



APPENDICES



PERFORMANCE AND RESOURCE TABLES

To make the report more useful, this FY 2007 Performance and Accountability Report (PAR) reports on targets and measures from the FY 2008 Annual Performance Plan (APP), which more accurately reflects updated targets of each performance measure. Individual bureau-specific APPs can be found on the Department Web site at http://www.osec.doc.gov/bmi/budget/budgetsub_perf_strategicplans.htm. The resource tables with the performance tables are also combined to make the information easier to follow.

The following tables provide an array of information that previously was shown in separate tables. The information should help the reader clearly understand the resources expended for each Strategic Goal, Objective, and Performance Outcome/Objective.

The system of reporting does not currently allow the Department to report on resources at the performance measure level but it is the Department's hope to develop this capability in the future. It is important to note that if a performance measure has been exceeded (more than 125 percent of target), a blue circle will appear. If a performance measure has been met (100 to 125 percent of target), a green circle will appear. A measure that was slightly below target (95 to 99 percent of the target) appears as yellow, while a measure that was definitely not met appears as red. No targets that were in the form of text (e.g., a series of milestones met) would ever be considered exceeded since they can't be quantified.

The information in the tables will follow the following format:

- ◆ Strategic Goal and Resources
- ◆ Objective and Resources
- ◆ Performance Outcome/Objective and Resources
- ◆ Performance Measure

Note: Unless otherwise indicated, measures that do not have targets, are new, or are baseline are not included in any count in this document. Resources for each performance outcome/objective are estimates and may be updated in the budget for FY 2009.

Target and performance data are tracked back to FY 2000 where available. If a measure was developed after FY 2000, actual performance data is shown back to the year that the measure first appeared.

In FY 2007, the Department changed the references to "Performance Goals" to "Performance Outcomes" since this reflects changes in the Department's FY 2007 - FY 2012 Strategic Plan and better reflects the work of the Department. The exception was NOAA which used the term "Performance Objectives" rather than "Performance Outcomes" since NOAA has several performance outcomes and the Department preferred to limit the total number of outcomes.

STRATEGIC GOAL 1

Provide the information and tools to maximize U.S. competitiveness and enable economic growth for American industries, workers, and consumers

STRATEGIC GOAL 1 TOTAL RESOURCES (Dollars in Millions)								
	FY 2000 Actual	FY 2001 Actual	FY 2002 Actual	FY 2003 Actual	FY 2004 Actual	FY 2005 Actual	FY 2006 Actual	FY 2007 Actual
Total Funding	\$5,454.7	\$1,912.7	\$1,704.1	\$1,746.2	\$1,854.0	\$1,888.5	\$1,997.0	\$1,970.9
FTE ¹	89,978	13,827	11,827	11,306	11,819	11,877	12,156	10,963

¹ FTE – Full-Time Equivalent

STRATEGIC OBJECTIVE 1.1

Enhance economic growth for all Americans by developing partnerships with private sector and nongovernmental organizations

OBJECTIVE 1.1 RESOURCES (Dollars in Millions)								
	FY 2000 Actual	FY 2001 Actual	FY 2002 Actual	FY 2003 Actual	FY 2004 Actual	FY 2005 Actual	FY 2006 Actual	FY 2007 Actual
Total Funding	\$690.8	\$756.9	\$677.5	\$645.0	\$633.2	\$625.6	\$627.1	\$601.8
FTE ¹	2,338	2,240	1,990	2,013	1,869	1,908	1,849	1,653

¹ FTE – Full-Time Equivalent

PERFORMANCE OUTCOME: Increase private investment and job creation in economically distressed communities (EDA)

PERFORMANCE OUTCOME RESOURCES (Dollars in Millions)								
	FY 2000 Actual	FY 2001 Actual	FY 2002 Actual	FY 2003 Actual	FY 2004 Actual	FY 2005 Actual	FY 2006 Actual	FY 2007 Actual
Total Funding ²	\$312	\$362.3	\$296.6	\$258.3	\$254.8	\$212.5	\$208.3	\$183.7
FTE ¹	174	165	155	149	137	139	128	132

¹ FTE – Full-Time Equivalent
² Actuals reflect direct obligations for economic development assistance programs (EDAP) and salaries and expenses (S&E); totals do not include one-time, disaster investments or reimbursable funding.

EDA PERFORMANCE MEASURE			
MEASURE: Private investment leveraged - 9 year totals ¹			
Year	Status	Actual	Target
FY 2007	●	\$1,937	\$1,350.0
Year	Status	Historical Results	Historical Target
FY 2006	●	\$2,331.1	\$1,162.0

¹ EDA tracks the results of its investments and jobs created/retained at 3, 6 and 9 year periods. The FY 2007 actual is a result of investments made in FY 1998. Since EDA did not begin tracking results until FY 1997 in this format, 9 year results are not available for the years prior to FY 2006.

APPENDIX A: PERFORMANCE AND RESOURCE TABLES

EDA PERFORMANCE MEASURE			
MEASURE: Private investment leveraged - 6 year totals ¹			
Year	Status	Actual	Target
FY 2007	●	\$2,118	\$1,200.0
Year	Status	Historical Results	Historical Target
FY 2006	●	\$1,059.0	\$1,020.0
FY 2005	●	\$1,781.0	\$1,040.0
FY 2004	●	\$1,740.0	\$650.0
FY 2003	●	\$2,475.0	\$581.0

¹ This is the 6 year result measure. FY 2007 actuals are the result of investments made in FY 2001. FY 2006 actuals as of investments made in FY 2000 and so on.

EDA PERFORMANCE MEASURE			
MEASURE: Private investment leveraged - 3 year totals ¹			
Year	Status	Actual	Target
FY 2007	●	\$810.1	\$330.0
Year	Status	Historical Results	Historical Target
FY 2006	●	\$1,669.0	\$320.0
FY 2005	●	\$1,791.0	\$390.0
FY 2004	●	\$947.0	\$480.0
FY 2003	●	\$1,251.0	\$400.0
FY 2002	●	\$640.0	\$420.0
FY 2001	●	\$971.0	\$130.0
FY 2000	●	\$199.0	\$116.0

¹ This is the 3 year result measure. FY 2007 actuals are the result of investments made in FY 2004. FY 2006 actuals as of investments made in FY 2003 and so on.

EDA PERFORMANCE MEASURE			
MEASURE: Jobs created/retained - 9 year totals ¹			
Year	Status	Actual	Target
FY 2007	●	73,559	54,000
Year	Status	Historical Results	Historical Target
FY 2006	●	50,546	50,400

¹ EDA tracks the results of its investments and jobs created / retained at 3, 6 and 9 year periods. The FY 2007 actual is a result of investments made in FY 1998. Since EDA did not begin tracking results until FY 1997 in this format, 9 year results are not available for the years prior to FY 2006.

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EDA PERFORMANCE MEASURE			
MEASURE: Jobs created/retained - 6 year totals ¹			
Year	Status	Actual	Target
FY 2007	●	49,806	36,000
Year	Status	Historical Results	Historical Target
FY 2006	●	42,958	28,200
FY 2005	●	47,374	28,400
FY 2004	●	68,109	27,000
FY 2003	●	47,607	25,200

¹ This is the 6 year result measure. FY 2007 actuals are the result of investments made in FY 2001. FY 2006 actuals as of investments made in FY 2000 and so on.

EDA PERFORMANCE MEASURE			
MEASURE: Jobs created/retained - 3 year totals ¹			
Year	Status	Actual	Target
FY 2007	●	16,274	8,999
Year	Status	Historical Results	Historical Target
FY 2006	●	11,833	9,170
FY 2005	●	19,672	11,500
FY 2004	●	21,901	14,400
FY 2003	●	39,841	11,300
FY 2002	●	29,912	11,300
FY 2001	●	12,898	5,400
FY 2000	●	12,056	5,040

¹ This is the 3 year result measure. FY 2007 actuals are the result of investments made in FY 2004. FY 2006 actuals as of investments made in FY 2003 and so on.

PERFORMANCE OUTCOME: Improve community capacity to achieve and sustain economic growth (EDA)

PERFORMANCE OUTCOME RESOURCES (Dollars in Millions)								
	FY 2000 Actual	FY 2001 Actual	FY 2002 Actual	FY 2003 Actual	FY 2004 Actual	FY 2005 Actual	FY 2006 Actual	FY 2007 Actual
Total Funding ²	\$74.0	\$76.7	\$68.8	\$67.3	\$67.3	\$68.0	\$72.1	\$67.1
FTE ¹	94	89	84	80	80	74	32	33

¹ FTE – Full-Time Equivalent

² Actuals reflect direct obligations for EDAP and S&E; totals do not include one-time, disaster investments or reimbursable funding.

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EDA PERFORMANCE MEASURE			
MEASURE: Percentage of economic development districts and Indian tribes implementing economic development projects from the comprehensive economic development strategy that lead to private investment and jobs			
Year	Status	Actual	Target
FY 2007	●	95%	95%
Year	Status	Historical Results	Historical Target
FY 2006	●	96%	95%
FY 2005	●	97%	95%
FY 2004	●	95%	95%
FY 2003	●	99%	95%
FY 2002		New—no target to measure against	

EDA PERFORMANCE MEASURE			
MEASURE: Percentage of sub-state jurisdiction members actively participating in the economic development district program			
Year	Status	Actual	Target
FY 2007	●	92%	89-93%
Year	Status	Historical Results	Historical Target
FY 2006	●	90%	89-93%
FY 2005	●	91%	89-93%
FY 2004	●	90%	89-93%
FY 2003	●	97%	89-93%
FY 2002	●	95%	93%
FY 2001	●	92%	85%
FY 2000	●	91%	75%

EDA PERFORMANCE MEASURE			
MEASURE: Percentage of University Center clients taking action as a result of the assistance facilitated by the University Center			
Year	Status	Actual	Target
FY 2007	●	84%	75%
Year	Status	Historical Results	Historical Target
FY 2006	●	76%	75%
FY 2005	●	79%	75%
FY 2004	●	78%	75%
FY 2003	●	78%	75%
FY 2002		New—no target to measure against	

APPENDIX A: PERFORMANCE AND RESOURCE TABLES

EDA PERFORMANCE MEASURE			
MEASURE: Percentage of those actions taken by University Center clients that achieved the expected results			
Year	Status	Actual	Target
FY 2007	●	89%	80%
Year	Status	Historical Results	Historical Target
FY 2006	●	82%	80%
FY 2005	●	87%	80%
FY 2004	●	88%	80%
FY 2003	●	86%	80%
FY 2002		New—no target to measure against	

EDA PERFORMANCE MEASURE			
MEASURE: Percentage of Trade Adjustment Assistance Center (TAAC) clients taking action as a result of the assistance facilitated by the TAACs			
Year	Status	Actual	Target
FY 2007	●	99%	90%
Year	Status	Historical Results	Historical Target
FY 2006	●	90%	90%
FY 2005	●	99%	90%
FY 2004	●	90%	90%
FY 2003	●	92%	90%
FY 2002		New—no target to measure against	

EDA PERFORMANCE MEASURE			
MEASURE: Percentage of those actions taken by Trade Adjustment Assistance Center clients that achieved the expected results			
Year	Status	Actual	Target
FY 2007	●	95%	95%
Year	Status	Historical Results	Historical Target
FY 2006	●	96%	95%
FY 2005	●	97%	95%
FY 2004	●	98%	95%
FY 2003	●	98%	95%
FY 2002		New—no target to measure against	

PERFORMANCE OUTCOME: Enhance U.S. competitiveness in domestic and international markets (ITA)*

PERFORMANCE OUTCOME RESOURCES (Dollars in Millions)								
	FY 2000 Actual	FY 2001 Actual	FY 2002 Actual ²	FY 2003 Actual	FY 2004 Actual	FY 2005 Actual	FY 2006 Actual	FY 2007 Actual
Total Funding	\$151.0	\$161.0	\$208.5	\$72.7	\$56.0	\$62.6	\$52.8	\$59.7
FTE ¹	1,079	1,038	1,236	402	287	264	257	236

¹ FTE – Full-Time Equivalent
² In FY 2005 ITA reorganized its performance structure, reducing the number of outcomes from four to two outcomes for this strategic objective. FY 2002 actuals shown here reflect the level for the “Strengthen U.S. industries” outcome and the two discontinued outcomes.

ITA PERFORMANCE MEASURE			
MEASURE: Annual cost savings resulting from the adoption of MAS recommendations contained in MAS studies and analysis			
Year	Status	Actual	Target
FY 2007	●	\$413M	\$168M
Year	Status	Historical Results	Historical Target
FY 2006	●	\$287M	\$350M
FY 2005		New—no target to measure against	

ITA PERFORMANCE MEASURE			
MEASURE: Percent reduction in per unit cost of data distribution			
Year	Status	Actual	Target
FY 2007	●	4.5%	5%
<i>Performance was not met, because:</i> This measure had baseline data that predicted a four to five percent range for FY 2007, five percent being the “stretch” end of the range.			
<i>Strategies for Improvement:</i> ITA anticipates efficiency gains growing as potential gains are realized from data integration activities but does not intend to adjust the target until trend data exists.			
Year	Status	Historical Results	Historical Target
FY 2006	●	12%	10%
FY 2005		New—no target to measure against	

ITA PERFORMANCE MEASURE			
MEASURE: Percent of agreement milestones completed			
Year	Status	Actual	Target
FY 2007	●	100%	70%
Year	Status	Historical Results	Historical Target
FY 2006	●	100%	70%
FY 2005		New—no target to measure against	

* Prior to FY 2006, this outcome was known as “Strengthen U.S. industries.”

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ITA PERFORMANCE MEASURE			
MEASURE: Percent of industry-specific trade barrier milestones completed			
Year	Status	Actual	Target
FY 2007	●	54%	85%
<p><i>Performance was not met, because:</i> Aspects of this milestone metric are affected by externalities. MAS set aggressive targets and ran into challenges when Japanese, Chinese and, other counterparts were not able or willing to move as fast as U.S. negotiators were hoping to move on meeting U.S. targets.</p> <p><i>Strategies for Improvement:</i> ITA intends to review the metric and adjust the milestone target to account for external factors outside of ITA's control as a corrective action.</p>			
Year	Status	Historical Results	Historical Target
FY 2006	●	81%	85%
FY 2005		New—no target to measure against	

PERFORMANCE OUTCOME: Broaden and deepen U.S. exporter base (ITA)*

PERFORMANCE OUTCOME RESOURCES (Dollars in Millions)								
	FY 2000 Actual	FY 2001 Actual	FY 2002 Actual	FY 2003 Actual	FY 2004 Actual	FY 2005 Actual	FY 2006 Actual	FY 2007 Actual
Total Funding	\$124.0	\$129.0	\$75.3	\$217.7	\$226.4	\$252.7	\$264.1	\$261.4
FTE ¹	890	858	423	1,290	1,273	1,335	1,338	1,158
¹ FTE – Full-Time Equivalent								

ITA PERFORMANCE MEASURE			
MEASURE: Number of advocacy successes for the fiscal year			
Year	Status	Actual	Target
FY 2007	●	41	35
Year	Status	Historical Results	Historical Target
FY 2006		New—no target to measure against	

* Prior to FY 2006, this outcome was known as "Expand U.S. exporter base."

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ITA PERFORMANCE MEASURE			
MEASURE: Number of new-to-market (NTM) export successes ¹			
Year	Status	Actual	Target
FY 2007	●	4,229	4,760
<p><i>Performance was not met, because:</i> There was a conscious decision made by US&FCS management to place more focus on the “new-to-export” category of successes rather than the “new-to-market” category. Domestic field staff devoted substantial time and effort to achieve the “new-to-export” target. This is the most difficult of the three export success metrics (increase-to-market, new-to-market, and new-to-export).</p> <p><i>Strategies for Improvement:</i> ITA will work to achieve a more balanced approach to hit all targets in FY 2008 for all categories as a corrective action.</p>			
Year	Status	Historical Results	Historical Target
FY 2006	●	4,110	4,760
FY 2005	●	4,888	4,760-5,500
FY 2004	●	4,759	6,200-6,300
FY 2003	●	6,278	6,500
FY 2002	●	5,740	5,900
FY 2001	●	5,386	4,540
FY 2000		New—no target to measure against	

¹ From FY 2000 - FY 2005, this measure was “Number of U.S. exporters entering a new market.”

ITA PERFORMANCE MEASURE			
MEASURE: Number of increase-to-market (ITM) export successes			
Year	Status	Actual	Target
FY 2007	●	6,954	5,925
Year	Status	Historical Results	Historical Target
FY 2006	●	7,258	5,925
FY 2005		New—no target to measure against	

ITA PERFORMANCE MEASURE			
MEASURE: Number of new-to-export (NTE) successes ¹			
Year	Status	Actual	Target
FY 2007	●	721	700
Year	Status	Historical Results	Historical Target
FY 2006	●	551	700
FY 2005	●	620	700-850
FY 2004	●	704	880-900
FY 2003	●	896	800
FY 2002	●	699	800
FY 2001	●	742	679
FY 2000		New—no target to measure against	

¹ From FY 2000 - FY 2005, this measure was “Number of U.S. firms exporting for the first time.”

APPENDIX A: PERFORMANCE AND RESOURCE TABLES

ITA PERFORMANCE MEASURE			
MEASURE: Number of export successes made as a result of ITA involvement ¹			
Year	Status	Actual	Target
FY 2007	●	11,974	11,385
Year	Status	Historical Results	Historical Target
FY 2006	●	11,919	11,385
FY 2005	●	12,518	11,385-13,500
FY 2004	●	11,382	14,000-14,500
FY 2003	●	14,090	13,500
FY 2002	●	12,178	12,300
FY 2001	●	11,160	9,253
FY 2000		New—no target to measure against	

¹ From FY 2000 - FY 2005, this measure was "Number of export transactions made as a result of ITA involvement."

ITA PERFORMANCE MEASURE			
MEASURE: Dollar value of advocacy cases completed successfully			
Year	Status	Actual	Target
FY 2007	●	\$32.6B	\$22.2B
Year	Status	Historical Results	Historical Target
FY 2006	●	\$33.2B	\$5.0B
FY 2005		New—no target to measure against	

PERFORMANCE OUTCOME: Increase access to the marketplace and financing for minority-owned businesses (MBDA)

PERFORMANCE OUTCOME RESOURCES (Dollars in Millions)								
	FY 2000 Actual	FY 2001 Actual	FY 2002 Actual	FY 2003 Actual	FY 2004 Actual	FY 2005 Actual	FY 2006 Actual	FY 2007 Actual
Total Funding	\$29.8	\$27.9	\$28.3	\$29.0	\$28.7	\$29.8	\$29.8	\$29.9
FTE ¹	101	90	92	92	92	96	94	94

¹ FTE – Full-Time Equivalent

MBDA PERFORMANCE MEASURE			
MEASURE: Dollar value of contract awards obtained (billions)			
Year	Status	Actual	Target
FY 2007	●	\$1.2	\$0.85
Year	Status	Historical Results	Historical Target
FY 2006	●	\$1.17	\$0.85
FY 2005	●	\$1.10	\$0.80
FY 2004	●	\$0.95	\$0.80
FY 2003	●	\$0.70	\$1.00
FY 2002	●	\$1.30	\$1.00
FY 2001	●	\$1.60	\$0.70
FY 2000	●	\$1.20	\$0.60

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MBDA PERFORMANCE MEASURE			
MEASURE: Dollar value of financial awards obtained (billions)			
Year	Status	Actual	Target
FY 2007	●	\$0.55	\$0.45
Year	Status	Historical Results	Historical Target
FY 2006	●	\$0.41	\$0.45
FY 2005	●	\$0.50	\$0.45
FY 2004	●	\$0.60	\$0.40
FY 2003	●	\$0.40	\$0.40
FY 2002	●	\$0.40	\$0.40
FY 2001	●	\$0.60	\$1.00
FY 2000	●	\$0.20	\$0.90

MBDA PERFORMANCE MEASURE			
MEASURE: Number of new job opportunities created			
Year	Status	Actual	Target
FY 2007	●	3,506	2,050
Year	Status	Historical Results	Historical Target
FY 2006	●	4,254	1,800
FY 2005	●	2,270	1,800
FY 2004		New—no target to measure against	

MBDA PERFORMANCE MEASURE			
MEASURE: Percent increase in client gross receipts			
Year	Status	Actual	Target
FY 2007	●	5.0%	5.0%
Year	Status	Historical Results	Historical Target
FY 2006	●	6%	5%
FY 2005	●	15%	5%
FY 2004		New—no target to measure against	

MBDA PERFORMANCE MEASURE			
MEASURE: Percent increase in American Customer Satisfaction Index (ACSI) ¹			
Year	Status	Actual	Target
FY 2007	●	4%	3%
Year	Status	Historical Results	Historical Target
FY 2006	●	N/A	N/A
FY 2005	●	13%	5%
FY 2004		New—no target to measure against	

¹ The ACSI survey occurs only in odd years so data did not appear in FY 2006

STRATEGIC OBJECTIVE 1.2

Advance responsible economic growth and trade while protecting American security

OBJECTIVE 1.2 RESOURCES (Dollars in Millions)								
	FY 2000 Actual	FY 2001 Actual	FY 2002 Actual	FY 2003 Actual	FY 2004 Actual	FY 2005 Actual	FY 2006 Actual	FY 2007 Actual
Total Funding	\$111.6	\$126.9	\$157.4	\$164.9	\$168.5	\$192.6	\$205.4	\$196.1
FTE ¹	757	733	929	940	975	998	986	892

¹ FTE – Full-Time Equivalent

PERFORMANCE OUTCOME: Identify and resolve unfair trade practices (ITA)*

PERFORMANCE OUTCOME RESOURCES (Dollars in Millions)								
	FY 2000 Actual	FY 2001 Actual	FY 2002 Actual	FY 2003 Actual	FY 2004 Actual	FY 2005 Actual	FY 2006 Actual	FY 2007 Actual
Total Funding	\$59.0	\$68.0	\$92.8	\$88.1	\$94.6	\$115.8	\$123.3	\$115.2
FTE ¹	375	360	571	574	610	638	633	526

¹ FTE – Full-Time Equivalent

ITA PERFORMANCE MEASURE			
MEASURE: Percentage of AD/CVD proceedings completed within statutory deadlines			
Year	Status	Actual	Target
FY 2007	●	100%	100%
Year	Status	Historical Results	Historical Target
FY 2006	●	100%	100%
FY 2005	●	100%	100%
FY 2004	●	100%	100%
FY 2003	●	100%	100%
FY 2002	●	100%	100%
FY 2001		New—no target to measure against	

ITA PERFORMANCE MEASURE			
MEASURE: Number of market access and trade compliance cases initiated			
Year	Status	Actual	Target
FY 2007	●	187	160
Year	Status	Historical Results	Historical Target
FY 2006	●	178	150
FY 2005	●	160	160-170
FY 2004	●	161	150-160
FY 2003	●	144	180-210
FY 2002		New—no target to measure against	

* From FY 2002 - FY 2005, this outcome was known as “Ensure fair competition in international trade.”

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ITA PERFORMANCE MEASURE			
MEASURE: Number of market access and compliance cases resolved			
Year	Status	Actual	Target
FY 2007	●	158	120
Year	Status	Historical Results	Historical Target
FY 2006	●	140	80
FY 2005	●	85	75-85
FY 2004	●	116	50-60
FY 2003	●	158	30-40
FY 2002		New—no target to measure against	

ITA PERFORMANCE MEASURE			
MEASURE: Percentage of market access and compliance cases resolved successfully			
Year	Status	Actual	Target
FY 2007	●	54% / quarter	25% / quarter
Year	Status	Historical Results	Historical Target
FY 2006	●	46% / quarter	20% / quarter
FY 2005		New—no target to measure against	

ITA PERFORMANCE MEASURE			
MEASURE: Percentage of market access and compliance cases initiated for on behalf of small and medium-sized businesses			
Year	Status	Actual	Target
FY 2007	●	22%	30%
<i>Performance was not met, because:</i> The market access and compliance (MAC) program set both the FY 2006 and FY 2007 targets without a baseline to determine the accuracy of the target range. This “stretch” target was initially established at 30 percent in the MAC PART. Having now reviewed two years of trend data, a more realistic target range is 21 to 23 percent.			
<i>Strategies for Improvement:</i> ITA intends to adjust the target to an ambitious but realistic level for FY 2008 as a corrective action.			
Year	Status	Historical Results	Historical Target
FY 2006	●	28%	30%

ITA PERFORMANCE MEASURE			
MEASURE: Percentage of market access and compliance cases that have an action plan within 10 days of initiation			
Year	Status	Actual	Target
FY 2007	●	82% / quarter	75% / quarter
Year	Status	Historical Results	Historical Target
FY 2006		New—no target to measure against	

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PERFORMANCE OUTCOME: Maintain and strengthen an adaptable and effective U.S. export control and treaty compliance system (BIS)*

PERFORMANCE OUTCOME RESOURCES (Dollars in Millions)								
	FY 2000 Actual	FY 2001 Actual	FY 2002 Actual	FY 2003 Actual	FY 2004 Actual	FY 2005 Actual	FY 2006 Actual	FY 2007 Actual
Total Funding	\$47.8	\$53.6	\$58.7	\$68.4	\$67.7	\$71.3	\$73.0	\$70.4
FTE ¹	350	342	328	336	335	330	309	324

¹ FTE – Full-Time Equivalent

BIS PERFORMANCE MEASURE			
MEASURE: Percent of licenses requiring interagency referral referred within 9 days			
Year	Status	Actual	Target
FY 2007	●	98%	95%
Year	Status	Historical Results	Historical Target
FY 2006	●	98%	95%
FY 2005		New—no target to measure against	

BIS PERFORMANCE MEASURE			
MEASURE: Median processing time for new regime regulations (months)			
Year	Status	Actual	Target
FY 2007	●	2.0	3.0
Year	Status	Historical Results	Historical Target
FY 2006	●	2.5	3.0
FY 2005	●	1.0	3.0
FY 2004	●	2.0	3.0
FY 2003	●	7.0	3.0
FY 2002		New—no target to measure against	

BIS PERFORMANCE MEASURE			
MEASURE: Percent of attendees rating seminars highly			
Year	Status	Actual	Target
FY 2007	●	90%	85%
Year	Status	Historical Results	Historical Target
FY 2006	●	90%	85%
FY 2005		New—no target to measure against	

* From FY 2002 - FY 2005, this outcome was known as "Advance U.S. national security, foreign policy, and economic interests by enhancing the effectiveness and efficiency of the export control system."



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BIS PERFORMANCE MEASURE			
MEASURE: Percent of declarations received from U.S. industry in accordance with CWC regulations (time lines) that are processed, certified, and submitted to the State Department in time so the United States can meet its treaty obligations			
Year	Status	Actual	Target
FY 2007	●	100%	100%
Year	Status	Historical Results	Historical Target
FY 2006	●	100%	100%
FY 2005		New—no target to measure against	

BIS PERFORMANCE MEASURE			
MEASURE: Number of actions that result in a deterrence or prevention of a violation and cases which result in a criminal and/or administrative charge ¹			
Year	Status	Actual	Target
FY 2007	●	930	450
Year	Status	Historical Results	Historical Target
FY 2006	●	872	350
FY 2005	●	583	275
FY 2004	●	310	250
FY 2003	●	250	85
FY 2002	●	82	75
FY 2001	●	81	70
FY 2000	●	93	80

¹ Prior to FY 2007, this measure was under the outcome “Eliminate illicit export activity outside the global export control and treaty compliance system,” which was discontinued in FY 2007.

PERFORMANCE OUTCOME: Integrate non-U.S. actors to create a more effective global export control and treaty compliance system (BIS)**

PERFORMANCE OUTCOME RESOURCES (Dollars in Millions)								
	FY 2000 Actual	FY 2001 Actual	FY 2002 Actual	FY 2003 Actual	FY 2004 Actual	FY 2005 Actual	FY 2006 Actual	FY 2007 Actual
Total Funding	\$1.5	\$1.6	\$1.8	\$4.4	\$2.7	\$1.8	\$2.8	\$4.6
FTE ¹	14	13	13	13	13	13	13	12

¹ FTE – Full-Time Equivalent

BIS PERFORMANCE MEASURE			
MEASURE: Number of end-use checks completed ¹			
Year	Status	Actual	Target
FY 2007	●	854	850
Year	Status	Historical Results	Historical Target
FY 2006	●	942	700
FY 2005		New—no target to measure against	

¹ Prior to FY 2007, this measure was under the outcome “Eliminate illicit export activity outside the global export control and treaty compliance, which was discontinued in FY 2007.

PERFORMANCE OUTCOME: Ensure continued U.S. technology leadership in industries that are essential to national security (BIS)***

PERFORMANCE OUTCOME RESOURCES (Dollars in Millions)								
	FY 2000 Actual	FY 2001 Actual	FY 2002 Actual	FY 2003 Actual	FY 2004 Actual	FY 2005 Actual	FY 2006 Actual	FY 2007 Actual
Total Funding	\$3.3	\$3.7	\$4.1	\$4.0	\$3.5	\$3.7	\$6.3	\$5.9
FTE ¹	18	18	17	17	17	17	31	30

¹ FTE – Full-Time Equivalent

BIS PERFORMANCE MEASURE			
MEASURE: Percent of industry assessments resulting in BIS determination, within three months of completion, on whether to revise export controls			
Year	Status	Actual	Target
FY 2007	●	100%	100%
Year	Status	Historical Results	Historical Target
FY 2006	●	N/A ¹	100%
FY 2005		New—no target to measure against	

¹ No assessments fell within the metric timeframe in FY 2006. BIS completed two industry assessments late in the fourth quarter of FY 2006, thus not meeting the three month window (before the end of the fiscal year) to make a final determination on revising export controls. This was the first year this measure was in place. Industry assessment data will be available in subsequent fiscal years.

* From FY 2000 - FY 2005, this outcome was known as “Enhance the export and transit controls of nations seeking to improve their export control systems.”
 ** In FY 2007, BIS eliminated the outcome, “Eliminate illicit export activity outside the global export control and treaty compliance system.” The funds that were previously shown for that outcome for FY 2000 - FY 2006, have been added to this outcome.
 *** Prior to FY 2006, this outcome was known as “Ensure U.S. industry compliance with the CWC agreement.”

STRATEGIC OBJECTIVE 1.3

Enhance the supply of key economic and demographic data to support effective decision-making of policymakers, businesses, and the American public

OBJECTIVE 1.3 RESOURCES (Dollars in Millions)								
	FY 2000 Actual	FY 2001 Actual	FY 2002 Actual	FY 2003 Actual	FY 2004 Actual	FY 2005 Actual	FY 2006 Actual	FY 2007 Actual
Total Funding	\$4,644.0	\$1,024.9	\$866.2	\$920.9	\$1,008.9	\$1,097.7	\$1,164.5	\$1,260
FTE ¹	86,867	10,854	8,908	8,223	8,563	8,976	9,321	8,954

¹ FTE – Full-Time Equivalent

PERFORMANCE OUTCOME: Meet the needs of policymakers, businesses, non-profit organizations, and the public for current and benchmark measures of the U.S. population, economy, and governments (ESA/Census)*

PERFORMANCE OUTCOME RESOURCES (Dollars in Millions)								
	FY 2000 Actual	FY 2001 Actual	FY 2002 Actual	FY 2003 Actual	FY 2004 Actual ²	FY 2005 Actual	FY 2006 Actual	FY 2007 Actual
Total Funding	\$4,589.5	\$967.0	\$799.5	\$846.9	\$930.1	\$1,013.6	\$1,078.9	\$1,173
FTE ¹	86,399	10,380	8,420	7,729	8,038	8,433	8,778	8,418

¹ FTE – Full-Time Equivalent
² Total obligations for performance outcome excludes the Working Capital Fund obligations financed by other Census Bureau funds and are already reflected in the results for the other funds.

ESA/CENSUS PERFORMANCE MEASURE			
MEASURE: Achieve pre-determined collection rates for Census Bureau censuses and surveys in order to provide statistically reliable data to support effective decision-making of policymakers, businesses, and the public			
Year	Status	Actual	Target
FY 2007	●	Met percentages	90% of key censuses & surveys meet/exceed collection rates/levels of reliability
Year	Status	Historical Results	Historical Target
FY 2006	●	Met percentages	90% of key censuses & surveys meet/exceed collection rates/levels of reliability
FY 2005	●	Met percentages	Various %s - see FY 2006 APP
FY 2004	●	Met percentages	Various %s - see FY 2005 APP
FY 2003	●	Met percentages	Various %s - see FY 2004 APP
FY 2002	●	100%	100%
FY 2001	●	100%	100%
FY 2000	●	100%	100%

* In FY 2004, Census combined all their outcomes into this outcome.

APPENDIX A: PERFORMANCE AND RESOURCE TABLES

ESA/CENSUS PERFORMANCE MEASURE			
MEASURE: Release data products for key Census Bureau programs on time to support effective decision-making of policymakers, businesses, and the public			
Year	Status	Actual	Target
FY 2007	●	1) 100% of Economic Indicators released on time 2) >89% of other key censuses & surveys data released on time	1) 100% of Economic Indicators released on time 2) >89% of other key censuses & surveys data released on time
Year	Status	Historical Results	Historical Target
FY 2006	●	1) 100% of Economic Indicators 2) 100% of other products	1) 100% of Economic Indicators released on time 2) >89% of other key censuses & surveys data released on time
FY 2005	●	22 products	22 products
FY 2004	●	10 products	7 products
FY 2003	●	2 products	3 products
FY 2002	●	Maintained FY 1999 time	Maintain FY 1999 time
FY 2001	●	Maintained FY 1999 time	Maintain FY 1999 time
FY 2000	●	Maintained FY 1999 time	Maintain FY 1999 time

ESA/CENSUS PERFORMANCE MEASURE			
MEASURE: Correct street features in TIGER (geographic) database - number of counties completed to more effectively support: Census Bureau censuses and surveys, facilitate the geographic partnerships between federal, state, local and tribal governments, and support the E-Government initiative in the President's Management Agenda			
Year	Status	Actual	Target
FY 2007	●	737	690
Year	Status	Historical Results	Historical Target
FY 2006	●	700	700
FY 2005	●	623	610
FY 2004	●	602	600
FY 2003	●	250	250
FY 2002	●	Prepared plan and systems to measure housing unit coverage	Prepare plan and systems to measure housing unit coverage
FY 2001		New—no target to measure against	

ESA/CENSUS PERFORMANCE MEASURE			
MEASURE: Complete key activities for cyclical census programs on time to support effective decision-making by policymakers, businesses, and the public and meet constitutional and legislative mandates			
Year	Status	Actual	Target
FY 2007	●	>89% of key prep activities completed on time	>89% of key prep activities completed on time
Year	Status	Historical Results	Historical Target
FY 2006	●	100% of activities completed on time	>89% of key prep activities completed on time
FY 2005	●	Activities completed on time	Various activities with different dates
FY 2004		New—no target to measure against	

APPENDIX A: PERFORMANCE AND RESOURCE TABLES

ESA/CENSUS PERFORMANCE MEASURE			
MEASURE: Meet or exceed the overall federal score of customer satisfaction on the American Customer Satisfaction Index (ACSI)			
Year	Status	Actual	Target
FY 2007	●	74	71
Year	Status	Historical Results	Historical Target
FY 2006	●	72	71
FY 2005	●	73	73
FY 2004	●	71	72
FY 2003		New—no target to measure against	

PERFORMANCE OUTCOME: Promote a better understanding of the U.S. economy by providing the most timely, relevant, and accurate economic data in an objective and cost-effective manner (ESA/BEA)

PERFORMANCE OUTCOME RESOURCES (Dollars in Millions)								
	FY 2000 Actual	FY 2001 Actual	FY 2002 Actual	FY 2003 Actual	FY 2004 Actual	FY 2005 Actual	FY 2006 Actual	FY 2007 Actual
Total Funding	\$54.5	\$57.9	\$66.7	\$74.0	\$78.8	\$84.1	\$85.6	\$87.0
FTE ¹	468	474	488	494	525	543	543	536

¹ FTE – Full-Time Equivalent

ESA/BEA PERFORMANCE MEASURE			
MEASURE: Timeliness: Reliability of delivery of economic data (number of scheduled releases issued on time)			
Year	Status	Actual	Target
FY 2007	●	54 of 54	54 of 54
Year	Status	Historical Results	Historical Target
FY 2006	●	54 of 54	54 of 54
FY 2005	●	54 of 54	54 of 54
FY 2004	●	54 of 54	54 of 54
FY 2003	●	48 of 48	48 of 48
FY 2002	●	50 of 50 ¹	50 of 50
FY 2001	●	100%	100%
FY 2000	●	100%	100%

¹ In FY 2002 the format was changed to express the ratio of scheduled releases to those issued on time rather than the percentage of releases successfully released on time.

APPENDIX A: PERFORMANCE AND RESOURCE TABLES

ESA/BEA PERFORMANCE MEASURE			
MEASURE: Relevance: Customer satisfaction with quality of products and services (mean rating on a 5-point scale)			
Year	Status	Actual	Target
FY 2007	●	4.3	Greater than 4.0
Year	Status	Historical Results	Historical Target
FY 2006	●	4.2	Greater than 4.0
FY 2005	●	4.4	Greater than 4.0
FY 2004	●	4.3	Greater than 4.0
FY 2003	●	4.4	Greater than 4.0
FY 2002	●	4.3	Greater than 4.0
FY 2001	●	N/A ¹	Greater than 4.0
FY 2000	●	4.3	Greater than 4.0

¹ Due to budget constraints, the FY 2001 survey was postponed until FY 2002.

ESA/BEA PERFORMANCE MEASURE			
MEASURE: Accuracy: Percent of GDP estimates correct ¹			
Year	Status	Actual	Target
FY 2007	●	93%	>85%
Year	Status	Historical Results	Historical Target
FY 2006	●	96%	>85%
FY 2005	●	96%	>85%
FY 2004	●	88%	>84%
FY 2003	●	88%	>84%
FY 2002	●	83%	
FY 2001	●	91%	
FY 2000	●	93%	

¹ BEA has actual data for FY 2000 - FY 2007, but did not begin tracking targets until FY 2003.

APPENDIX A: PERFORMANCE AND RESOURCE TABLES

ESA/BEA PERFORMANCE MEASURE			
MEASURE: Budget Related: Improving GDP and the economic accounts ¹			
Year	Status	Actual	Target
FY 2007	●	Completed strategic plan milestones	Completion of strategic plan milestones
Year	Status	Historical Results	Historical Target
FY 2006	●	Completed all major milestones related to improving the economic accounts	Completion of strategic plan milestones
FY 2005	●	Completed all major milestones related to improving the economic accounts	Completion of strategic plan milestones
FY 2004	●	Completed all major milestones related to improving the economic accounts	Completion of strategic plan milestones
FY 2003	●	Completed all major milestones related to improving the economic accounts	Completion of strategic plan milestones
FY 2002	●	Developed new measures to address gaps and updated BEA's accounts; designed prototype of new quarterly survey of international services; developed new pilot estimates that provide better integration with other accounts	Develop new measures to address gaps and updated BEA's accounts; design prototype of new quarterly survey of international services; develop new pilot estimates that provide better integration with other accounts
FY 2001		New—no target to measure against	

¹ The BEA Strategic Plan and a report card of completed milestones are available in "About BEA" on www.bea.gov.

ESA/BEA PERFORMANCE MEASURE			
MEASURE: Budget Related: Accelerating economic estimates ¹			
Year	Status	Actual	Target
FY 2007	●	Completed strategic plan milestones	Completion of strategic plan milestones
Year	Status	Historical Results	Historical Target
FY 2006	●	Completed all major milestones related to accelerating economic estimates	Completion of strategic plan milestones
FY 2005	●	Completed all major milestones related to accelerating economic estimates	Completion of strategic plan milestones
FY 2004	●	Completed all major milestones related to accelerating economic estimates	Completion of strategic plan milestones
FY 2003	●	Completed all major milestones related to accelerating economic estimates	Completion of strategic plan milestones
FY 2002		New—no target to measure against	

¹ The BEA Strategic Plan and a report card of completed milestones are available in "About BEA" on www.bea.gov.

APPENDIX A: PERFORMANCE AND RESOURCE TABLES

ESA/BEA PERFORMANCE MEASURE			
MEASURE: Budget Related: Meeting U.S. international obligations ¹			
Year	Status	Actual	Target
FY 2007	●	Completed strategic plan milestones	Completion of strategic plan milestones
Year	Status	Historical Results	Historical Target
FY 2006	●	Completed all major milestones related to meeting international obligations	Completion of strategic plan milestones
FY 2005	●	Completed all major milestones related to meeting international obligations	Completion of strategic plan milestones
FY 2004	●	Completed all major milestones related to meeting international obligations	Completion of strategic plan milestones
FY 2003	●	Completed all major milestones related to meeting international obligations	Completion of strategic plan milestones
FY 2002		New—no target to measure against	

¹ The BEA Strategic Plan and a report card of completed milestones are available in "About BEA" on www.bea.gov.

STRATEGIC GOAL 2

Foster science and technological leadership by protecting intellectual property, enhancing technical standards, and advancing measurement science

STRATEGIC GOAL 2 TOTAL RESOURCES (Dollars in Millions)								
	FY 2000 Actual	FY 2001 Actual	FY 2002 Actual	FY 2003 Actual	FY 2004 Actual	FY 2005 Actual	FY 2006 Actual	FY 2007 Actual
Total Funding	\$1,912.6	\$1,945.0	\$2,109.2	\$2,241.3	\$2,147.5	\$2,456.8	\$2,719.5	\$3,803.5
FTE ¹	9,578	9,575	10,068	10,074	10,005	10,022	10,590	11,451

¹ FTE – Full-Time Equivalent

STRATEGIC OBJECTIVE 2.1

Develop tools and capabilities that improve the productivity, quality, dissemination, and efficiency of research

OBJECTIVE 2.1 RESOURCES (Dollars in Millions)								
	FY 2000 Actual	FY 2001 Actual	FY 2002 Actual	FY 2003 Actual	FY 2004 Actual	FY 2005 Actual	FY 2006 Actual	FY 2007 Actual
Total Funding	\$970.0	\$819.0	\$913.5	\$952.8	\$830.1	\$878.5	\$974.2	\$891.0
FTE ¹	3,351	3,207	3,231	3,242	3,109	2,938	2,896	2,891

¹ FTE – Full-Time Equivalent

PERFORMANCE OUTCOME: Promote innovation, facilitate trade, and ensure public safety and security by strengthening the Nation’s measurements and standards infrastructure (NIST)

PERFORMANCE OUTCOME RESOURCES (Dollars in Millions)								
	FY 2000 Actual	FY 2001 Actual	FY 2002 ² Actual	FY 2003 ² Actual	FY 2004 ² Actual	FY 2005 ² Actual	FY 2006 Actual	FY 2007 Actual
Total Funding	\$628.5	\$502.1	\$579.2	\$614.1	\$576.8	\$621.6	\$762.4	\$662.4
FTE ¹	2,760	2,685	2,707	2,725	2,672	2,503	2,550	2,566

¹ FTE – Full-Time Equivalent
² The TA/US and NIST-Baldrige performance outcomes were discontinued in FY 2005. FY 2002 - FY 2006 funding amounts are included in this outcome.

APPENDIX A: PERFORMANCE AND RESOURCE TABLES

NIST PERFORMANCE MEASURE			
MEASURE: Qualitative assessment and review of technical quality and merit using peer review			
Year	Status	Actual	Target
FY 2007	●	Completed	Complete annual review
Year	Status	Historical Results	Historical Target
FY 2006	●	Completed	Complete annual review
FY 2005	●	Completed	Complete annual review
FY 2004	●	Completed	Complete annual review
FY 2003	●	Completed	Complete annual review
FY 2002	●	Completed	Complete annual review
FY 2001	●	Completed	Complete annual review
FY 2000	●	Completed	Complete annual review

NIST PERFORMANCE MEASURE			
MEASURE: Peer-reviewed technical publications produced			
Year	Status	Actual	Target
FY 2007	●	1,272	1,100
Year	Status	Historical Results	Historical Target
FY 2006	●	1,163	1,100
FY 2005	●	1,148	1,100
FY 2004	●	1,070	1,300
FY 2003		New—no target to measure against	1,267

NIST PERFORMANCE MEASURE			
MEASURE: Standard Reference Materials (SRM) sold ¹			
Year	Status	Actual	Target
FY 2007	●	32,614	30,000
Year	Status	Historical Results	Historical Target
FY 2006	●	31,195	30,000
FY 2005	●	32,163	29,500
FY 2004	●	30,490	29,500
FY 2003	●	1,214	1,360
FY 2002	●	1,353	1,350
FY 2001	●	1,335	1,315
FY 2000	●	1,292	1,300

¹ From FY 2000 - FY 2003 this was SRMs available.

APPENDIX A: PERFORMANCE AND RESOURCE TABLES

NIST PERFORMANCE MEASURE			
MEASURE: NIST-maintained datasets downloaded			
Year	Status	Actual	Target
FY 2007	●	130,000,000	80,000,000
<i>Performance was exceeded, because:</i> FY 2007 measure exceeded target due to improved methods for counting. New method will include all dataset downloads from nist.gov, time.gov and other websites. FY 2008, FY 2009 targets will reflect new method.			
Year	Status	Historical Results	Historical Target
FY 2006	●	94,371,001	80,000,000
FY 2005	●	93,305,136	80,000,000
FY 2004	●	73,601,352	56,000,000
FY 2003		New—no target to measure against	

NIST PERFORMANCE MEASURE			
MEASURE: Number of calibration tests performed			
Year	Status	Actual	Target
FY 2007	●	27,489 ¹	12,000
Year	Status	Historical Results	Historical Target
FY 2006	●	3,026	2,700
FY 2005	●	3,145	2,700
FY 2004	●	3,376	2,800
FY 2003	●	3,194	2,900
FY 2002	●	2,924	2,900
FY 2001	●	3,192	3,100
FY 2000	●	2,969	3,200

¹ From FY 2000 to FY 2006, this measure reflected the number of items tested, an amount considerably lower than the number of tests performed.

NIST PERFORMANCE MEASURE			
MEASURE: Citation impact of NIST-authored publications			
Year	Status	Actual	Target
FY 2007	●	>1.1 ¹	>1.1
Year	Status	Historical Results	Historical Target
FY 2006		New—no target to measure against	

¹ Actuals for this measure lag six months. The actual shown here is based on FY 2006 data.

APPENDIX A: PERFORMANCE AND RESOURCE TABLES

PERFORMANCE OUTCOME: Accelerate private investment in and development of high-risk, broad-impact technologies (NIST)*

PERFORMANCE OUTCOME RESOURCES (Dollars in Millions)								
	FY 2000 Actual	FY 2001 Actual	FY 2002 Actual	FY 2003 Actual	FY 2004 Actual	FY 2005 Actual	FY 2006 Actual	FY 2007 Actual
Total Funding	\$198.8	\$175.8	\$198.1	\$199.7	\$187.2	\$138.3	\$72.7	\$93.4
FTE ¹	270	239	249	247	204	207	135	127

¹ FTE – Full-Time Equivalent

NIST PERFORMANCE MEASURE			
MEASURE: Cumulative number of publications			
Year	Status	Actual	Target
FY 2007	●	1,910 from FY 2006 funding	1,710 from FY 2006 funding
Year	Status	Historical Results	Historical Target
FY 2006	●	1,701 from FY 2005 funding	1,520 from FY 2005 funding
FY 2005	●	1,452 from FY 2004 funding	990 from FY 2004 funding
FY 2004	●	1,245 from FY 2003 funding	840 from FY 2003 funding
FY 2003	●	969 from FY 2002 funding	770 from FY 2002 funding
FY 2002	●	747 from FY 2001 funding	720 from FY 2001 funding
FY 2001	●	565 from FY 2000 funding	680 from FY 2000 funding
FY 2000	●	468 from FY 1999 funding	480 from FY 1999 funding

NIST PERFORMANCE MEASURE			
MEASURE: Cumulative number of patents			
Year	Status	Actual	Target
FY 2007	●	1,507 from FY 2006 funding	1,510 from FY 2006 funding
<i>Performance was not met, because:</i>			
The difference between the target and actual was extremely small. However the Commerce standard of 100% = green, precludes this from being green. The yellow category was created for such a circumstance.			
Year	Status	Historical Results	Historical Target
FY 2006	●	1,418 from FY 2005 funding	1,340 from FY 2005 funding
FY 2005	●	1,254 from FY 2004 funding	1,220 from FY 2004 funding
FY 2004	●	1,171 from FY 2003 funding	1,020 from FY 2003 funding
FY 2003	●	939 from FY 2002 funding	930 from FY 2002 funding
FY 2002	●	800 from FY 2001 funding	790 from FY 2001 funding
FY 2001	●	693 from FY 2000 funding	770 from FY 2000 funding
FY 2000	●	607 from FY 1999 funding	640 from FY 1999 funding

* Actuals for this performance outcome lagged at least six months. Therefore, beginning with the FY 2005 PAR, NIST shifted to a format in which they report actuals one year later (i.e., FY 2004 actuals are reflected in the FY 2005 PAR). This data lag, coupled with the timeline for producing the PAR, precludes the reporting of actual FY 2007 data. These data reported in the current year PAR, are an estimate based on three-quarters of actual client reported impacts and one-quarter estimated client impacts.

APPENDIX A: PERFORMANCE AND RESOURCE TABLES

NIST PERFORMANCE MEASURE			
MEASURE: Cumulative number of projects with technologies under commercialization			
Year	Status	Actual	Target
FY 2007	●	377 from FY 2006 funding	360 from FY 2006 funding
Year	Status	Historical Results	Historical Target
FY 2006	●	346 from FY 2005 funding	320 from FY 2005 funding
FY 2005	●	296 from FY 2004 funding	250 from FY 2004 funding
FY 2004	●	271 from FY 2003 funding	210 from FY 2003 funding
FY 2003	●	244 from FY 2002 funding	190 from FY 2002 funding
FY 2002	●	195 from FY 2001 funding	180 from FY 2001 funding
FY 2001	●	166 from FY 2000 funding	170 from FY 2000 funding
FY 2000	●	120 from FY 1999 funding	120 from FY 1999 funding

PERFORMANCE OUTCOME: Raise the productivity and competitiveness of small manufacturers (NIST)*

PERFORMANCE OUTCOME RESOURCES (Dollars in Millions)								
	FY 2000 Actual	FY 2001 Actual	FY 2002 Actual	FY 2003 Actual	FY 2004 Actual	FY 2005 ² Actual	FY 2006 Actual	FY 2007 Actual
Total Funding	\$104.4	\$106.4	\$108.5	\$111.3	\$46.9	\$102.7	\$111.9	107.3
FTE ¹	91	87	89	89	68	71	67	67

¹ FTE – Full-Time Equivalent
² FY 2005 targets were based on FY 2004 consolidated appropriations bill, which included an annual level for MEP of \$39.6 million (which, less rescissions, netted \$38.7 million). Due to the funding cycle of MEP centers, the MEP system was able (on a one-time basis) to manage the funding decrease in FY 2004 with minimal impact to actual Center funding levels. The MEP system would not be able to sustain the current number of centers in the event of future funding cuts of a similar nature.

NIST PERFORMANCE MEASURE			
MEASURE: Number of clients served by Hollings MEP centers receiving federal funding			
Year	Status	Actual	Target
FY 2007	●	24,722 from FY 2006 funding	16,440 from FY 2006 funding
Year	Status	Historical Results	Historical Target
FY 2006	●	16,448 from FY 2005 funding	16,640 from FY 2005 funding
FY 2005	●	16,090 from FY 2004 funding	6,517 from FY 2004 funding
FY 2004	●	18,422 from FY 2003 funding	16,684 from FY 2003 funding
FY 2003	●	18,748 from FY 2002 funding	21,543 from FY 2002 funding
FY 2002		New—no target to measure against	

* Actuals for this performance outcome lagged at least six months. Therefore, beginning with the FY 2005 PAR, NIST shifted to a format in which they report actuals one year later (i.e., FY 2004 actuals are reflected in the FY 2005 PAR). This data lag, coupled with the timeline for producing the PAR, precludes the reporting of actual FY 2007 data. These data reported in the current year PAR, are an estimate based on three-quarters of actual client reported impacts and one-quarter estimated client impacts.

APPENDIX A: PERFORMANCE AND RESOURCE TABLES

NIST PERFORMANCE MEASURE			
MEASURE: Increased sales attributed to Hollings MEP centers receiving federal funding			
Year	Status	Actual	Target
FY 2007	●	3,460 from FY 2006 funding	\$591 from FY 2006 funding
Year	Status	Historical Results	Historical Target
FY 2006	●	\$2,842 from FY 2005 funding	\$591 from FY 2005 funding
FY 2005	●	\$1,889 from FY 2004 funding	\$228 from FY 2004 funding
FY 2004	●	\$1,483 from FY 2003 funding	\$522 from FY 2003 funding
FY 2003	●	\$953 from FY 2002 funding	\$728 from FY 2002 funding
FY 2002	●	\$636 from FY 2001 funding	\$708 from FY 2001 funding
FY 2001	●	\$698 from FY 2000 funding	\$670 from FY 2000 funding
FY 2000	●	\$425 from FY 1999 funding	\$443 from FY 1999 funding

NIST PERFORMANCE MEASURE			
MEASURE: Capital investment attributed to Hollings MEP centers receiving federal funding			
Year	Status	Actual	Target
FY 2007	●	1,270 from FY 2006 funding	\$740 from FY 2006 funding
Year	Status	Historical Results	Historical Target
FY 2006	●	\$2,248 from FY 2005 funding	\$740 from FY 2005 funding
FY 2005	●	\$941 from FY 2004 funding	\$285 from FY 2004 funding
FY 2004	●	\$912 from FY 2003 funding	\$559 from FY 2003 funding
FY 2003	●	\$940 from FY 2002 funding	\$910 from FY 2002 funding
FY 2002	●	\$680 from FY 2001 funding	\$913 from FY 2001 funding
FY 2001	●	\$873 from FY 2000 funding	\$864 from FY 2000 funding
FY 2000	●	\$576 from FY 1999 funding	\$359 from FY 1999 funding

NIST PERFORMANCE MEASURE			
MEASURE: Cost savings attributed to Hollings MEP centers receiving federal funding			
Year	Status	Actual	Target
FY 2007	●	\$919 from FY 2006 funding	\$405 from FY 2006 funding
Year	Status	Historical Results	Historical Target
FY 2006	●	\$1,304 from FY 2005 funding	\$405 from FY 2005 funding
FY 2005	●	\$721 from FY 2004 funding	\$156 from FY 2004 funding
FY 2004	●	\$586 from FY 2003 funding	\$353 from FY 2003 funding
FY 2003	●	\$681 from FY 2002 funding	\$497 from FY 2002 funding
FY 2002	●	\$442 from FY 2001 funding	\$576 from FY 2001 funding
FY 2001	●	\$482 from FY 2000 funding	\$545 from FY 2000 funding
FY 2000		New—no target to measure against	

PERFORMANCE OUTCOME: Enhance public access to worldwide scientific and technical information through improved acquisition and dissemination activities (NTIS)

PERFORMANCE OUTCOME RESOURCES (Dollars in Millions)								
	FY 2000 Actual	FY 2001 Actual	FY 2002 Actual	FY 2003 Actual	FY 2004 Actual	FY 2005 Actual	FY 2006 Actual	FY 2007 Actual
Total Funding	\$38.3	\$34.7	\$27.7	\$27.7	\$19.2	\$15.9	\$27.2	\$27.9
FTE ¹	230	196	186	181	165	157	144	131

¹ FTE – Full-Time Equivalent

NTIS PERFORMANCE MEASURE			
MEASURE: Number of updated items available (annual)			
Year	Status	Actual	Target
FY 2007	●	744,322	665,000
Year	Status	Historical Results	Historical Target
FY 2006	●	673,807	660,000
FY 2005	●	658,138	530,000
FY 2004	●	553,235	525,000
FY 2003	●	530,910	520,000
FY 2002	●	514,129	510,000
FY 2001		New—no target to measure against	

NTIS PERFORMANCE MEASURE			
MEASURE: Number of information products disseminated (annual)			
Year	Status	Actual	Target
FY 2007	●	32,027,113	27,100,000
Year	Status	Historical Results	Historical Target
FY 2006	●	30,616,338	27,000,000
FY 2005	●	26,772,015	25,800,000
FY 2004	●	25,476,424	18,000,000
FY 2003	●	29,134,050	17,000,000
FY 2002	●	16,074,862	16,000,000
FY 2001		New—no target to measure against	

APPENDIX A: PERFORMANCE AND RESOURCE TABLES

NTIS PERFORMANCE MEASURE			
MEASURE: Customer satisfaction			
Year	Status	Actual	Target
FY 2007	●	98%	95-98%
Year	Status	Historical Results	Historical Target
FY 2006	●	98%	95-98%
FY 2005	●	98%	98%
FY 2004	●	96%	98%
FY 2003	●	97%	98%
FY 2002	●	98%	97%
FY 2001		New—no target to measure against	

STRATEGIC OBJECTIVE 2.2

Protect intellectual property and improve the patent and trademark system

OBJECTIVE 2.2 RESOURCES (Dollars in Millions)								
	FY 2000 Actual	FY 2001 Actual	FY 2002 Actual	FY 2003 Actual	FY 2004 Actual	FY 2005 Actual	FY 2006 Actual	FY 2007 Actual
Total Funding	\$872.2	\$1,008.5	\$1,099.5	\$1,190.9	\$1,233.3	\$1,508.4	\$1,674.4	\$1,766.4
FTE ¹	6,007	6,149	6,593	6,581	6,627	6,825	7,446	8,291

¹ FTE – Full-Time Equivalent

PERFORMANCE OUTCOME: Optimize patent quality and timeliness (USPTO)*

PERFORMANCE OUTCOME RESOURCES (Dollars in Millions)								
	FY 2000 Actual	FY 2001 Actual	FY 2002 Actual	FY 2003 Actual	FY 2004 Actual	FY 2005 Actual	FY 2006 Actual	FY 2007 Actual
Total Funding	\$738.3	\$887.3	\$976.6	\$1,019.6	\$1,059.3	\$1,245.8	\$1,347.9	\$1,506.8
FTE ¹	5,136	5,316	5,720	5,815	5,899	6,021	5,994	7,073

¹ FTE – Full-Time Equivalent

USPTO PERFORMANCE MEASURE			
MEASURE: Patent allowance compliance rate ¹			
Year	Status	Actual	Target
FY 2007	●	96.5%	96.0%
Year	Status	Historical Results	Historical Target
FY 2006	●	3.5%	4.0%
FY 2005	●	4.6%	4.0%
FY 2004	●	5.3%	4.0%
FY 2003	●	4.4%	4.0%
FY 2002	●	4.2%	5.0%
FY 2001	²	5.4%	
FY 2000		6.6%	

¹ Prior to FY 2007, this measure was known as “Patent error rate (allowance).” The new wording is in effect the inverse of that measure.

² Prior to FY 2002, USPTO had not yet developed targets though it had tracked the data.

* Prior to FY 2007, this outcome was known as “Improve the quality of patent products and services and optimize patent processing time.”

APPENDIX A: PERFORMANCE AND RESOURCE TABLES

USPTO PERFORMANCE MEASURE			
MEASURE: Patent in-process examination compliance rate			
Year	Status	Actual	Target
FY 2007	●	92.2%	90.0%
Year	Status	Historical Results	Historical Target
FY 2006	●	90.0%	86.0%
FY 2005	●	86.2%	84.0%
FY 2004		New—no target to measure against	

USPTO PERFORMANCE MEASURE			
MEASURE: Patent average first action pendency (months)			
Year	Status	Actual	Target
FY 2007	●	25.3	23.7
<i>Performance was not met, because:</i> This target was not met due to the outdated patent pendency model that was used to forecast and set the target for this measure.			
<i>Strategies for Improvement:</i> USPTO plans to examine these issues by contracting with a forecast modeling expert. The expert will also provide USPTO with advice on how to best project first action pendency.			
Year	Status	Historical Results	Historical Target
FY 2006	●	22.6	22.0
FY 2005	●	21.1	21.3
FY 2004	●	20.2	20.2
FY 2003	●	18.3	18.4
FY 2002	●	16.7	14.7
FY 2001	●	14.4	13.9
FY 2000	●	13.6	14.2

USPTO PERFORMANCE MEASURE			
MEASURE: Patent average total pendency (months)			
Year	Status	Actual	Target
FY 2007	●	31.9	33.0
Year	Status	Historical Results	Historical Target
FY 2006	●	31.1	31.3
FY 2005	●	29.1	31.0
FY 2004	●	27.6	29.8
FY 2003	●	26.7	27.7
FY 2002	●	24.0	26.5
FY 2001	●	24.7	26.2
FY 2000	●	25.0	26.2

APPENDIX A: PERFORMANCE AND RESOURCE TABLES

USPTO PERFORMANCE MEASURE			
MEASURE: Patent efficiency (cost per patent production unit)			
Year	Status	Actual	Target
FY 2007	●	\$3,961	\$4,253
Year	Status	Historical Results	Historical Target
FY 2006	●	\$3,798	\$4,214
FY 2005	●	\$3,877	\$4,122
FY 2004	●	\$3,556	\$3,502
FY 2003	●	\$3,329	\$3,444
FY 2002	1	\$3,376	
FY 2001		\$3,210	
FY 2000		\$2,917	

¹ Prior to FY 2003, USPTO had not yet developed targets though it had tracked the data.

USPTO PERFORMANCE MEASURE			
MEASURE: Patent applications filed electronically ¹			
Year	Status	Actual	Target
FY 2007	●	49.3%	40.0%
Year	Status	Historical Results	Historical Target
FY 2006	●	14.2%	10.0%
FY 2005	●	2.2%	4.0%
FY 2004	●	1.5%	2.0%
FY 2003	●	1.3%	2.0%
FY 2002		New—no target to measure against	

¹ Prior to FY 2007, this measure was under the outcome “Create a more flexible organization through transitioning patent and trademark operations to an e-government environment and advancing intellectual property development worldwide;” which was reworded in FY 2007 so as to reflect a focus on worldwide activities.

USPTO PERFORMANCE MEASURE			
MEASURE: Patent applications managed electronically ¹			
Year	Status	Actual	Target
FY 2007	●	99.9%	99.9%
Year	Status	Historical Results	Historical Target
FY 2006	●	99.9%	99.0%
FY 2005	●	96.7%	90.0%
FY 2004	●	88.0%	70.0%
FY 2003		New—no target to measure against	

¹ Prior to FY 2007, this measure was under the outcome “Create a more flexible organization through transitioning patent and trademark operations to an e-government environment and advancing intellectual property development worldwide;” which was reworded in FY 2007 so as to reflect a focus on worldwide activities.

APPENDIX A: PERFORMANCE AND RESOURCE TABLES

PERFORMANCE OUTCOME: Optimize trademark quality and timeliness (USPTO)*

PERFORMANCE OUTCOME RESOURCES (Dollars in Millions)								
	FY 2000 Actual	FY 2001 Actual	FY 2002 Actual	FY 2003 Actual	FY 2004 Actual	FY 2005 Actual	FY 2006 Actual	FY 2007 Actual
Total Funding	\$133.3	\$120.2	\$122.9	\$119.4	\$112.0	\$144.9	\$149.6	\$191.2
FTE ¹	871	942	873	719	693	730	665	897

¹ FTE – Full-Time Equivalent

USPTO PERFORMANCE MEASURE			
MEASURE: Trademark first action compliance rate ¹			
Year	Status	Actual	Target
FY 2007	●	95.9%	95.5%
Year	Status	Historical Results	Historical Target
FY 2006	●	4.3%	6.5%
FY 2005	●	4.7%	7.5%
FY 2004	●	7.9%	8.3%
FY 2003		New—no target to measure against	

¹ Prior to FY 2007, this measure was known as “Trademark first action deficiency rate.” The new wording is in effect the inverse of that measure.

USPTO PERFORMANCE MEASURE			
MEASURE: Trademark final action compliance rate ¹			
Year	Status	Actual	Target
FY 2007	●	97.4%	96.0%
Year	Status	Historical Results	Historical Target
FY 2006	●	3.6%	6.5%
FY 2005	●	5.9%	5.0%
FY 2004	●	5.8%	5.0%
FY 2003		New—no target to measure against	

¹ Prior to FY 2007, this measure was known as “Trademark final action deficiency rate.” The new wording is in effect the inverse of that measure.

* Prior to FY 2007, this outcome was known as “Improve the quality of trademark products and services and optimize trademark processing time.”

APPENDIX A: PERFORMANCE AND RESOURCE TABLES

USPTO PERFORMANCE MEASURE			
MEASURE: Trademark efficiency (cost per trademark production unit)			
Year	Status	Actual	Target
FY 2007	●	\$660	\$685
Year	Status	Historical Results	Historical Target
FY 2006	●	\$565	\$635
FY 2005	●	\$677	\$701
FY 2004	●	\$542	\$583
FY 2003	●	\$433	\$683
FY 2002	1	\$487	
FY 2001		\$501	
FY 2000		\$568	

¹ Prior to FY 2003, USPTO had not yet developed targets though it had tracked the data.

USPTO PERFORMANCE MEASURE			
MEASURE: Trademark first action pendency (months)			
Year	Status	Actual	Target
FY 2007	●	2.9	3.7
Year	Status	Historical Results	Historical Target
FY 2006	●	4.8	5.3
FY 2005	●	6.3	6.4
FY 2004	●	6.6	5.4
FY 2003	●	5.4	3.0
FY 2002	●	4.3	3.0
FY 2001	●	2.7	6.6
FY 2000	●	5.7	4.5

USPTO PERFORMANCE MEASURE			
MEASURE: Trademark average total pendency (months)			
Year	Status	Actual	Target
FY 2007	●	15.1	17.3
Year	Status	Historical Results	Historical Target
FY 2006	●	18.0	18.8
FY 2005	●	19.6	20.3
FY 2004	●	19.5	21.6
FY 2003	●	19.8	15.5
FY 2002	●	19.9	15.5
FY 2001	●	17.8	18.0
FY 2000	●	17.3	18.0

APPENDIX A: PERFORMANCE AND RESOURCE TABLES

USPTO PERFORMANCE MEASURE			
MEASURE: Trademark applications filed electronically ¹			
Year	Status	Actual	Target
FY 2007	●	95.4%	90%
Year	Status	Historical Results	Historical Target
FY 2006	●	93.8%	80.0%
FY 2005	●	88.0%	70.0%
FY 2004	●	73.0%	65.0%
FY 2003	●	57.5%	80.0%
FY 2002	●	38.0%	50.0%
FY 2001	●	24.0%	30.0%
FY 2000		New—no target to measure against	

¹ Prior to FY 2007, this measure was under the outcome “Create a more flexible organization through transitioning patent and trademark operations to an e-government environment and advancing intellectual property development worldwide;” which was reworded in FY 2007 so as to reflect a focus on worldwide activities.

USPTO PERFORMANCE MEASURE			
MEASURE: Trademark applications managed electronically ¹			
Year	Status	Actual	Target
FY 2007	●	99.99%	99.0%
Year	Status	Historical Results	Historical Target
FY 2006	●	99.98%	99.0%
FY 2005	●	99.0%	99.0%
FY 2004	●	98.0%	80.0%
FY 2003		New—no target to measure against	

¹ Prior to FY 2007, this measure was under the outcome “Create a more flexible organization through transitioning patent and trademark operations to an e-government environment and advancing intellectual property development worldwide;” which was reworded in FY 2007 so as to reflect a focus on worldwide activities.

USPTO PERFORMANCE MEASURE			
MEASURE: Trademark average pendency excluding suspended and inter partes cases (months)			
Year	Status	Actual	Target
FY 2007	●	13.4	14.8
Year	Status	Historical Results	Historical Target
FY 2006	●	15.5	16.3
FY 2005		New—no target to measure against	

PERFORMANCE OUTCOME: Improve intellectual property and enforcement domestically and abroad (USPTO)*

PERFORMANCE OUTCOME RESOURCES (Dollars in Millions)								
	FY 2000 Actual	FY 2001 Actual	FY 2002 Actual	FY 2003 Actual	FY 2004 Actual	FY 2005 Actual	FY 2006 Actual	FY 2007 Actual
Total Funding	N/A	N/A	NA	\$51.9	\$62.0	\$117.7	\$176.9	\$68.4
FTE ¹				47	102	74	787	321

¹ FTE – Full-Time Equivalent

USPTO PERFORMANCE MEASURE			
MEASURE: Number of instances in which External Affairs (EA) experts review intellectual property (IP) policies/standards			
Year	Status	Actual	Target
FY 2007	●	461	80
Year	Status	Historical Results	Historical Target
FY 2006		New—no target to measure against	

USPTO PERFORMANCE MEASURE			
MEASURE: Improving worldwide IP expertise for U.S. government interests			
Year	Status	Actual	Target
FY 2007	●	17	10
Year	Status	Historical Results	Historical Target
FY 2006		New—no target to measure against	

USPTO PERFORMANCE MEASURE			
MEASURE: Plans of actions, mechanisms, and support programs initiated or implemented in developing countries			
Year	Status	Actual	Target
FY 2007	●	15	8
Year	Status	Historical Results	Historical Target
FY 2006		New—no target to measure against	

* Prior to FY 2007, this outcome was known as "Create a more flexible organization through transitioning patent and trademark operations to an e-government environment and advancing intellectual property development worldwide."

STRATEGIC OBJECTIVE 2.3

Advance the development of global e-commerce and enhanced telecommunications and information services

OBJECTIVE 2.3 RESOURCES (Dollars in Millions)								
	FY 2000 Actual	FY 2001 Actual	FY 2002 Actual	FY 2003 Actual	FY 2004 Actual	FY 2005 Actual	FY 2006 Actual	FY 2007 Actual ²
Total Funding	\$70.4	\$117.5	\$96.2	\$97.6	\$84.4	\$69.9	\$70.9	\$1,146.1
FTE ¹	220	219	244	251	269	259	248	269

¹ FTE – Full-Time Equivalent
² In FY 2007, \$1,070.3 was provided to the newly formed Digital Television and Public Safety Program.

PERFORMANCE OUTCOME: Ensure that the allocation of radio spectrum provides the greatest benefit to all people (NTIA)

PERFORMANCE OUTCOME RESOURCES (Dollars in Millions)								
	FY 2000 Actual	FY 2001 Actual	FY 2002 Actual	FY 2003 Actual	FY 2004 Actual	FY 2005 Actual	FY 2006 Actual	FY 2007 Actual
Total Funding	\$19.8	\$21.5	\$23.4	\$24.5	\$28.5	\$30.4	\$36.8	\$36.8
FTE ¹	135	133	141	147	159	169	162	154

¹ FTE – Full-Time Equivalent

NTIA PERFORMANCE MEASURE			
MEASURE: Timeliness of processing (days)			
Year	Status	Actual	Target
FY 2007	●	9	9 or fewer
Year	Status	Historical Results	Historical Target
FY 2006	●	9	9 or fewer
FY 2005	●	10	12
FY 2004	●	<12	12
FY 2003	●	15	15
FY 2002		New—no target to measure against	

NTIA PERFORMANCE MEASURE			
MEASURE: Certification request processing time (months)			
Year	Status	Actual	Target
FY 2007	●	4	4 or fewer
Year	Status	Historical Results	Historical Target
FY 2006	●	4	4 or fewer
FY 2005		New—no target to measure against	

APPENDIX A: PERFORMANCE AND RESOURCE TABLES

NTIA PERFORMANCE MEASURE			
MEASURE: Space system coordination request processing time			
Year	Status	Actual	Target
FY 2007	●	97%	80% in 14 days or fewer
Year	Status	Historical Results	Historical Target
FY 2006	●	95%	80% in 14 days or fewer
FY 2005		New—no target to measure against	

NTIA PERFORMANCE MEASURE			
MEASURE: Spectrum plans and policies processing time			
Year	Status	Actual	Target
FY 2007	●	11 days	Comments in 15 days or fewer
Year	Status	Historical Results	Historical Target
FY 2006	●	13 days	Comments in 15 days or fewer
FY 2005		New—no target to measure against	

NTIA PERFORMANCE MEASURE			
MEASURE: Milestones completed from the implementation plan of the President's Spectrum Policy Initiative			
Year	Status	Actual	Target
FY 2007	●	23 of 29	23 of 29
Year	Status	Historical Results	Historical Target
FY 2006	●	18 out of 22	18 out of 22
FY 2005		New—no target to measure against	

PERFORMANCE OUTCOME: Promote the availability, and support new sources, of advanced telecommunications and information services (NTIA)

PERFORMANCE OUTCOME RESOURCES (Dollars in Millions)								
	FY 2000 Actual	FY 2001 Actual	FY 2002 ² Actual	FY 2003 ² Actual	FY 2004 ² Actual	FY 2005 ² Actual	FY 2006 Actual	FY 2007 Actual
Total Funding	\$50.6	\$96.0	\$72.8	\$73.1	\$55.9	\$39.5	\$34.1	1,109.3
FTE ¹	85	86	103	104	110	90	86	115

¹ FTE – Full-Time Equivalent

² Amounts for FYs 2002-2004 include those for the discontinued outcome "Increase competition within the telecommunications sector and promote universal access to telecommunications services for all Americans."

APPENDIX A: PERFORMANCE AND RESOURCE TABLES

NTIA PERFORMANCE MEASURE			
MEASURE: Support new telecom and information technology by advocating Administration views in number of FCC docket filings, and Congressional and other proceedings in which Administration views are advocated			
Year	Status	Actual	Target
FY 2007	●	8 dockets and proceedings	5 dockets and proceedings
Year	Status	Historical Results	Historical Target
FY 2006	●	12 dockets and proceedings	5 dockets and proceedings
FY 2005	●	5 dockets and proceedings	5 dockets and proceedings
FY 2004		New—no target to measure against	

NTIA PERFORMANCE MEASURE			
MEASURE: Number of Web site views for research publications			
Year	Status	Actual	Target
FY 2007	●	105,000/month	75,000/month
Year	Status	Historical Results	Historical Target
FY 2006	●	94,000/month	75,000/month
FY 2005		New—no target to measure against	75,000/month

STRATEGIC GOAL 3

Observe, protect, and manage the Earth's resources to promote environmental stewardship

STRATEGIC GOAL 3 TOTAL RESOURCES (Dollars in Millions)								
	FY 2000 ² Actual	FY 2001 ² Actual	FY 2002 ² Actual	FY 2003 Actual	FY 2004 Actual	FY 2005 Actual	FY 2006 Actual	FY 2007 Actual
Total Funding	\$2,455.4	\$3,254.8	\$3,398.4	\$3,458.6	\$3,802.0	\$4,064.0	\$4,507.3	\$4,321.2
FTE ¹	10,329	11,473	11,585	11,898	11,868	11,918	12,896	11,933

¹ FTE – Full-Time Equivalent
² In FY 2001, NOAA shifted from seven performance objectives to four performance objectives. Funding and FTE data for FY 2000 - FY 2001 reflect the best approximations of the funding and FTE from the seven objectives as they would correspond to the new, four objectives. In FY 2002, NOAA added a "Mission Support" objective (without any measures), but with funding, resulting in a significant decrease in funding for the ecosystem objective between FY 2001 and FY 2002, and the weather and water objective between FY 2003 and FY 2004.

STRATEGIC OBJECTIVE 3.1

Advance understanding and predict changes in the Earth's environment to meet America's economic, social, and environmental needs

OBJECTIVE 3.1 RESOURCES (Dollars in Millions)								
	FY 2000 Actual	FY 2001 Actual	FY 2002 Actual	FY 2003 Actual	FY 2004 Actual	FY 2005 Actual	FY 2006 Actual	FY 2007 Actual
Total Funding	\$1,477.3	\$1,614.8	\$1,500.8	\$1,631.6	\$1,123.1	\$1,155.0	\$1,165.3	\$1,159.4
FTE ¹	6,289	6,690	5,885	5,537	5,363	5,253	5,572	5,165

¹ FTE – Full-Time Equivalent

PERFORMANCE OBJECTIVE: Serve society's needs for weather and water information (NOAA)

PERFORMANCE OBJECTIVE RESOURCES (Dollars in Millions)								
	FY 2000 Actual	FY 2001 Actual	FY 2002 Actual	FY 2003 Actual	FY 2004 Actual	FY 2005 Actual	FY 2006 Actual	FY 2007 Actual
Total Funding	\$1,260.9	\$1,376.0	\$1,188.8	\$1,284.1	\$883.6	\$898.1	\$929.2	\$900.7
FTE ¹	5,812	5,997	5,100	4,912	4,760	4,654	4,907	4,708

¹ FTE – Full-Time Equivalent

APPENDIX A: PERFORMANCE AND RESOURCE TABLES

NOAA PERFORMANCE MEASURE			
MEASURE: Severe weather warnings for tornadoes (county-based) – Lead time (minutes) ¹			
Year	Status	Actual	Target
FY 2007	●	14 ²	13
Year	Status	Historical Results	Historical Target
FY 2006	●	13	13
FY 2005	●	13	13
FY 2004	●	13	12
FY 2003	●	13	12
FY 2002	●	12	11
FY 2001	●	10	13
FY 2000	●	10	12

¹ Prior to FY 2007, this measure was known as “Tornado warnings lead time (minutes).”

² Projected. Final – December 2007.

NOAA PERFORMANCE MEASURE			
MEASURE: Severe weather warnings for tornadoes (county-based) – Accuracy (%) ¹			
Year	Status	Actual	Target
FY 2007	●	80% ²	76%
Year	Status	Historical Results	Historical Target
FY 2006	●	75%	76%
FY 2005	●	76%	73%
FY 2004	●	75%	72%
FY 2003	●	79%	72%
FY 2002	●	76%	69%
FY 2001	●	67%	68%
FY 2000	●	63%	70%

¹ Prior to FY 2007, this measure was known as “Tornado warnings accuracy (%).”

² Projected. Final – December 2007.

APPENDIX A: PERFORMANCE AND RESOURCE TABLES

NOAA PERFORMANCE MEASURE			
MEASURE: Severe weather warnings for tornadoes (county-based) – False alarm rate (%) ¹			
Year	Status	Actual	Target
FY 2007	●	75% ²	75%
Year	Status	Historical Results	Historical Target
FY 2006	●	79%	75%
FY 2005	●	77%	73%
FY 2004	●	74%	70%
FY 2003	●	76%	72%
FY 2002	●	73%	71%
FY 2001	●	73%	73%
FY 2000	●	76%	65%

¹ Prior to FY 2007, this measure was known as "Tornado warnings false alarm rate (%)."

² Projected. Final – December 2007.

NOAA PERFORMANCE MEASURE			
MEASURE: Severe weather warnings for flash floods – Lead time (minutes) ¹			
Year	Status	Actual	Target
FY 2007	●	61 ²	48
Year	Status	Historical Results	Historical Target
FY 2006	●	49	48
FY 2005	●	54	48
FY 2004	●	47	50
FY 2003	●	41	47
FY 2002	●	52	45
FY 2001	●	46	45
FY 2000	●	43	55

¹ Prior to FY 2007, this measure was known as "Flash flood warnings lead time (minutes)."

² Projected. Final – December 2007.

APPENDIX A: PERFORMANCE AND RESOURCE TABLES

NOAA PERFORMANCE MEASURE			
MEASURE: Severe weather warnings for flash floods – Accuracy (%) ¹			
Year	Status	Actual	Target
FY 2007	●	91% ²	89%
Year	Status	Historical Results	Historical Target
FY 2006	●	89%	89%
FY 2005	●	89%	89%
FY 2004	●	89%	88%
FY 2003	●	89%	87%
FY 2002	●	89%	86%
FY 2001	●	86%	86%
FY 2000	●	86%	86%

¹ Prior to FY 2007, this measure was known as “Flash flood warnings accuracy (%)”

² Projected. Final – December 2007.

NOAA PERFORMANCE MEASURE			
MEASURE: Hurricane forecast track error (48 hours) (nautical miles)			
Year	Status	Actual	Target
FY 2007	●	97 ¹	110
Year	Status	Historical Results	Historical Target
FY 2006	●	97	111
FY 2005	●	101	128
FY 2004	●	94	129
FY 2003	●	107	130
FY 2002	●	122	142
FY 2001		New—no target to measure against	

¹ Since final data will not be available until February 2008, and a good estimate cannot be determined, beginning in FY 2007, NOAA will report the previous year’s results.

NOAA PERFORMANCE MEASURE			
MEASURE: Accuracy (%) (threat score) of day 1 precipitation forecasts ¹			
Year	Status	Actual	Target
FY 2007	●	31	29
Year	Status	Historical Results	Historical Target
FY 2006	●	30	28
FY 2005	●	29	27
FY 2004	●	29	25
FY 2003	●	29	25
FY 2002	●	26	17
FY 2001	●	19	22
FY 2000	●	16	20

¹ From FY 2000 - FY 2002, this was accuracy of 3-day forecast.



APPENDIX A: PERFORMANCE AND RESOURCE TABLES

NOAA PERFORMANCE MEASURE			
MEASURE: Winter storm warnings – Lead time (hours)			
Year	Status	Actual	Target
FY 2007	●	19	15
Year	Status	Historical Results	Historical Target
FY 2006	●	17	15
FY 2005	●	17	15
FY 2004	●	15	14
FY 2003	●	14	13
FY 2002	●	13	13
FY 2001	●	13	13
FY 2000	●	9	12

NOAA PERFORMANCE MEASURE			
MEASURE: Winter storm warnings – Accuracy (%)			
Year	Status	Actual	Target
FY 2007	●	92%	90%
Year	Status	Historical Results	Historical Target
FY 2006	●	89%	90%
FY 2005	●	91%	90%
FY 2004	●	91%	89%
FY 2003	●	90%	88%
FY 2002	●	89%	86%
FY 2001	●	90%	86%
FY 2000	●	85%	85%

NOAA PERFORMANCE MEASURE			
MEASURE: Cumulative percentage of U.S. shoreline and inland areas that have improved ability to reduce coastal hazard impacts			
Year	Status	Actual	Target
FY 2007	●	32%	32%
Year	Status	Historical Results	Historical Target
FY 2006	●	32%	32%
FY 2005	●	28%	28%
FY 2004	●	17%	17%
FY 2003	●	17%	17%
FY 2002	●	8%	17%
FY 2001	●	8%	6%
FY 2000	●	8%	14%

APPENDIX A: PERFORMANCE AND RESOURCE TABLES

PERFORMANCE OBJECTIVE: Understand climate variability and change to enhance society’s ability to plan and respond (NOAA)

PERFORMANCE OBJECTIVE RESOURCES (Dollars in Millions)								
	FY 2000 Actual	FY 2001 Actual	FY 2002 Actual	FY 2003 Actual	FY 2004 Actual	FY 2005 Actual	FY 2006 Actual	FY 2007 Actual
Total Funding	\$216.4	\$238.8	\$312.0	\$347.5	\$239.5	\$256.9	\$236.1	\$258.7
FTE ¹	477	693	785	625	603	599	665	457

¹ FTE – Full-Time Equivalent

NOAA PERFORMANCE MEASURE			
MEASURE: U.S. temperature forecasts (cumulative skill score computed over the regions where predictions are made)			
Year	Status	Actual	Target
FY 2007	●	29	19
Year	Status	Historical Results	Historical Target
FY 2006	●	25	18
FY 2005	●	19	18
FY 2004	●	17	21
FY 2003	●	17	20
FY 2002	●	18	20
FY 2001	●	20	20
FY 2000	●	25	20

NOAA PERFORMANCE MEASURE			
MEASURE: Reduce the uncertainty in the magnitude of the North American carbon uptake			
Year	Status	Actual	Target
FY 2007	●	0.40 GtC/yr ¹	0.40 GtC/yr
Year	Status	Historical Results	Historical Target
FY 2006	●	0.40 GtC/yr	0.40 GtC/yr
FY 2005	●	0.40 GtC/yr	0.48 GtC/yr
FY 2004	●	0.50 GtC/yr	0.70 GtC/yr
FY 2003	●	0.80 GtC/yr	0.50 GtC/yr
FY 2002	●	Identified 5 pilot sites and 4 carbon tracks	Identified 5 pilot sites and 4 carbon tracks
FY 2001		New—no target to measure against	

¹ Estimate. Final – February 2008.

NOAA PERFORMANCE MEASURE			
MEASURE: Reduce the uncertainty in model simulations of the influence of aerosols on climate			
Year	Status	Actual	Target
FY 2007	●	10%	10% improvement
Year	Status	Historical Results	Historical Target
FY 2006	●	10%	Establish 10% improvement
FY 2005		New—no target to measure against	

APPENDIX A: PERFORMANCE AND RESOURCE TABLES

NOAA PERFORMANCE MEASURE			
MEASURE: Determine the national explained variance (%) for temperature and precipitation for the contiguous United States using USCRN stations			
Year	Status	Actual	Target
FY 2007	●	Temperature-97.7%, Precipitation-93.8%	Temperature-97.2%, Precipitation-92.6%
Year	Status	Historical Results	Historical Target
FY 2006	●	Temperature-97.1%, Precipitation-91.9%	Temperature-97.0%, Precipitation-91.4%
FY 2005	●	Temperature-96.9%, Precipitation-91.4%	Temperature-96.7%, Precipitation-90.0%
FY 2004	●	Temperature-96.0%, Precipitation-90.0%	Temperature-80.0%, Precipitation-55.0%
FY 2003	●	Temperature-95.0%, Precipitation-84.0%	Temperature-70.0%, Precipitation-40.0%
FY 2002	●	Temperature-85.0%, Precipitation-55.0%	Temperature-60.0%, Precipitation-25.0%
FY 2001		New—no target to measure against	

NOAA PERFORMANCE MEASURE			
MEASURE: Reduce the error in global measurement of sea surface temperature			
Year	Status	Actual	Target
FY 2007	●	0.53°C	0.5°C
<p><i>Performance was not met, because:</i> Current observing platform deployments are not spatially optimized to reduce the satellite bias error to the desired level (between 0.2 and 0.5 degrees Celsius).</p> <p><i>Strategies for Improvement:</i> As funding becomes available, deployment strategies will be optimized using charter ships and surface current models to fill the observing gaps.</p>			
Year	Status	Historical Results	Historical Target
FY 2006	●	0.53°C	0.50°C
FY 2005		New—no target to measure against	

NOAA PERFORMANCE MEASURE			
MEASURE: Improve society's ability to plan and respond to climate variability and change using NOAA climate products and information			
Year	Status	Actual	Target
FY 2007	●	32	32 assessments/evaluations
Year	Status	Historical Results	Historical Target
FY 2006	●	33 assessments/evaluations	32 assessments/evaluations
FY 2005		New—no target to measure against	

STRATEGIC OBJECTIVE 3.2

Enhance the conservation and management of coastal and marine resources to meet America's economic, social, and environmental needs

OBJECTIVE 3.2 RESOURCES (Dollars in Millions)								
	FY 2000 Actual	FY 2001 Actual	FY 2002 Actual	FY 2003 Actual	FY 2004 Actual	FY 2005 Actual	FY 2006 Actual	FY 2007 Actual
Total Funding	\$978.1	\$1,640	\$1,584.1	\$1,576.5	\$1,461.3	\$1,554.5	\$1,758.0	\$1,597.7
FTE ¹	4,040	4,783	3,984	4,365	4,327	4,228	4,444	3,720

¹ FTE – Full-Time Equivalent

PERFORMANCE OBJECTIVE: Protect, restore, and manage the use of coastal and ocean resources through an ecosystem approach to management (NOAA)

PERFORMANCE OBJECTIVE RESOURCES (Dollars in Millions)								
	FY 2000 Actual	FY 2001 Actual	FY 2002 Actual	FY 2003 Actual	FY 2004 Actual	FY 2005 Actual	FY 2006 Actual	FY 2007 Actual
Total Funding	\$873.6	\$1,504	\$1,334.2	\$1,314.9	\$1,268.5	\$1,379.5	\$1,559.3	\$1,418.3
FTE ¹	3,233	3,913	3,042	3,361	3,611	3,479	3,670	3,029

¹ FTE – Full-Time Equivalent

NOAA PERFORMANCE MEASURE			
MEASURE: Number of protected species designated as threatened, endangered, or depleted with stable or increasing population levels			
Year	Status	Actual	Target
FY 2007	●	26 ¹	26
Year	Status	Historical Results	Historical Target
FY 2006	●	26	24
FY 2005		24	New—no target to measure against

¹ Projected. Final – December 2007.

NOAA PERFORMANCE MEASURE			
MEASURE: Number of habitat acres restored (annual/cumulative) ¹			
Year	Status	Actual	Target
FY 2007	●	5,974 / 38,488	5,000 / 37,514
Year	Status	Historical Results	Historical Target
FY 2006	●	7,598 / 32,514	4,500 / 29,416
FY 2005	●	8,333 / 24,916	4,500 / 21,083
FY 2004	●	5,563 / 16,583	3,700 / 14,780
FY 2003	●	5,200 / 11,020	2,829
FY 2002		New—no target to measure against	

¹ Determination of whether target was met or exceeded is based on annual amount since that is what was done in that year.

APPENDIX A: PERFORMANCE AND RESOURCE TABLES

NOAA PERFORMANCE MEASURE			
MEASURE: Cumulative number of coastal, marine, and Great Lakes issue-based forecasting capabilities developed and used for management			
Year	Status	Actual	Target
FY 2007	●	35	35
Year	Status	Historical Results	Historical Target
FY 2006	●	31	31
FY 2005		New—no target to measure against	

NOAA PERFORMANCE MEASURE			
MEASURE: Annual number of coastal, marine, and Great Lakes ecological characterizations that meet management needs			
Year	Status	Actual	Target
FY 2007	●	27	27
Year	Status	Historical Results	Historical Target
FY 2006	●	62	53
FY 2005		New—no target to measure against	

NOAA PERFORMANCE MEASURE			
MEASURE: Annual number of coastal, marine, and Great Lakes habitat acres acquired or designated for long-term protection			
Year	Status	Actual	Target
FY 2007	●	2,000 ¹	2,000
Year	Status	Historical Results	Historical Target
FY 2006	●	>86M ²	200,137
FY 2005		New—no target to measure against	

¹ Estimate. Final – December 2007.

² The large FY 2006 actual reflects the new Northwest Hawaiian Islands Marine National Monument.

NOAA PERFORMANCE MEASURE			
MEASURE: Fish stock sustainability index (FSSI) ¹			
Year	Status	Actual	Target
FY 2007	●	516 ²	505
Year	Status	Historical Results	Historical Target
FY 2006		501.0	
FY 2005		481.5	
FY 2004		456	

¹ NOAA only recently developed the FSSI and therefore didn't have any targets prior to FY 2007. NOAA did, however, have data from which they could derive the FSSI index for FY 2004 - FY 2006

² Projected. Final – December 2007.

APPENDIX A: PERFORMANCE AND RESOURCE TABLES

NOAA PERFORMANCE MEASURE			
MEASURE: Percentage of living marine resources (LMR) with adequate population assessments and forecasts			
Year	Status	Actual	Target
FY 2007	●	40.7 ¹	40
Year	Status	Historical Results	Historical Target
FY 2006	●	38.8	New - no target to measure against
FY 2005		37.5	

¹ Projected. Final – December 2007.

NOAA PERFORMANCE MEASURE			
MEASURE: Percentage of tools, technologies, and information services that are used by NOAA partners/customers to improve ecosystem-based management			
Year	Status	Actual	Target
FY 2007	●	85	85
Year	Status	Historical Results	Historical Target
FY 2006		New - no target to measure against	

PERFORMANCE OBJECTIVE: Support the Nation’s commerce with information for safe, efficient, and environmentally sound transportation (NOAA)

PERFORMANCE OBJECTIVE RESOURCES (Dollars in Millions)								
	FY 2000 Actual	FY 2001 Actual	FY 2002 ² Actual	FY 2003 ² Actual	FY 2004 ² Actual	FY 2005 Actual	FY 2006 Actual	FY 2007 Actual
Total Funding	\$104.5	\$136.0	\$249.9	\$261.6	\$192.8	\$175.0	\$198.7	\$179.4
FTE ¹	807	870	942	1,004	716	749	774	691

¹ FTE – Full-Time Equivalent
² In the FY 2004 PAR, the 2002-2004 amounts for the mission support objective were distributed among the four objectives. In this PAR, the 2002-2004 mission support levels were separated out resulting in lower 2002-2004 levels than as reported in the FY 2004 PAR for the other four objectives.

NOAA PERFORMANCE MEASURE			
MEASURE: Reduce the hydrographic survey backlog within navigationally significant areas (square nautical miles surveyed per year) ¹			
Year	Status	Actual	Target
FY 2007	●	3,198 ²	1,350
Year	Status	Historical Results	Historical Target
FY 2006	●	2,851	2,500
FY 2005	●	3,079	2,700
FY 2004	●	2,070	2,290
FY 2003	●	1,762	2,100
FY 2002		1,514	
FY 2001		2,963	
FY 2000		1,557	

¹ Prior to FY 2003, NOAA's targets were in the form of percent reduction, not miles. NOAA changed this methodology in FY 2003, but had actual data (shown here) back to FY 2000.
² Estimate. Final – December 2007.

APPENDIX A: PERFORMANCE AND RESOURCE TABLES

NOAA PERFORMANCE MEASURE			
MEASURE: Percentage of U.S. counties rated as fully enabled or substantially enabled with accurate positioning capacity			
Year	Status	Actual	Target
FY 2007	●	51.6%	49.0%
Year	Status	Historical Results	Historical Target
FY 2006	●	43.3%	39.0%
FY 2005	●	32.2%	28.0%
FY 2004		New—no target to measure against	

NOAA PERFORMANCE MEASURE			
MEASURE: Aviation forecast accuracy of ceiling/visibility (1 mi/500 feet to less than 3 mi/1,000 feet)(%) ^{1,2,3}			
Year	Status	Actual	Target
FY 2007	●	62%	62%
Year	Status	Historical Results	Historical Target
FY 2006	●	43%	47%
FY 2005	●	46%	46%
FY 2004	●	45%	46%
FY 2003	●	48%	45%
FY 2002	●	13%	18%
FY 2001	●	18%	21%
FY 2000	●	15%	20%

¹ Prior to FY 2007, this measure was known as “Accuracy (%) of forecasts of ceiling and visibility (aviation forecasts).”

² From FY 2000 - 2002, NOAA used a different method to calculate accuracy - targets were significantly lower than the current method.

³ For FY 2007, the aviation measures were redefined to cover the entire IFR (Instrument Flight Rule) airspace instead of the limited IFR range of 5,000 feet to three miles. This change was to increase the usefulness of the measure to the general and commercial aviation communities. This change required the measures to be re-baselined. While the numbers for accuracy and FAR appear to be reversed when comparing FY 2006 to FY 2007, they are actually measuring different things.

NOAA PERFORMANCE MEASURE			
MEASURE: Aviation forecast FAR for ceiling/visibility (1 mi/500 feet to less than 3 mi/1,000 feet) (%) ^{1,2,3}			
Year	Status	Actual	Target
FY 2007	●	40%	45%
Year	Status	Historical Results	Historical Target
FY 2006	●	64%	65%
FY 2005	●	63%	68%
FY 2004	●	65%	70%
FY 2003	●	64%	71%
FY 2002	●	58%	52%
FY 2001	●	51%	51%
FY 2000	●	53%	50%

¹ Prior to FY 2007, this measure was known as “False alarm rate (FAR)(%) of ceiling and visibility (aviation forecasts).”

² From FY 2000 - 2002, NOAA used a different method to calculate false alarm rate - targets were significantly lower than the current method.

³ For FY 2007, the aviation measures were redefined to cover the entire IFR (Instrument Flight Rule) airspace instead of the limited IFR range of 5,000 feet to three miles. This change was to increase the usefulness of the measure to the general and commercial aviation communities. This change required the measures to be re-baselined. While the numbers for accuracy and FAR appear to be reversed when comparing FY 2006 to FY 2007, they are actually measuring different things.

APPENDIX A: PERFORMANCE AND RESOURCE TABLES

NOAA PERFORMANCE MEASURE			
MEASURE: Marine wind – percentage of accurate forecasts (%) ^{1,2}			
Year	Status	Actual	Target
FY 2007	●	73% ³	68%
Year	Status	Historical Results	Historical Target
FY 2006	●	55%	58%
FY 2005	●	57%	57%
FY 2004	●	57%	57%
FY 2003	●	57%	54%
FY 2002	●	53%	53%
FY 2001	●	52%	53%
FY 2000	●	51%	51%

¹ Prior to FY 2007, this measure was known as “Marine wind speed accuracy (%).”
² From FY 2000 - 2002, this was combined with “Marine Wave height accuracy.”
³ Projected. Actuals through August 2007.

NOAA PERFORMANCE MEASURE			
MEASURE: Wave heights – percentage of accurate forecasts (%) ^{1,2}			
Year	Status	Actual	Target
FY 2007	●	78% ³	73%
Year	Status	Historical Results	Historical Target
FY 2006	●	70%	68%
FY 2005	●	67%	67%
FY 2004	●	67%	69%
FY 2003	●	71%	66%
FY 2002		New—no target to measure	

¹ Prior to FY 2007, this measure was known as “Marine wave height accuracy (%).”
² From FY 2000 - FY 2002, this was combined with “Marine wind speed accuracy.”
³ Projected. Actuals through August 2007.

MISSION SUPPORT OBJECTIVE: Provide critical support for NOAA’s mission (NOAA)*

PERFORMANCE OBJECTIVE RESOURCES (Dollars in Millions)								
	FY 2000 Actual	FY 2001 Actual	FY 2002 Actual	FY 2003 Actual	FY 2004 Actual	FY 2005 Actual	FY 2006 Actual	FY 2007 Actual
Total Funding			\$313.5	\$250.5	\$1,217.6	\$1,354.5	\$1,584.0	\$1,564.1
FTE ¹			1,716	1,996	2,178	2,437	2,880	3,048

¹ FTE – Full-Time Equivalent

* There are no GPRA measures for the Mission Support objective since the activities of this objective support the outcomes of the four other NOAA objectives.

MANAGEMENT INTEGRATION GOAL

Achieve organizational and management excellence

MANAGEMENT INTEGRATION GOAL RESOURCES (Dollars in Millions)								
	FY 2000 Actual	FY 2001 Actual	FY 2002 Actual	FY 2003 Actual	FY 2004 Actual	FY 2005 Actual	FY 2006 Actual	FY 2007 Actual
Total Funding	\$33.0	\$60.6	\$70.1	\$71.2	\$72.8	\$70.9	\$71.8	\$72.2
FTE ^{1,2}	185	310	319	326	309	292	295	294

¹ FTE – Full-Time Equivalent
² The Office of Inspector General (OIG) was not included in the PAR prior to FY 2001. Therefore, its funding and FTE are not included in FY 2000.

PERFORMANCE OUTCOME: Identify and effectively manage human and material resources critical to the success of the Department’s strategic goals (DM)

PERFORMANCE OUTCOME RESOURCES (Dollars in Millions)								
	FY 2000 Actual	FY 2001 Actual	FY 2002 Actual	FY 2003 Actual	FY 2004 Actual	FY 2005 Actual	FY 2006 Actual	FY 2007 Actual
Total Funding	\$33.0	\$40.7	\$49.2	\$49.2	\$51.8	\$49.5	\$49.3	\$49.6
FTE ¹	185	171	183	186	181	177	177	173

¹ FTE – Full-Time Equivalent

DM PERFORMANCE MEASURE			
MEASURE: Provide accurate and timely financial information and conform to federal standards, laws, and regulations governing accounting and financial management ¹			
Year	Status	Actual	Target
FY 2007	●	Completed migration of Commerce Business System; completed assessment of internal controls; significant deficiency was not eliminated ²	Eliminate any significant deficiency within 1 year of determ. Complete internal control and document review. Complete FY 2007 A-123 assessment of internal controls. Migrate Commerce Business System to an all Web-based architecture.
<i>Performance was not met, because:</i> Increased requirements; imposed additional security controls and changes in review methodology resulted in increased number of findings.			
<i>Strategies for Improvement:</i> Management has appointed a team from CIO and CFO staffs to monitor issues and resolve any outstanding corrective actions.			
Year	Status	Historical Results	Historical Target
FY 2006	●	Reportable condition not eliminated	Eliminate any reportable condition within 1 year of determ; 95% of management with access to the CRS have financial data / reports by the 15th of month
FY 2005	●	Reportable condition not eliminated	Eliminate any reportable condition
FY 2004	●	100%	100%
FY 2003	●	100%	100%
FY 2002	●	100%	100%
FY 2001	●	100%	100%
FY 2000	●	100%	100%

¹ Prior to FY 2005, this was stated as “Clean audit opinion on Department’s consolidated financial statements.”
² Estimate.

APPENDIX A: PERFORMANCE AND RESOURCE TABLES

DM PERFORMANCE MEASURE			
MEASURE: Effectively use competitive sourcing ¹			
Year	Status	Actual	Target
FY 2007	●	Bureaus identified FY 2008 feasibility studies which were submitted as part of the Green Plan ²	Update and/or continue to implement FY 2006 plan to conduct feasibility studies of Department commercial functions to determine potential new competitions / studies in the outyears
Year	Status	Historical Results	Historical Target
FY 2006	●	Green Plan ² submitted to OMB on 9/28/2006	Finalize new green competition plan based on 8/2005 CFO council outcome
FY 2005	●	Feasibility studies nominated for 168 FTE	Complete feasibility studies for 168 FTE to det 2005-2006 studies
FY 2004	●	New FAIR inventory guidance developed	Multi-year plan under development
FY 2003	●	Completed competition on 6.6%	Complete competitions on 10%
FY 2002	●	Completed competition on 1%	Complete competitions on 5%
FY 2001	●	Commercial inventory - submitted 6/30/2001	Commercial inventory - completed by 6/30/01
FY 2000	●	Commercial inventory - submitted 6/30/2000	Commercial inventory - completed by 6/30/00

¹ Prior to FY 2005, this measure was known as "Expand A-76 competitions and more accurate FAIR Act inventories."
² Green plan will lay out the Department short- and long-range plans to conduct feasibility studies of all major commercial (and available) functions and will identify approved 2006-2007 competitions.

DM PERFORMANCE MEASURE			
MEASURE: Obligate funds through performance-based contracting (% of eligible service contracting \$)			
Year	Status	Actual	Target
FY 2007	●	28% ¹	40%
<i>Performance was not met, because:</i> Data problems and resource constraints prevented bureaus and program officers from receiving assistance in understanding performance-based contracting.			
<i>Strategies for Improvement:</i> Hardware and software enhancements will provide better data to bureaus and program officers.			
Year	Status	Historical Results	Historical Target
FY 2006	●	30%	50%
FY 2005	●	< 50%	50%
FY 2004	●	42%	40%
FY 2003	●	24%	30%
FY 2002	●	31%	25%
FY 2001	●	25%	10%
FY 2000		New—no target to measure against	

¹ Estimate.

APPENDIX A: PERFORMANCE AND RESOURCE TABLES

DM PERFORMANCE MEASURE			
MEASURE: Obligate contracts to small businesses ¹			
Year	Status	Actual	Target
FY 2007	●	44% ²	48%
<p><i>Performance was not met, because:</i> COMMITS task orders are typically awarded for large dollar amounts. This has had an impact on the percentage of procurement dollars going to small businesses.</p> <p><i>Strategies for Improvement:</i> There is a lag time between contract award and data entry into the Federal Procurement Data System (FPDS). The Office of Acquisition Management (OAM) and the Office of Small and Disadvantaged Business Utilization (OSDBU) are focused on data accuracy. Acquisition offices are spending more time ensuring that data entered into FPDS are accurate, which contributes to the lag time for data entry into FPDS. In addition, the Department is in the process of transferring COMMITS to the General Services Administration (GSA). The COMMITS Program Office has stopped accepting new work as of the end of the second quarter.</p>			
Year	Status	Historical Results	Historical Target
FY 2006	●	48.0%	44.8%
FY 2005	●	62%	44.8%
FY 2004	●	62%	42%
FY 2003	●	45%	40%
FY 2002	●	51%	42%
FY 2001	●	S-50% / M-18% / W-9%	S-40% / M-18% / W-5%
FY 2000	●	S-34% / M-14% / W-5%	S-40% / M-18% / W-5%
<p>¹ From FY 2000 - 2001, this measure was split among small (S), minority-owned (M) and women-owned (W) businesses. ² Estimate.</p>			

DM PERFORMANCE MEASURE			
MEASURE: Acquire and maintain diverse and highly qualified staff in mission-critical occupations			
Year	Status	Actual	Target
FY 2007	●	Trained postsecondary internship program applicants to increase applicant pools; trained managers to make better hiring decisions; trained employees in project management to close skill gaps	Improve recruitment strategies via targeted activities; assist managers in making better selections, close skill gaps
Year	Status	Historical Results	Historical Target
FY 2006	●	Marketed job vacancies to organizations via automated hiring system; participated in career fairs and special programs; conducted training of managers and employees	Improve recruitment strategies via targeted activities; assist managers in making better selections, close skill gaps
FY 2005	●	Improved representation in underreported groups from 28 to 29%, maintained 30 day fill time	Improve representation in underreported groups, maintain 30 day fill-time
FY 2004		New—no target to measure against	

APPENDIX A: PERFORMANCE AND RESOURCE TABLES

DM PERFORMANCE MEASURE			
MEASURE: Improve the management of information technology			
Year	Status	Actual	Target
FY 2007	●	Cost/schedule overruns /performance shortfalls less than 10%. All national critical and mission critical systems certified and accredited.	Cost/schedule overruns /performance shortfalls less than 10%. All national critical and mission critical systems certified and accredited.
Year	Status	Historical Results	Historical Target
FY 2006	●	Cost overruns and performance shortfalls less than 10%. All national critical & mission critical systems certified & accredited.	Cost/schedule overruns /performance shortfalls less than 10%. All national critical and mission critical systems certified and accredited.
FY 2005	●	Cost overruns and performance shortfalls less than 10%	Cost overruns and performance shortfalls less than 10%
FY 2004		New—no target to measure against	

PERFORMANCE OUTCOME: Promote improvements to Commerce programs and operations by identifying and completing work that (1) promotes integrity, efficiency, and effectiveness; and (2) prevents and detects fraud, waste, and abuse (OIG)

PERFORMANCE OUTCOME RESOURCES (Dollars in Millions)								
	FY 2000 Actual	FY 2001 Actual	FY 2002 Actual	FY 2003 Actual	FY 2004 Actual	FY 2005 Actual	FY 2006 Actual	FY 2007 Actual
Total Funding	N/A	\$19.9	\$20.9	\$22.0	\$21.0	\$21.4	\$22.5	\$22.6
FTE ¹		139	136	140	128	115	118	121

¹ FTE – Full-Time Equivalent

OIG PERFORMANCE MEASURE			
MEASURE: Percentage of OIG recommendations accepted by Departmental and bureau management			
Year	Status	Actual	Target
FY 2007	●	96%	95%
Year	Status	Historical Results	Historical Target
FY 2006	●	96%	95%
FY 2005	●	99%	90%
FY 2004	●	98%	90%
FY 2003	●	97%	90%
FY 2002	1	95%	
FY 2001	1	95%	
FY 2000	1	96%	

¹ Prior to FY 2003, OIG had not yet developed targets. However, IG did track data.



APPENDIX A: PERFORMANCE AND RESOURCE TABLES

OIG PERFORMANCE MEASURE			
MEASURE: Dollar value of financial benefits identified by the OIG			
Year	Status	Actual	Target
FY 2007	●	\$51.7M	\$29.6M
Year	Status	Historical Results	Historical Target
FY 2006	●	\$34.2M	\$30.0M
FY 2005	●	\$32.0M	\$23.0M
FY 2004	●	\$26.0M	\$20.0M
FY 2003	●	\$43.3M	\$20.0M
FY 2002		New—no target to measure against	

OIG PERFORMANCE MEASURE			
MEASURE: Percentage of criminal and civil matters that are accepted for prosecution			
Year	Status	Actual	Target
FY 2007	●	73%	63%
Year	Status	Historical Results	Historical Target
FY 2006	●	91%	63%
FY 2005	●	81%	62%
FY 2004	●	67%	50%
FY 2003	●	50%	50%
FY 2002		New—no target to measure against	