<th>2011 Estimate</th>
<th>Increase/ (Decrease)</th>
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<tbody>
<tr>
<td></td>
<td>Personnel</td>
<td>Amount</td>
<td>Personnel</td>
<td>Amount</td>
<td>Personnel</td>
</tr>
<tr>
<td>Inspector General</td>
<td>154</td>
<td>41,800</td>
<td>186</td>
<td>27,000</td>
<td>28,181</td>
</tr>
<tr>
<td>FTE/Obl.</td>
<td>112</td>
<td>27,285</td>
<td>181</td>
<td>44,322</td>
<td>149</td>
</tr>
<tr>
<td>Totals</td>
<td>154</td>
<td>41,800</td>
<td>186</td>
<td>27,000</td>
<td>28,181</td>
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<tr>
<td>FTE/Obl.</td>
<td>112</td>
<td>27,285</td>
<td>181</td>
<td>44,322</td>
<td>149</td>
</tr>
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</table>

Adjustments to Obligations

<table>
<thead>
<tr>
<th></th>
<th>Personnel</th>
<th>Amount</th>
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<tbody>
<tr>
<td>Recoveries</td>
<td>0</td>
<td>0</td>
</tr>
<tr>
<td>Unobligated Balance, start of year</td>
<td>0</td>
<td>0</td>
</tr>
<tr>
<td>Unobligated Balance transferred</td>
<td>0</td>
<td>0</td>
</tr>
<tr>
<td>Unobligated Balance, end of year</td>
<td>0</td>
<td>0</td>
</tr>
<tr>
<td>Unobligated Balance expiring</td>
<td>0</td>
<td>0</td>
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Financing from transfers:

<table>
<thead>
<tr>
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<th>Personnel</th>
<th>Amount</th>
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</thead>
<tbody>
<tr>
<td>Transfer from other accounts (-)</td>
<td>0</td>
<td>0</td>
</tr>
<tr>
<td>Transfer to other accounts (+)</td>
<td>0</td>
<td>0</td>
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</table>

Appropriation

<table>
<thead>
<tr>
<th></th>
<th>Personnel</th>
<th>Amount</th>
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<tr>
<td></td>
<td>31,800</td>
<td>27,000</td>
</tr>
<tr>
<td></td>
<td>28,181</td>
<td>29,394</td>
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</table>

OIG - 13
### SUMMARY OF FINANCING

(Dollar amounts in thousands)

<table>
<thead>
<tr>
<th></th>
<th>2009 Actual</th>
<th>2010 Currently Available</th>
<th>2011 Base</th>
<th>2011 Estimate</th>
<th>Increase/ (Decrease) Over 2011 Base</th>
</tr>
</thead>
<tbody>
<tr>
<td>Total Obligations</td>
<td>28,123</td>
<td>52,733</td>
<td>32,006</td>
<td>33,219</td>
<td>1,213</td>
</tr>
</tbody>
</table>

**Offsetting Collections from:**

<p>| | | | | | |</p>
<table>
<thead>
<tr>
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<th></th>
<th></th>
<th></th>
<th></th>
<th></th>
</tr>
</thead>
<tbody>
<tr>
<td>Federal Funds</td>
<td>(838)</td>
<td>(6,411)</td>
<td>(3,825)</td>
<td>(3,825)</td>
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<td>Unobligated balance, start of year</td>
<td>(2,902)</td>
<td>(19,322)</td>
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<tr>
<td>Unobligated balance transferred</td>
<td>0</td>
<td>0</td>
<td>0</td>
<td>0</td>
<td>0</td>
</tr>
<tr>
<td>Unobligated balance, end of year</td>
<td>19,322</td>
<td>0</td>
<td>0</td>
<td>0</td>
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<tr>
<td>Unobligated balance expiring</td>
<td>95</td>
<td>0</td>
<td>0</td>
<td>0</td>
<td>0</td>
</tr>
</tbody>
</table>

**Budget Authority**

|                      | 43,800      | 27,000                   | 28,181    | 29,394        | 1,213                               |

**Financing:**

<p>| | | | | | |</p>
<table>
<thead>
<tr>
<th></th>
<th></th>
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</tr>
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<tbody>
<tr>
<td>Transfer from other accounts (-)</td>
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<td>0</td>
<td>0</td>
<td>0</td>
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<tr>
<td>Transfer to other accounts (+)</td>
<td>0</td>
<td>0</td>
<td>0</td>
<td>0</td>
<td>0</td>
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</tbody>
</table>

**Appropriation**

|                      | 31,800      | 27,000                   | 28,181    | 29,394        | 1,213                               |
### Department of Commerce
### Office of Inspector General
### Salaries and Expenses
### ADJUSTMENTS TO BASE
(Dollar amounts in thousands)

<table>
<thead>
<tr>
<th>Description</th>
<th>FTE</th>
<th>Amount</th>
</tr>
</thead>
<tbody>
<tr>
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Department of Commerce
Office of Inspector General
Salaries and Expenses
JUSTIFICATION FOR ADJUSTMENTS TO BASE
(Dollar amounts in thousands)

Pay Raises:

Annualization of FY 2010 Pay Raise

A pay raise of 2.00% was effective January 1, 2010.

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Total cost in FY 2011 of FY 2010 pay raise ............... 368,000
Less amount funded in FY 2010 ......................... (276,000)
Amount requested in FY 2011 to provide for full-year cost of FY 2010 pay raise 92,000

FY 2011 Pay Raise and Related Costs

A general pay raise of 1.4% is assumed to be effective January 2011.

Total cost in FY 2011 of January 2011 pay raise 196,000
Payment to Working Capital Fund…. 9,000
Amount requested in FY 2011 for FY 2011 pay raises 205,000

Adjustment to base ...

An increase of $431,000 is required to fund the full-year cost in 2011 of positions financed for part-year in 2010. The computation follows:

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Annual salary of new positions in 2010 725,597
Less 5 percent lapse (36,280)
Full-year cost of personnel compensation 689,317
Less personnel compensation in 2011 (362,799)
Cost of personnel compensation in 2011 326,518
Adjustment for 2011 pay raise (.014 x .75 x 326,518) 3,428
Add’l amount required for personnel compensation 329,946
Benefits 100,139
Total adjustments-to-base 430,085

Civil Service Retirement System (CSRS).

The number of employees covered by CSRS continues to drop as positions become vacant and are filled by employees who are covered by the Federal
Employees Retirement System (FERS).

The estimated percentage of payroll for employees covered by CSRS will decrease from 30.10% in FY 2010 to 19.80% in FY 2011 for regular employees and will remain at 0% for law enforcement employees.

The contribution rates for regular employees and law enforcement employees will remain at 7.00% for regular employees. OIG has no law enforcement personnel participating in CSRS.

Regular employees:
FY 2011 ($13,319,408 x .1980 x .0700) 184,607
FY 2010 ($13,319,408 x .3010 x .0700) 280,640
Total adjustment to base (96,033)

Federal Employees Retirement System

The estimated percentage of payroll for regular employees covered by FERS is 69.90% in FY 2010 and 80.20% in FY 2011. The estimated percentage of payroll for law enforcement employees covered by FERS will remain at 100% in FY 2010 and FY 2011. The estimated contribution rates for FY 2010 will increase in FY 2011 for regular employees from 11.20% to 11.70% and from 24.90% to 25.40% for law enforcement employees.

Regular employees:
FY 2011 ($13,319,408 x .8020 x .1170) 1,249,813
FY 2010 ($13,319,408 x .6990 x .1120) 1,042,750
Subtotal 207,063

Law enforcement employees:
FY 2011 ($1,981,503 x 1.00 x .2570) 509,246
FY 2010 ($1,981,503 x 1.00 x .2490) 493,394
Subtotal 15,852

Total adjustment to base 222,915

Federal Insurance Contributions Act (FICA) - OASDI

The OASDI contribution rate will remain at 6.20%. However, the annual salary subject to the OASDI tax will increase from $110,400 in FY 2010 to $114,975 in FY 2011. The total salaries subject to the OASDI tax will decrease from 93.40% in FY 2010 to 93.20% in FY 2011. The OASDI participation rate for regular employees will increase from 69.90% in FY 2010 to 80.20% in FY 2011, and will remain at 100% for law enforcement employees.
Regular employees:
FY 2011 ($13,319,408 x .9320 x .8020 x .062) 617,258
FY 2010 ($13,319,408 x .9340 x .6990 x .062) 539,139
Subtotal 78,119

OASDI - Law Enforcement:
FY 2011 ($1,981,503 x .9320 x 1.00 x .062) 114,499
FY 2010 ($1,981,503 x .9340 x 1.00% x .062) 114,745
Subtotal (246)

Other salaries
FY 2011 ($988,944 x .9320 x .8020 x .062) 45,830
FY 2010 ($988,944 x .9340 x .6990 x .062) 40,030
Subtotal 5800

Total adjustment to base 83,673

Thrift Savings Plan

The estimated percentage of payroll for regular employees covered by FERS will be 69.90% in FY 2010 and 80.20% in FY 2011. The percentage for law enforcement employees will remain at 100% in FY 2011. The contribution rate for regular and law enforcement employees will remain at 2.00% in FY 2011.

Regular employees:
FY 2011 ($13,319,408 x .8020 x .0200) 213,643
FY 2010 ($13,319,408 x .6990 x .0200) 186,205
Subtotal 27,438

Law enforcement employees:
FY 2011 ($1,981,503 x 1.00 x .0200) 39,630
FY 2010 ($1,981,503 x 1.00 x .0200) 39,630
Subtotal 0

Adjustment to base 27,438

Employee Compensation Fund

The Employee Compensation Fund bill for the year ending June 30, 2008, decreased by $40,000 from the bill for the year ending June 30, 2007. The charges will be reimbursed for the Department of Labor pursuant to 5 U.S.C. 8147.
Health Insurance

Effective January 2009, OIG’s contribution to Federal employees’ health insurance premiums increased by 7.3%. Applied against the 2010 estimate of $1,216,526, the additional amount required for FY 2011 is $88,806.

Adjustment to base  88,806

Travel – Per Diem

Effective October 1, 2008, the General Services Administration raised per diem rates throughout the continental U.S. an average of 3.71%. These rate increases will increase the per diem reimbursement rate by a similar amount. This percentage, applied against the FY 2010 estimate of $153,100, results in an increase of $5,688.

Adjustment to base  5,688

Travel – Mileage

During FY 2009, the General Services Administration lowered the mileage reimbursement rate for the use of privately owned automobiles a total of -6.00%. This percentage, applied against the FY 2010 estimated mileage reimbursement cost of $34,758, lowers the total cost for FY 2011 to $32,673, a decrease of $2,085 from FY 2010.

Adjustment to base  (2,085)

Rental Payments to GSA

GSA rental rates are projected to increase 1.4% in FY 2011. This percentage, applied to the FY 2010 estimate of $1,492,000, raises the total cost of rental payments to GSA to $1,512,888 for FY 2011, an increase of $20,888 over FY 2010.

Adjustment to base  20,888

Postage

Effective May 11, 2009, the U.S. Postal Service raised the rate for first-class mail from 42 cents to 44 cents, an increase of 4.8%. This percentage was applied to the FY 2010 estimate of $7,000 to arrive at a new estimate of $7,336 for FY 2011, an increase of $336 over FY 2010.

Adjustment to base  336
General Pricing Level Adjustment

The federal non-defense, non-pay deflator for FY 2011 is 0.8%. This percentage was applied to the FY 2010 estimates for sub-object cost classes where the prices the government pays are established through the market system. Factors are applied to supplies and materials ($814); other services ($16,823); rental payments to others ($48), transportation of things ($72) and equipment ($728).

Adjustment to base 18,485

Working Capital Fund

An increase of $88,000 is requested in the payment to the Department’s Working Capital Fund as a result of cost increases to the centralized services provided by the Department.

Adjustment to base 88,000

Communications, utilities, and miscellaneous charges

In FY 2010 charges for utilities were transferred from the Working Capital Fund to the Advancements and Reimbursements fund, as the vendors charge costs to the HCHB and the costs are then apportioned among the occupants. Based on estimates of charges for FY 2011 from GSA and Pepco, costs will increase by $35,000 for electricity and $442 for communications and miscellaneous charges (excluding postage & FTS).

Adjustment to base 35,442

Total Adjustments to Base Requested 4 1,181
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### Department of Commerce  
#### Office of Inspector General  
#### Salaries and Expenses  

**PROGRAM AND PERFORMANCE: DIRECT OBLIGATIONS**  
(Dollar amounts in thousands)

Activity: Inspector General

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OIG-25
The Office of Inspector General was established in FY 1979 in accordance with the Inspector General Act of 1978. OIG serves as a unique, independent voice to the Secretary and other senior Commerce leaders, as well as to Congress, for combating fraud, waste, abuse, and mismanagement and for improving the efficiency and effectiveness of Department operations. OIG has the authority to inquire into all program, management, and administrative activities of the Department, including individuals and organizations performing under contracts, grants, and other financial assistance agreements.

OIG conducts audits, evaluations, investigations, and reviews proposed and existing Commerce regulations and related legislation. We present our findings to Commerce operating officials and agency heads for their review and comment before we release the information in a final report. Investigations are referred to the Department of Justice for prosecution if evidence of criminal wrongdoing is found or civil recoveries are possible. Investigative findings may also be referred to the appropriate agency official for administrative action.

**Executive Direction and Counsel.** The immediate office of the Inspector General (IG) and the Office of Counsel are our primary governing units. The IG provides overall leadership and policy direction. The Office of Counsel gives legal advice and assistance to the IG and to OIG staff engaged in agency work activities.

**Audits and Evaluations.** The Office of Audits and Evaluations (OAE) conducts performance audits, financial audits, attestation engagements and evaluations.

OAE follows up on recommendations made in audit reports to (1) evaluate agency responses and proposed actions, (2) resolve disputes between OIG auditors and management officials, and (3) suggest specific corrective actions in those cases in which audit recommendations have been ignored or circumvented.

Performance audits address the efficiency, effectiveness, and economy of the Department's programs, activities, and information technology systems. They may check a unit's compliance with laws and regulations, and evaluate its success in achieving program objectives. They may also review the Department's financial assistance awards—assessing an award recipient’s compliance with laws, regulations, and award terms; and the degree to which projects achieved intended results.

Financial audits determine whether (1) a reporting entity's financial statements are presented fairly and in accordance with generally accepted accounting principles; (2) the entity has an internal control structure that provides reasonable assurance of achieving the control objectives set forth by OMB; and (3) the entity complied with laws and regulations that could have a direct and material effect on the financial statements, the Federal Financial Management Improvement Act, and other laws and regulations.
Exhibit 12

Attestation engagements involve examining, reviewing, or performing agreed-upon procedures on a subject matter or an assertion about a subject matter and reporting the results. Attestation engagements can have a broad range of financial or nonfinancial focuses, such as an entity’s compliance with laws and regulations; and the allowability and reasonableness of final grant and contract costs.

**Evaluations.** Program evaluations review specific management issues, policies, or programs, and offer recommendations to address major program or management concerns. Systems evaluations review system development, acquisitions, operations, and policy, focusing on information security, computer systems, communications systems, environmental satellites, and other major technologies. Evaluations sometimes address government-wide or multiagency issues, programs or operations and may be conducted cooperatively with other OIGs.

Review of single audit reports. In addition to undergoing OIG-performed audits, certain recipients of Commerce financial assistance are periodically examined by state and local government auditors and by independent public accountants, as required by OMB Circular A-133, *Audits of States, Local Governments, and Non-Profit Organizations*. OAE reviews these reports to ensure the single audits are conducted in accordance with all government regulations.

**Investigations.** The Office of Investigations (OI) investigates alleged or suspected fraud, waste, abuse, and misconduct by Department of Commerce employees, contractors, recipients of financial assistance, and others involved in the Department’s programs and operations. Such wrongdoing may result in criminal and/or civil prosecution, as well as administrative sanctions for violations of Department regulations and employee standards of conduct.

OIG has dedicated considerable resources to improving OI’s capabilities in the area of information technology security and integrity of government computer systems. OI now has a fully-functioning Computer Crimes Unit, which has aggressively and successfully pursued investigations into the criminal misuse of government computers, particularly in the area of internet child pornography.

**Infrastructure and Administrative Support.** OIG provides a full range of administrative support to all OIG units, including human resource services such as hiring, separation, classification, pay and benefits, security checks, and retirement; information technology services including network, email, and telecommunications services; budget, acquisition and financial services; continuity of operations planning, training, and execution; training services; and asset management, space planning, construction, furniture and equipment acquisition and disposal, travel services, and transit subsidies.

**LOCATIONS.** OIG headquarters is located in Washington, D.C. It’s Office of Audits and Evaluations (OAE) has personnel at sites in the D.C. metropolitan area, plus offices in Atlanta, Denver, and Seattle. OIG’s Office of Investigations (OI) has offices in Atlanta, Denver, Seattle, Silver Spring (MD), and Washington, D.C.
Department of Commerce  
Office of Inspector General  
Salaries and Expenses  
INCORRECT FOR 2011  
(Dollar amounts in thousands)

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In FY 1998, the Department of Commerce’s budget was $4.2 billion, and it employed 35,000 employees. To provide oversight of the Department, OIG’s budget was $21.8 million and it employed 191. By 2008, the Department’s budget had increased by 140 percent to $10 billion and its payrolls by 8 percent to 37,800 FTE. In contrast, OIG now employs 149, with a budget of $27.0 million. In addition to the increases in DOC’s budget and employment rolls, the Department’s programs have grown increasingly complex; for example, National Oceanic and Atmospheric Administration (NOAA)’s satellite programs have increased in number, complexity, and partners. OIG is now in the position of having to assign auditors and investigators from area to area to meet only the very highest priorities, leaving important subjects, and in some cases entire bureaus, without oversight for extended periods. For example, OIG has no staff available to provide consistent oversight of the Department’s satellite programs, including the next-generation geostationary and polar-orbiting satellites.

The requested funding level will provide additional resources to achieve the level of oversight the Inspector General believes necessary for the growing and increasingly complex programs within Commerce.

**Acquisition and Contracts Oversight (+0.8 million and +8 FTE):** OIG requests an increase of $0.8 million and 8 FTE to provide oversight for Departmental acquisitions and contracts.

In FY 2010 the Department plans to spend $6.4 billion in acquisitions and contracts. Some of these acquisitions and contracts are one-time procurements. Others, such as those for NOAA’s satellite programs, represent only a small portion of a large, ongoing program. Two satellite programs, by themselves, account for $1.1 billion in obligations in FY 2010. Other programs, such as the planning for the 2020 Census, will involve large ongoing contracts. Because of
statutory mandates and competing priorities, OIG has no personnel available to provide consistent oversight of these expenditures with its existing staffing level.

OIG will create two teams of auditors to examine and oversee contracts and other acquisitions across the Department. With the exception of three personnel with specific expertise, these teams will not be specifically devoted to one or another bureau, but will be capable of examining acquisitions in any area. Auditing of NOAA satellites requires specific expertise to evaluate these complex and highly technical acquisitions, and the auditing of the decennial census requires statistical expertise in evaluating census-related acquisitions. These two teams will be capable of performing up to six audits a year of acquisitions and contracts around the Department.

The audit teams will examine acquisitions across the Department, beginning with NOAA satellites and the decennial census. OIG selected NOAA satellites for the initial round of audits because NOAA is in the midst of modernizing its environmental monitoring capabilities, spending billions of dollars on two critical satellite systems: the National Polar-orbiting Operational Environmental Satellite System (NPOESS) and the next-generation Geostationary Operational Environmental Satellite (GOES-R). OIG’s 2006 and 2007 reviews of the NPOESS and GOES-R programs revealed the challenges of managing satellite procurement projects without strong management oversight. Agency officials and program planners are under renewed pressure to put strong mechanisms in place to track every phase of the programs to contain costs and promptly mitigate problems. Without additional funds, the OIG will be hard-pressed to provide consistent oversight of these critical programs and the substantial sums that will be spent on acquisitions and contracts.

Areas that will be addressed initially include:

- Technical progress, risks, and issues with NPOESS and GOES-R instruments;
- Performance of NPOESS and GOES-R acquisitions as compared to their cost, schedule, and technical baselines;
- NPOESS and GOES-R program management;
- Contract management, including administration of award fee;
- Department and NOAA oversight of NPOESS and GOES-R acquisitions; and
- Management of NPOESS and GOES-R requirements.

Two personnel will have specific satellite-related expertise and will provide ongoing oversight of the satellite programs. The other personnel will be general contract and acquisition auditors and will move on to other acquisition programs within the Department after finishing work on NOAA’s satellite programs.

OIG also selected the decennial census for the initial round of acquisition audits because this program will likely make over $10 billion in acquisitions over the next ten years. Census encountered numerous problems in planning and performing the 2010 decennial census, many of which could have been avoided by more careful acquisition planning. Oversight and monitoring by OIG early in the 2020 decennial census process will help alleviate problems, avoid costs, and lead Census to provide greater personal information protection in its performance of the next decennial census.
The OIG received a transfer of $3.0 million in FY 2008 to fund oversight of the 2010 decennial Census. FY 2010 OIG decennial census oversight will be funded from this source until the funds are exhausted. Unless the requested funding is provided, the OIG will have to divert resources from other priorities in order to continue funding its decennial census oversight, resulting in reduced oversight generally across the Department.

Areas that will be addressed initially include:

- Contract administration and management;
- quality control methods;
- critical IT systems including field data collection automation, the data response integration system, MAF/TIGER, headquarters data processing, and the data access and dissemination system;
- safeguarding sensitive Title 13 information;
- field office infrastructure and logistics management;
- management of census operations and internal controls; and
- tabulation and dissemination of census products.

With this program increase of $0.8 million and 8 FTE, OIG will develop expertise in acquisition and procurement. Of the 8 FTE, two will be experts in the area of system engineering of environmental satellite systems for ongoing comprehensive oversight and scrutiny of the environmental satellite programs. One will be an expert in the areas of statistics and decennial operations. The other five FTE will be experts in general procurement and contract audits.

Performance Measures

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<th>FY 2013</th>
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Interagency Support for the Council of the Inspectors General on Integrity and Efficiency: (+0.4 million and +0 FTE): OIG requests an increase of $0.4 million and 0 FTE to provide required support for the Council of the Inspectors General on Integrity and Efficiency (CIGIE). The Inspector General Reform Act of 2008 (P.L. 110-409) established CIGIE to address integrity, economy, and effectiveness issues that transcend individual Government agencies, and increase the professionalism and effectiveness of personnel by developing policies, standards and approaches to aid in the establishment of a well-trained and highly skilled workforce in the offices of the Inspectors General. DOC OIG is a member of CIGIE, and the Act authorizes interagency funding of CIGIE and requires that any department, agency, or entity of the executive branch which has a member on the Council shall fund or participate in the funding of its activities. This will provide a portion of the funds necessary for CIGIE to accomplish its mission.
## Exhibit 14

**Department of Commerce**  
**Office of Inspector General**  
**Salaries and Expenses**  

**PROGRAM CHANGE PERSONNEL DETAIL**

Activity: Inspector General  
Program Change: Acquisition and Contracts Oversight

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<td>299,488</td>
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<tr>
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<td>Supervisory Auditor GS-14</td>
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<td>105,211</td>
<td>315,633</td>
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<td></td>
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<td>1,146,315</td>
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<tr>
<td><strong>Less Lapse</strong></td>
<td>50%</td>
<td>-7</td>
<td></td>
<td>(573,158)</td>
</tr>
<tr>
<td><strong>Total full-time permanent (FTE)</strong></td>
<td></td>
<td>8</td>
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<tr>
<td><strong>FY 2011 Pay Raise of 2.1%</strong></td>
<td></td>
<td></td>
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<td><strong>Total</strong></td>
<td></td>
<td>8</td>
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### Personnel Data

Full-time Equivalent Employment:  
- Full-time permanent: 8  
- Other than full-time permanent: 0  
**Total:** 8

Authorized Positions:  
- Full-time permanent: 15  
- Other than full-time permanent: 0  
**Total:** 15

---

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## Department of Commerce
### Office of Inspector General

**PROGRAM CHANGE DETAIL BY OBJECT CLASS**
(Direct obligation amounts in thousands)

<table>
<thead>
<tr>
<th>Object Class</th>
<th>FY 2011 Increase</th>
</tr>
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<tbody>
<tr>
<td>11.0 Personnel compensation</td>
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<td>11.1 Full-time permanent</td>
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<tr>
<td>11.3 Other than full-time permanent</td>
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<td>11.5 Other personnel compensation</td>
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<td>11.9 Total personnel compensation</td>
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<tr>
<td>12.1 Civilian personnel benefits</td>
<td>127</td>
</tr>
<tr>
<td>21.0 Travel and transportation of persons</td>
<td>50</td>
</tr>
<tr>
<td>22.0 Transportation of things</td>
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</tr>
<tr>
<td>23.1 Rental payments to GSA</td>
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</tr>
<tr>
<td>23.2 Rental payments to others</td>
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</tr>
<tr>
<td>23.3 Communications, utilities, and miscellaneous charges</td>
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<tr>
<td>24.0 Printing and reproduction</td>
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</tr>
<tr>
<td>25.1 Consultant services</td>
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</tr>
<tr>
<td>25.2 Other services</td>
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</tr>
<tr>
<td>25.3 Purchase of goods and services from gov't accounts</td>
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<tr>
<td>31.0 Equipment</td>
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</table>
### Department of Commerce
#### Office of Inspector General

**Exhibit 15**

**PROGRAM CHANGE DETAIL BY OBJECT CLASS**
(Direct obligation amounts in thousands)

<table>
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<tr>
<th>Object Class</th>
<th>Activity: Inspector General</th>
<th>Program Change: Interagency Support for the Council of the Inspectors General on Integrity and Efficiency</th>
<th>FY 2011 Increase</th>
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<td>Other than full-time permanent</td>
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<td>11.5</td>
<td>Other personnel compensation</td>
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<tr>
<td>11.9</td>
<td>Total personnel compensation</td>
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<tr>
<td>12.1</td>
<td>Civilian personnel benefits</td>
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<tr>
<td>21.0</td>
<td>Travel and transportation of persons</td>
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<tr>
<td>22.0</td>
<td>Transportation of things</td>
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<td>Rental payments to GSA</td>
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<td>23.2</td>
<td>Rental payments to others</td>
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<td>Communications, utilities, and miscellaneous charges</td>
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<td>Printing and reproduction</td>
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<td>25.1</td>
<td>Consultant services</td>
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<td>31.0</td>
<td>Equipment</td>
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<td>99.0</td>
<td>Total obligations</td>
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Activity: Inspector General

Summary of Program Changes:

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<th>FY 2011 Increase</th>
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<tr>
<td>11.3 Other than full-time permanent</td>
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<tr>
<td>11.5 Other personnel compensation</td>
<td>18</td>
</tr>
<tr>
<td>11.9 Total personnel compensation</td>
<td>603</td>
</tr>
<tr>
<td>12.1 Civilian personnel benefits</td>
<td>127</td>
</tr>
<tr>
<td>21.0 Travel and transportation of persons</td>
<td>50</td>
</tr>
<tr>
<td>22.0 Transportation of things</td>
<td>0</td>
</tr>
<tr>
<td>23.1 Rental payments to GSA</td>
<td>0</td>
</tr>
<tr>
<td>23.2 Rental payments to others</td>
<td>0</td>
</tr>
<tr>
<td>23.3 Communications, utilities, and miscellaneous charges</td>
<td>1</td>
</tr>
<tr>
<td>24.0 Printing and reproduction</td>
<td>0</td>
</tr>
<tr>
<td>25.1 Consultant services</td>
<td>0</td>
</tr>
<tr>
<td>25.2 Other services</td>
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<tr>
<td>25.3 Purchase of goods and services from gov't accounts</td>
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<td>99.0 Total obligations</td>
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<td>Object Class</td>
<td>FY 2009 Actual</td>
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<td>--------------</td>
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<td>11.9 Total personnel compensation</td>
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<td>23.2 Rental payments to others</td>
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<td>23.3 Commun., util., misc. charges</td>
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<td>25.2 Other services</td>
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<tr>
<td>Plus Unobligated balance, expiring</td>
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<td>Total Budget Authority</td>
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**Personnel Data**

**Full-Time Equivalent Employment:**

- Full-time permanent: 112 181 149 157 8
- Other than full-time permanent: 5 0 0 0 0
- Total: 117 181 149 157 8

**Authorized Positions:**

- Full-time permanent: 112 186 150 165 15
- Other than full-time permanent: 5 5 0 0 0
- Total: 117 191 150 165 15

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### Exhibit 17

#### Department of Commerce
Office of Inspector General
Salaries and Expenses

**DETAILED REQUIREMENTS BY OBJECT CLASS**
(Dollar amounts in thousands)

<table>
<thead>
<tr>
<th>Object Class</th>
<th>2011 Adjustments to Base</th>
<th>2011 Base</th>
<th>2011 Estimate</th>
<th>Increase/(Decrease) Over 2011 Base</th>
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<tbody>
<tr>
<td>11 Personnel compensation</td>
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<td></td>
<td></td>
</tr>
<tr>
<td>11.1 Full-time permanent</td>
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<td></td>
<td></td>
<td></td>
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<td>Executive level</td>
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<td>174</td>
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<td>Senior executive service</td>
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<td>859</td>
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<td>15,524</td>
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<td>15,972</td>
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<td>0</td>
<td>0</td>
</tr>
<tr>
<td>Subtotal</td>
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<td>0</td>
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<td>11.5 Other personnel compensation</td>
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<tr>
<td>Overtime</td>
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<td>Law Enforcement Availability Pay</td>
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<td>Cash awards</td>
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<td>1,121</td>
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### DETAILED REQUIREMENTS BY OBJECT CLASS

(Dollar amounts in thousands)

<table>
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<tr>
<th>Object Class</th>
<th>2011 Adjustments to Base</th>
<th>2011 Base</th>
<th>2011 Estimate</th>
<th>Increase/Decrease Over 2011 Base</th>
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</thead>
<tbody>
<tr>
<td><strong>12.1 Civilian personnel benefits</strong></td>
<td></td>
<td></td>
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<td></td>
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<tr>
<td>Employee pension &amp; annuitant health benefits</td>
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<td>34</td>
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<td>Civil Service Retirement System</td>
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### DETAILED REQUIREMENTS BY OBJECT CLASS

(Dollar amounts in thousands)

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<th>Object Class</th>
<th>2011 Adjustments to Base</th>
<th>2011 Base</th>
<th>2011 Estimate</th>
<th>Increase/ (Decrease) Over 2011 Base</th>
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<td>(8)</td>
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<td>Other equipment rental</td>
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<td>Other nongovernment contracts</td>
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<tr>
<td>CAMS - shared</td>
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<td>GA - security</td>
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<td>2011 Estimate</td>
<td>Increase/ (Decrease) Over 2011 Base</td>
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<td>31 Equipment</td>
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<td>Office machines and equipment</td>
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<td>ADP hardware</td>
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<td>ADP software</td>
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<td>Subtotal</td>
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<td>92</td>
<td>112</td>
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<td>Total Obligations</td>
<td>1,181</td>
<td>28,181</td>
<td>29,394</td>
<td>1,213</td>
</tr>
<tr>
<td>Less prior-year recoveries</td>
<td>0</td>
<td>0</td>
<td>0</td>
<td>0</td>
</tr>
<tr>
<td>Less amount absorbed</td>
<td>0</td>
<td>0</td>
<td>0</td>
<td>0</td>
</tr>
<tr>
<td>99 Total Obligations</td>
<td>1,181</td>
<td>28,181</td>
<td>29,394</td>
<td>1,213</td>
</tr>
<tr>
<td>Less unobligated balance, start of year</td>
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<td>0</td>
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<tr>
<td>Plus unobligated balance, end of year</td>
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<td></td>
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<tr>
<td>Total Budget Authority</td>
<td>1,181</td>
<td>28,181</td>
<td>29,394</td>
<td>1,213</td>
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### Summary of Outyear Changes Requested

(Dollar amounts in thousands)

<table>
<thead>
<tr>
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<tbody>
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<td>2011 Base Program</td>
<td>28,181</td>
<td>28,181</td>
<td>28,773</td>
<td>29,377</td>
<td>29,994</td>
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<td>GDP</td>
<td>2.1%</td>
<td>2.1%</td>
<td>2.1%</td>
<td>2.1%</td>
<td>2.1%</td>
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<tr>
<td>Adjusted Base</td>
<td>28,181</td>
<td>28,773</td>
<td>29,377</td>
<td>29,994</td>
<td>30,624</td>
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<tr>
<td>Program Change</td>
<td></td>
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<tr>
<td>Acquisition and Contract Oversight</td>
<td>1,213</td>
<td>1,238</td>
<td>1,264</td>
<td>1,291</td>
<td>1,318</td>
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<tr>
<td><strong>Outyear Estimates</strong></td>
<td>29,394</td>
<td>30,011</td>
<td>30,642</td>
<td>31,285</td>
<td>31,942</td>
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</tbody>
</table>
Department of Commerce  
Office of Inspector General  
Salaries and Expenses  

APPROPRIATION SUMMARY STATEMENT  

Appropriation: Office of Inspector General  

The Office of Inspector General conducts audits, inspections, program evaluations, and investigations to combat mismanagement, fraud, waste, and abuse of Department resources, and to improve the efficiency and effectiveness of Commerce programs.  

Accomplishments planned for FY 2011 include:  

- Conduct audits, inspections, and program evaluations to address the Department’s major management issues and provide timely advice to managers to help them address these issues;  
- Ensure timely audits of Departmental financial statements and promote achievement of an unqualified audit opinion on the Department’s consolidated statements;  
- Improve the value of the Inspector General’s findings and recommendations to senior Department management;  
- Work with Department officials to improve information technology planning, management, and oversight;  
- Promote timely implementation of the Government Performance and Results Act, including the use of meaningful performance measures;  
- Enhance our investigative production and results by improving the timeliness and quality of our investigations; and  
Department of Commerce  
Office of Inspector General  

Salaries and Expenses  

APPROPRIATION LANGUAGE AND CODE CITATION

Appropriation: Office of Inspector General


Section 2 of the Inspector General Act of 1978 (5 U.S.C. App.3), as amended, provides that “In order to create independent and objective units – (1) to conduct and supervise audits and investigations relating to programs and operations of the establishments listed in section 11(2)…there is hereby established in each of such establishments an Office of Inspector General.” Section 11(2) of the Act reads, “the term ‘establishment’ means the Department of Commerce …. ”
### Department of Commerce
Office of Inspector General

**Salaries and Expenses**

**CONSULTING AND RELATED SERVICES**
(Obligations in thousands)

<table>
<thead>
<tr>
<th></th>
<th>2009 Actual</th>
<th>2010 Estimate</th>
<th>2011 Estimate</th>
</tr>
</thead>
<tbody>
<tr>
<td>Consulting Services</td>
<td>$0</td>
<td>$0</td>
<td>$0</td>
</tr>
<tr>
<td>Management and</td>
<td>101</td>
<td>0</td>
<td>0</td>
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<tr>
<td>professional services</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Special studies and</td>
<td>0</td>
<td>0</td>
<td>0</td>
</tr>
<tr>
<td>analyses</td>
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<tr>
<td>Mgmt &amp; support services</td>
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<td>0</td>
</tr>
<tr>
<td>for research &amp;</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>development</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Total</td>
<td>$101</td>
<td>$0</td>
<td>$0</td>
</tr>
</tbody>
</table>

Note:

1. The Inspector General Act of 1978 authorizes the Office of Inspector General to obtain such temporary technical assistance as needed to carry out the requirements of the Act.
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### PERIODICALS, PAMPHLETS, AND AUDIOVISUAL PRODUCTS

(Obligations in thousands)

<table>
<thead>
<tr>
<th></th>
<th>2009 Actual</th>
<th>2010 Estimate</th>
<th>2011 Estimate</th>
</tr>
</thead>
<tbody>
<tr>
<td>Periodicals*</td>
<td>$ 10</td>
<td>$ 8</td>
<td>$ 8</td>
</tr>
<tr>
<td>Pamphlets</td>
<td>0</td>
<td>0</td>
<td>0</td>
</tr>
<tr>
<td>Audiovisuals</td>
<td>0</td>
<td>0</td>
<td>0</td>
</tr>
<tr>
<td><strong>Total</strong></td>
<td>$ 10</td>
<td>$ 8</td>
<td>$ 8</td>
</tr>
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</table>

*Pursuant to the Inspector General Act of 1978 (P.L. 95-452), the Inspector General shall prepare semiannual reports summarizing the activities of the office during the 6-month periods ending March 31 and September 30. According to the Act, these reports shall include details of major problems, abuses, and deficiencies identified during the previous 6 months, together with recommendations for corrective action. The reports must cite any recommendations reported earlier that have not been acted upon, describe any OIG requests for information assistance that were unreasonably refused, and show the amount of funds recovered as a result of audit recommendations. The Department head may provide comments but may not change these semiannual reports.
## Department of Commerce
### Office of Inspector General
### Salaries and Expenses

### AVERAGE GRADE AND SALARIES

<table>
<thead>
<tr>
<th></th>
<th>2009 Actual</th>
<th>2010 Estimate</th>
<th>2011 Estimate</th>
</tr>
</thead>
<tbody>
<tr>
<td>Average EX Grade</td>
<td>1</td>
<td>1</td>
<td>1</td>
</tr>
<tr>
<td>Average SES Grade*</td>
<td>NA</td>
<td>NA</td>
<td>NA</td>
</tr>
<tr>
<td>Average GS/GM Grade</td>
<td>14</td>
<td>15</td>
<td>13</td>
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<tr>
<td>Average GS/GM Salary</td>
<td>$105,830</td>
<td>$125,446</td>
<td>$106,881</td>
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</table>

*The Senior Executive Service (SES) new Performance Appraisal System went into effect September 30, 2004 and eliminated level/grades for SES positions. The current SES Pay System includes minimum and maximum dollar amounts for those agencies with OPM-certified performance appraisal systems and minimum and maximum dollar amounts for those without OPM-certified performance appraisal programs. Because of this change, it is not possible to calculate average SES grade.
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In accordance with the requirements of Section 6(f)(1) of the Inspector General Act of 1978 (as amended), the OIG submits the following information related to its requested budget for FY 2011:

<table>
<thead>
<tr>
<th></th>
<th>FY 2009 Estimate</th>
<th>FY 2010 Currently Available</th>
<th>Request to Department of Commerce FY 2011</th>
<th>FY 2011 Estimated BA</th>
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<tr>
<td>Aggregate Funding</td>
<td>43,800</td>
<td>27,000</td>
<td>30,317</td>
<td>29,394</td>
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</table>

( dollar amounts in thousands)

- The Department of Commerce Office of Inspector General (OIG) requests aggregate funding of $29.4 million for FY 2011.

- OIG requests $503,000 for all training needs. The requested amount satisfies all training requirements for the OIG for FY 2011.

- OIG requests $394,000 to support the Council of the Inspectors General on Integrity and Efficiency.

OIG certifies that the training amount for FY 2011 listed above represents the total training requirement for OIG.