



APPENDICES

PERFORMANCE AND RESOURCE TABLES

To make the report more useful, this FY 2004 Performance and Accountability Report (PAR) reports on targets and measures from the FY 2005 Annual Performance Plan (APP), which more accurately reflects updated targets of each performance measure. Individual bureau-specific APPs can be found on the Department of Commerce Web site at http://www.osec.doc.gov/bmi/budget/budgetsub_perf_strategicplans.htm. The resource tables with the performance tables are also combined to make the information easier to follow.

The following tables provide an array of information that previously was shown in separate tables. The information should help the reader clearly understand the resources expended for each Strategic Goal, Objective, and Performance Goal. The system of reporting does not currently allow the Department to report on resources at the performance measure level but it is Commerce's hope to develop this capability in the future. It is important to note that if a performance measure has been met, a green circle will appear. All other ratings will represent an unmet measure, whether the rating is red or yellow.

The information in the tables will follow the following format:

- ◆ Strategic Goal and Resources
- ◆ Objective and Resources
- ◆ Performance Goal and Resources
- ◆ Performance Measure

Note: Unless otherwise noted, measures that do not have targets, are new, or are baseline are not included in any count in this document. Resources for each performance goal are estimates and may be updated in the budget for FY 2006.

STRATEGIC GOAL 1

Provide the information and tools to maximize U.S. competitiveness and enable economic growth for American industries, workers and consumers

STRATEGIC GOAL 1 TOTAL RESOURCES (Dollars in Millions)				
	FY 2001 Actual	FY 2002 Actual	FY 2003 Actual	FY 2004 Actual
Total Funding	\$2,148.7	\$1,704.1	\$2,065.3	\$1,856.8
FTE ¹	15,315	11,827	11,343	12,019

¹ FTE – Full-Time Equivalent

STRATEGIC OBJECTIVE 1.1

Enhance economic growth for all Americans by developing partnerships with private sector and nongovernmental organizations


OBJECTIVE 1.1 RESOURCES (Dollars in Millions)				
	FY 2001 Actual	FY 2002 Actual	FY 2003 Actual	FY 2004 Actual
Total Funding	\$992.9	\$677.5	\$662.2	\$681.4
FTE ¹	3,728	1,990	2,288	2,327





¹ FTE – Full-Time Equivalent

PERFORMANCE GOAL: Increase private enterprise and job creation in economically distressed communities (EDA)

PERFORMANCE GOAL RESOURCES (Dollars in Millions)				
	FY 2001 Actual	FY 2002 Actual	FY 2003 Actual	FY 2004 Actual ²
Total Funding	\$362.3	\$296.6	\$258.3	\$252.4
FTE ¹	165	155	149	134

¹ FTE – Full-Time Equivalent
² Actuals reflect direct obligations for EDAP programs and S&E; totals do not include one-time, disaster investments or reimbursable funding.

PERFORMANCE GOAL MEASURES							
	MEASURE	FY 2001 Actual	FY 2002 Actual	FY 2003 Actual	FY 2004 Target	FY 2004 Actual	FY 2004 Met/Not Met
EDA	Private sector dollars invested in distressed communities as a result of EDA investments	\$971M	\$640M	\$1,251M from FY 2000 investments and \$2,475M from FY 1997 investments	\$318M by FY 2007, \$795M by FY 2010, and \$1,590M by FY 2013	\$1,740M from FY 1998 investments (6 year) and \$947M from FY 2001 investments (3 year) ¹	



PERFORMANCE GOAL MEASURES (continued)							
MEASURE		FY 2001 Actual	FY 2002 Actual	FY 2003 Actual	FY 2004 Target	FY 2004 Actual	FY 2004 Met/Not Met
EDA	Jobs created or retained in distressed communities as a result of EDA investments	12,898 from FY 1998 investments	29,912 from FY 1999 investments	39,841 from FY 2000 investments and 47,607 from FY 1997 investments	9,140 by FY 2007; 22,850 by FY 2010; and 45,700 by FY 2013	68,109 from FY 1998 investments (6 year) and 21,901 from FY 2001 investments (3 year) ²	
EDA	State and local dollars committed per EDA dollar	\$1-\$1	\$1.1-\$1	\$1-\$1	\$1-\$1	\$1-\$1	
EDA	Percentage of investments in regions of highest distress	43%	40.1%	37.6%	37-43%	37.0%	
EDA	Percentage of EDA dollars invested in technology-related projects in distressed areas	N/A	11.8%	8.8%	7-10%	7%	






¹ Targets were \$650M from FY 1998 investments (6 year) and \$480M from FY 2001 investments (3 year).
² Targets were 27,000 from FY 1998 investments (6 year) and 14,400 from FY 2001 investments (3 year).

PERFORMANCE GOAL: Improve community capacity to achieve and sustain economic growth (EDA)

PERFORMANCE GOAL RESOURCES (Dollars in Millions)				
	FY 2001 Actual	FY 2002 Actual	FY 2003 Actual	FY 2004 Actual ²
Total Funding	\$76.7	\$68.8	\$67.3	\$71.9
FTE ¹	89	84	80	72

¹ FTE – Full-Time Equivalent
² Actuals reflect direct obligations for EDAP programs and S&E; totals do not include one-time, disaster investments or reimbursable funding.



PERFORMANCE GOAL MEASURES							
MEASURE		FY 2001 Actual	FY 2002 Actual	FY 2003 Actual	FY 2004 Target	FY 2004 Actual	FY 2004 Met/Not Met
EDA	Percentage of economic districts and Indian tribes implementing economic development projects from the comprehensive economic development strategy process that lead to private investment and jobs	New	New	98.7%	95%	97%	
EDA	Percentage of sub-state jurisdiction members actively participating in the economic development district program	92%	95.3%	96.7%	89-93%	90.1%	

PERFORMANCE GOAL MEASURES (continued)							
MEASURE		FY 2001 Actual	FY 2002 Actual	FY 2003 Actual	FY 2004 Target	FY 2004 Actual	FY 2004 Met/Not Met
EDA	Percentage of University Center clients taking action as a result of the assistance facilitated by the University Center	New	New	78.1%	75%	78.4%	
EDA	Percentage of those actions taken by University Center clients that achieved the expected results	New	New	85.7%	80%	87.5%	
EDA	Percentage of Trade Adjustment Assistance Centers (TAACs) clients taking action as a result of the assistance facilitated by the TAACs	New	New	92.4%	90%	90%	
EDA	Percentage of those actions taken by Trade Adjustment Assistance Center clients that achieved the expected results	New	New	98.4%	95%	98%	
EDA	Percentage of local technical assistance and economic adjustment strategy investments awarded in regions of highest distress	32%	30%	30.2%	30-35%	30%	

PERFORMANCE GOAL: Increase trade opportunities for U.S. firms to advance the U.S.' international commercial and strategic interests (ITA)

PERFORMANCE GOAL RESOURCES (Dollars in Millions)				
	FY 2001 Actual	FY 2002 Actual	FY 2003 Actual	FY 2004 Actual
Total Funding	\$236	\$109.9	\$106.7	\$98.6
FTE ¹	1,488	619	489	591





¹FTE – Full-Time Equivalent

PERFORMANCE GOAL MEASURES							
MEASURE		FY 2001 Actual	FY 2002 Actual	FY 2003 Actual	FY 2004 Target	FY 2004 Actual	FY 2004 Met/Not Met
ITA	Number of new or enhanced ITA partnerships with public and private sector entities to promote U.S. exports	New	Not Implemented	88	45	45	
ITA	Trade policy and negotiations advancement	New	New	New	New	New	N/A
ITA	Dollar exports in targeted products and markets	New	\$166.3B	\$165.6B	\$160B to \$180B	\$179B	

PERFORMANCE GOAL: Expand U.S. exporter base (ITA)

PERFORMANCE GOAL RESOURCES <i>(Dollars in Millions)</i>				
	FY 2001 Actual	FY 2002 Actual	FY 2003 Actual	FY 2004 Actual²
Total Funding	\$129.0	\$75.3	\$97.2	\$121.9
FTE ¹	858	423	903	724

¹ FTE – Full-Time Equivalent
² Reflects ITA after all trade promotion was consolidated (post reorganization).

PERFORMANCE GOAL MEASURES							
MEASURE		FY 2001 Actual	FY 2002 Actual	FY 2003 Actual	FY 2004 Target	FY 2004 Actual	FY 2004 Met/Not Met
ITA	Percentage of undertaken advocacy actions completed successfully	New	11.8%	10%	10% to 15%	13%	
ITA	Dollar value of completed advocacies (U.S. export content)	New	\$8.64B	\$5.9B	\$4B to \$6B	\$6.5B	
ITA	Number of U.S. exporters entering a new market	5,386	5,740	6,278	6,200 to 6,300	4,759	
	<i>Performance was not met, because:</i> ITA helped U.S. companies enter a new market. Externalities have impacted this measure. Rising energy costs and creeping interest rates have reduced margins and limited firms from expanding to new markets as well.						
	<i>Strategies for Improvement:</i> ITA will continue to encourage U.S. exporters to enter new markets in regions of the world least impacted by stated externalities. For example, a firm exporting currently to Canada may be encouraged to enter Mexico. The Commercial Service will continue to keep its worldwide network informed of the targets and stress the need to meet them, in the face of the constraints outlined above.						
ITA	Number of U.S. firms exporting for the first time	742	699	896	880 to 900	704	
	<i>Performance was not met, because:</i> ITA helped U.S. companies export for the first time. Rising energy costs and creeping interest rates have reduced margins and limited firms from expanding as well. As the economy improves and oversea situations stabilize, conditions should improve.						
	<i>Strategies for Improvement:</i> ITA will encourage U.S. firms exporting for the first time to enter regions of the world least impacted by stated externalities. For example, a firm exporting for the first time would be encouraged to enter Canada or Mexico. The US&FCS will continue to keep its worldwide network informed of the targets and stress the need to meet them, in the face of the constraints outlined above.						

PERFORMANCE GOAL MEASURES (continued)							
MEASURE	FY 2001 Actual	FY 2002 Actual	FY 2003 Actual	FY 2004 Target	FY 2004 Actual	FY 2004 Met/Not Met	
ITA	Number of export transactions made as a result of ITA involvement	11,160	12,178	14,090	14,000 to 14,500	11,382	
	<p><i>Performance was not met, because:</i></p> <p><u>External Factors:</u> ITA's US&FCS facilitated export transactions. This indicator has been impacted by negative externalities (as stated in the two previous measures). Rising energy costs and creeping interest rates have reduced margins and limited firms from completing more transactions.</p> <p><u>Internal Factors:</u> The US&FCS instituted a new system of export-success credits for its employees. Prior to FY 2004, employees were rated on the number of export successes reported, creating a disincentive for teamwork and the potential for duplicative reporting. Results partially dipped in the first quarter as employees were trained on the new reporting policy.</p> <p><u>Goal Setting:</u> In general, the US&FCS set more aggressive goals for the first half of the year than in previous years.</p> <p><u>Strategies for Improvement:</u> ITA will encourage field staff to increase their incidence of involvement with ITA clients during the next fiscal year. ITA will also place more emphasis on increasing their involvement with firms to minimize expectations of stated externalities. The US&FCS will continue to keep its worldwide network informed of the targets and stress the need to meet them, in the face of the constraints outlined above.</p>						
ITA	Percentage of TP/USFCS program fee funded	New	New	New	1%	1%	

PERFORMANCE GOAL: Improve customer and stakeholder satisfaction (ITA)

PERFORMANCE GOAL RESOURCES (Dollars in Millions)				
	FY 2001 Actual	FY 2002 Actual	FY 2003 Actual	FY 2004 Actual
Total Funding	\$118.0	\$65.5	\$81.4	\$87.2
FTE ¹	744	420	552	535




¹ FTE – Full-Time Equivalent

PERFORMANCE GOAL MEASURES							
MEASURE	FY 2001 Actual	FY 2002 Actual	FY 2003 Actual	FY 2004 Target	FY 2004 Actual	FY 2004 Met/Not Met	
ITA	Customer satisfaction with ITA's products and services	New	New	70	70	70	
ITA	Customer perception of ease of access to export and trade information and data	New	New	74	74	74	
ITA	Level of awareness of ITA products and services	New	New	77%	77%	77%	
ITA	Number of U.S. exporter activities undertaken per customer surveyed	New	New	1	1	1	

PERFORMANCE GOAL: Improve the U.S. competitive advantage through global e-commerce (ITA)

PERFORMANCE GOAL RESOURCES (Dollars in Millions)				
	FY 2001 Actual	FY 2002 Actual	FY 2003 Actual	FY 2004 Actual
Total Funding	\$43.0	\$33.1	\$22.6	\$20.5
FTE ¹	294	197	23	124



¹ FTE – Full-Time Equivalent






PERFORMANCE GOAL MEASURES							
	MEASURE	FY 2001 Actual	FY 2002 Actual	FY 2003 Actual	FY 2004 Target	FY 2004 Actual	FY 2004 Met/Not Met
ITA	Number of new subscribers using BuyUSA.com e-services	339	564	2,078	5,000 to 5,500	5,675	
ITA	Customer perception of (Export.gov) portal ease of use	New	84.4%	69%	Greater than 70%	75%	
ITA	Percentage of ITA's significant products and services provided electronically to external customers	New	Not Implemented	82%	Greater than 85%	92%	

PERFORMANCE GOAL: Increase the opportunities and access of minority-owned businesses to the marketplace and financing (MBDA)

PERFORMANCE GOAL RESOURCES (Dollars in Millions)				
	FY 2001 Actual	FY 2002 Actual	FY 2003 Actual	FY 2004 Actual
Total Funding	\$27.9	\$28.3	\$29.0	\$28.9
FTE ¹	90	92	92	92

¹ FTE – Full-Time Equivalent

PERFORMANCE GOAL MEASURES							
	MEASURE	FY 2001 Actual	FY 2002 Actual	FY 2003 Actual	FY 2004 Target	FY 2004 Actual	FY 2004 Met/Not Met
MBDA	Total number of all clients receiving assistance	New	New	7,228	25,000	29,387	
MBDA	Number of contract awards obtained	New	New	3,125	3,200	1,925	
	<i>Performance was not met, because:</i> As a result of our Strategic Growth Policy, MBDA was successful in obtaining larger prime contract opportunities by targeting medium to large, high growth potential firms. Traditionally, smaller minority firms can only qualify for subcontracts. Therefore, the dollar value of awards increased significantly while the number decreased as indicated.						
	<i>Strategies for Improvement:</i> We will continue to work toward increasing the number of contract awards obtained in FY 2005.						

PERFORMANCE GOAL MEASURES <i>(continued)</i>							
MEASURE		FY 2001 Actual	FY 2002 Actual	FY 2003 Actual	FY 2004 Target	FY 2004 Actual	FY 2004 Met/Not Met
MBDA	Dollar value of contracts awards obtained	\$1.6B	\$1.3B	\$0.7B	\$0.8B	\$0.95B	
MBDA	Number of financial awards obtained	1,155	1,512	533	550	638	
MBDA	Dollar value of financial awards obtained	\$0.6B	\$0.4B	\$0.4B	\$0.4B	\$0.6B	
MBDA	Employees training hours	New	9,817	9,874	5,500	6,810	
MBDA	Number of national and regional strategic partnerships	New	6	8	150	210	

STRATEGIC OBJECTIVE 1.2

Advance responsible economic growth and trade while protecting American security




OBJECTIVE 1.2 RESOURCES <i>(Dollars in Millions)</i>				
	FY 2001 Actual	FY 2002 Actual	FY 2003 Actual	FY 2004 Actual
Total Funding	\$130.9	\$160.4	\$162.5	\$166.2
FTE ¹	733	929	795	1,027

¹ FTE – Full-Time Equivalent

PERFORMANCE GOAL: Ensure fair competition in international trade (ITA)

PERFORMANCE GOAL RESOURCES <i>(Dollars in Millions)</i>				
	FY 2001 Actual	FY 2002 Actual	FY 2003 Actual	FY 2004 Actual
Total Funding	\$68.0	\$92.8	\$85.8	\$89.5
FTE ¹	360	571	341	576

¹ FTE – Full-Time Equivalent

PERFORMANCE GOAL MEASURES							
	MEASURE	FY 2001 Actual	FY 2002 Actual	FY 2003 Actual	FY 2004 Target	FY 2004 Actual	FY 2004 Met/Not Met
ITA	Percentage of antidumping countervailing duty cases completed on time	New	100%	100%	100%	100%	
ITA	Number of market access and trade compliance cases initiated	New	253	144	150 to 160	161	
ITA	Number of market access and trade compliance cases concluded	New	New	158	70 to 80	116	

PERFORMANCE GOAL: Protect the U.S. national security and economic interests by enhancing the efficiency of the export control system (BIS)

PERFORMANCE GOAL RESOURCES <i>(Dollars in Millions)</i>				
	FY 2001 Actual	FY 2002 Actual	FY 2003 Actual	FY 2004 Actual
Total Funding	\$24.0	\$27.6	\$29.4	\$25.8
FTE ¹	164	156	190	201


¹ FTE – Full-Time Equivalent

PERFORMANCE GOAL MEASURES							
MEASURE		FY 2001 Actual	FY 2002 Actual	FY 2003 Actual	FY 2004 Target	FY 2004 Actual	FY 2004 Met/Not Met
BIS	Median processing time for referral of export licenses to other agencies (days)	New	New	4	9	3	
BIS	Median processing time for export licenses not referred to other agencies (days)	New	New	9	15	9	
BIS	Median processing time for issuing draft regulations (months)	New	New	7	3	2	
BIS	Level of exporter understanding of BIS export control requirements value of information (average score on scale of 1-5)	New	4.2	4.2	4.2	4.2	
BIS	Percent knowledge gained (index)	New	New	New	Establish Baseline	45%	N/A

PERFORMANCE GOAL: Ensure U.S. industry compliance with the chemical weapons convention (CWC) agreement (BIS)

PERFORMANCE GOAL RESOURCES (Dollars in Millions)				
	FY 2001 Actual	FY 2002 Actual	FY 2003 Actual	FY 2004 Actual
Total Funding	\$6.5	\$4.5	\$5.9	\$7.0
FTE ¹	22	22	29	27



¹ FTE – Full-Time Equivalent

PERFORMANCE GOAL MEASURES							
MEASURE		FY 2001 Actual	FY 2002 Actual	FY 2003 Actual	FY 2004 Target	FY 2004 Actual	FY 2004 Met/Not Met
BIS	Number of site assistance visits conducted to assist companies prepare for international inspections	New	16	12	24	12	
	<i>Performance was not met, because:</i> The target for 24 SAVs was based on BIS's routine practice of conducting 12 visits annually pursuant to the Chemical Weapons Convention (CWC) Regulations and projected activities under the U.S. Additional Protocol. Since BIS cannot conduct SAVs pursuant to the U.S. Additional Protocol until enactment of implementing legislation, ratification of the treaty, and publication of regulations, which do not occur in FY 2004, BIS did not meet this measure.						
	<i>Strategies for Improvement:</i> This measure is discontinued in FY 2005 and revised to reflect the percentage of successfully completed SAV's which is more conducive to legislative changes and provides an outcome measure in accordance with OMB guidance.						

PERFORMANCE GOAL: Prevent illegal exports and identify violators of export prohibitions and restrictions for prosecution (BIS)

PERFORMANCE GOAL RESOURCES (Dollars in Millions)				
	FY 2001 Actual	FY 2002 Actual	FY 2003 Actual	FY 2004 Actual
Total Funding	\$27.1	\$30.0	\$41.0	\$33.4
FTE ¹	178	171	226	214


¹ FTE – Full-Time Equivalent

PERFORMANCE GOAL MEASURES							
MEASURE		FY 2001 Actual	FY 2002 Actual	FY 2003 Actual	FY 2004 Target	FY 2004 Actual	FY 2004 Met/Not Met
BIS	Number of cases opened that result in the prevention of a criminal violation or the prosecution of a criminal or administrative case	81	82	250	250	310	
BIS	Number of Post-Shipment Verifications (PSV) completed	New	415	397	375	401	

PERFORMANCE GOAL: Enhance the export and transit control systems of nations that lack effective control arrangements (BIS)

PERFORMANCE GOAL RESOURCES <i>(Dollars in Millions)</i>				
	FY 2001 Actual	FY 2002 Actual	FY 2003 Actual	FY 2004 Actual
Total Funding	\$5.3	\$5.5	\$9.2	\$7.7
FTE ¹	9	9	9	9

¹ FTE – Full-Time Equivalent

PERFORMANCE GOAL MEASURES							
MEASURE		FY 2001 Actual	FY 2002 Actual	FY 2003 Actual	FY 2004 Target	FY 2004 Actual	FY 2004 Met/Not Met
BIS	Number of targeted deficiencies remedied in the export control system of program nations	New	25	39	30	41	

STRATEGIC OBJECTIVE 1.3

Enhance the supply of key economic and demographic data to support effective decision-making of policymakers, businesses and the American public


OBJECTIVE 1.3 RESOURCES (Dollars in Millions)				
	FY 2001 Actual	FY 2002 Actual	FY 2003 Actual	FY 2004 Actual
Total Funding	\$1,024.9	\$866.2	\$921.2	\$1,009.2
FTE ¹	10,854	8,908	8,223	8,579


¹ FTE – Full-Time Equivalent

PERFORMANCE GOAL: Meet the needs of policy makers, businesses and non-profit organizations, the public for current measures of the U.S. population, economy, and governments (ESA/CENSUS)

PERFORMANCE GOAL RESOURCES (Dollars in Millions)				
	FY 2001 Actual	FY 2002 Actual	FY 2003 Actual	FY 2004 Actual²
Total Funding	\$377.7	\$411.6	\$422.3	\$461.7
FTE ¹	4,928	5,161	4,614	5,076

¹ FTE – Full-Time Equivalent
² Total obligations for performance goal excludes the Working Capital Fund obligations financed by other Census Bureau funds and are already reflected in the results for the other funds.

PERFORMANCE GOAL MEASURES							
	MEASURE	FY 2001 Actual	FY 2002 Actual	FY 2003 Actual	FY 2004 Target	FY 2004 Actual	FY 2004 Met/Not Met
ESA/CENSUS	(1) Collect data for the planned number of households for Current Population Survey (CPS)	(1) New	(1) New	(1) New	(1) New	N/A ¹	N/A
	(2) Collect data for the planned number of households for National Crime Victimization Survey (NCVS)	(2) New	(2) New	(2) New	(2) New		
	(3) Collect data for the planned number of households for American Housing Survey (AHS)	(3) New	(3) New	(3) New	(3) New		
	(4) Collect data for the planned number of households for Survey of Income and Program Participation (SIPP)	(4) New	(4) New	(4) New	(4) New		
ESA/CENSUS	(1) Release data products from the SIPP	(1) Maintained FY 1999 actual time achieved	(1) Maintained FY 1999 actual time achieved	(1) One data product by 9/30/03	(1) Seven data products by 9/30/04	(1) Ten data products released for SIPP (2) N/A (3) N/A (4) N/A	
	(2) Release data products from the CPS	(2) New	(2) New	(2) New	(2) New		
	(3) Release data products from the CPS Supplements	(3) New	(3) New	(3) New	(3) New		
	(4) Release data products from the AHS	(4) New	(4) New	(4) New	(4) New		

PERFORMANCE GOAL MEASURES (continued)							
MEASURE		FY 2001 Actual	FY 2002 Actual	FY 2003 Actual	FY 2004 Target	FY 2004 Actual	FY 2004 Met/Not Met
ESA/CENSUS	Release Principal Economic Indicators	New	100% On Time	100% On Time	100% On Time	100%	
	Release the Annual Survey of Manufacturers (ASM), the Annual Trade Survey (ATS), the Annual Retail Trade Survey (ARTS), and the Service Annual Survey (SAS) on preannounced time schedules	New	New	New	New	N/A ²	N/A



¹ This is an annual target that was reworded in the FY 2005 APP to change the measure from reporting the household response rate to the number of households. The FY 2004 targets are (1) a 90% household response rate for CPS, NCVS, and AHS; (2) an 87% household response rate for the NHIS; and (3) a 75% household response rate for SIPP. For FY 2004, the 90% target was met: (CPS = 92.3%, NCVS = 91.6%, AHS = 90.8%), NHS achieved the 87% response rate; and SIPP achieved a 72% response rate.




² This is an annual target that was reworded in the FY 2005 APP from reporting unit response rate for the ASM, ATS, ARTS, and SAS to reporting on-time release for those surveys. In FY 2004, the Census Bureau was still tracking the response rates for these surveys. All four surveys had a target response rate of 75%. ASM actual was 81%, ATS actual was 81%, ARTS actual was 83%, and SAS actual was 78%.

PERFORMANCE GOAL: Support the economic and political foundations of the United States by producing benchmark measures of the economy and population for the administration and equitable funding of federal, state, and local programs (ESA/CENSUS)

PERFORMANCE GOAL RESOURCES (Dollars in Millions)				
	FY 2001 Actual	FY 2002 Actual	FY 2003 Actual	FY 2004 Actual ²
Total Funding	\$520.8	\$250.8	\$206.7	\$111.2
FTE ¹	5,105	2,243	1653	937

¹ FTE – Full-Time Equivalent
² Total obligations for performance goal excludes the Working Capital Fund obligations financed by other Census Bureau funds and are already reflected in the results for the other funds.

PERFORMANCE GOAL MEASURES						
MEASURE	FY 2001 Actual	FY 2002 Actual	FY 2003 Actual	FY 2004 Target	FY 2004 Actual	FY 2004 Met/Not Met
Conduct the Economic Census and Census of Governments	New	New	(1) Initial mailing for the finance phase of the Census Of Governments was complete by 10/31/02 and 5 Million Economic Census forms by 12/20/02	(1) Complete initial mailing of 2002 Survey of Business Owners forms to 1.5 million businesses without paid employees by 7/31/04	(1) Completed mailing	(1) 
			(2) Completed initial mailing of 2002 Survey of Business Owners forms to 1 million businesses with paid employees by 9/30/03	(2) Obtain an 80% response rate for the employment phase of the Census of Governments and an 82% response rate for the finance phase	(2) 77% employment phase and 77% finance phase	(2) 
<i>Performance was not met, because:</i> Rates were lower across all survey categories. Because these are voluntary surveys, this can be traced to the lack of resources at the local government level due to declining tax revenues. However, since these are unit and not weighted response rates, the impact to the published data was not significant. On a weighted basis, less than 2% of the major variables had to be imputed.						
<i>Strategies for Improvement:</i> To mitigate the effect of a less than planned response, targeted non-response follow-up was conducted. This resulted in the collection of sufficient base data for the employment phase that permitted reliable imputation for non-respondent units. The impact of the unmet response rate on the employment phase of the Census of Governments was insignificant. While similar non-response follow-up activities were conducted for the finance phase, results were not as successful.						



PERFORMANCE GOAL MEASURES (continued)							
MEASURE	FY 2001 Actual	FY 2002 Actual	FY 2003 Actual	FY 2004 Target	FY 2004 Actual	FY 2004 Met/Not Met	
ESA/CENSUS	(1) Release Decennial Census data products	100% of Scheduled Releases	100% of Scheduled Releases	(1) Five data products by 9/30/03	(1) None	(1) N/A	(1) N/A
	(2) Release Census of Governments data products			(2) One product released ahead of schedule. Second product being released on a flow basis beginning 9/03 to be completed by 12/03.	(2) Four data products by 9/30/04. This represents a more than 15% improvement in delivery time over the previous census.	(2) Four data products.	(2) 
	(3) Release Economic Census products			(3) New	(3a) Issue by March 2004, The 2002 Economic Census Advance Report. This first report shows a snapshot of the economy at broad NAICS levels. (3b) Issue 651 of the 1,700 Economic Census products by 9/30/04. This represents a 40% increase in the number of reports released over a comparable time period for the 1997 Economic Census. ¹	(3a) Issued 2002 Economic Census Advance Report, March 2004. (3b) Issued 577 reports.	(3a)  (3b) 
<p><i>Performance was not met, because:</i> The target to release 651 Economic Census reports in FY 2004 was substantially met. It was not fully met because of delays in receiving full funding (which was not available until March 2004), and lagging response rates extended data collection activities by four months. The four-month extension moved the projected release data for 651 products back to December 31, 2004.</p> <p><i>Strategies for Improvement:</i> The adjusted dissemination schedule satisfies the Bureau of Economic Analysis's needs and remains a significant improvement over the 1997 release schedule. The release of 577 Economic Census reports, including all 473 manufacturing series reports, was five months earlier than the 1997 Census. There were 117 additional reports released during the comparable period of time.</p>							
ESA/CENSUS	Release population estimates and survey controls for all subgroups and geographies	New	New	New	New	N/A	N/A
<p>¹ An additional 125 reports have been added, bringing the total number of products scheduled for release under the 2002 Economic Census cycle to 1,825. In FY 2004, 577 reports were released, 1,178 are planned for FY 2005, and the remaining 70 are scheduled for FY 2006. (The revised FY 2005 and FY 2006 targets will be reflected in the FY 2006 APP.)</p>							



PERFORMANCE GOAL MEASURES <i>(continued)</i>							
MEASURE	FY 2001 Actual	FY 2002 Actual	FY 2003 Actual	FY 2004 Target	FY 2004 Actual	FY 2004 Met/Not Met	
ESA/CENSUS	(1) Introduce new Census 2000-based samples for the consumer expenditures survey-quarterly (CE-Q)	New	New	New	New	N/A	N/A
	(2) Introduce new Census 2000-based samples for the consumer expenditures survey-diary (CE-D)						
	(3) Introduce new Census 2000-based samples for the National Crime Victimization Survey (NCVS)						
	(4) Introduce new Census 2000-based samples for the American Housing Survey-National (AHS-N)						

PERFORMANCE GOAL: Meet Constitutional and Legislative mandates by implementing a re-engineered 2010 Census that is cost-effective, provides more timely data, improves coverage accuracy, and reduces operational risk (ESA/CENSUS)

PERFORMANCE GOAL RESOURCES <i>(Dollars in Millions)</i>				
	FY 2001 Actual	FY 2002 Actual	FY 2003 Actual	FY 2004 Actual ²
Total Funding	New	\$64.3	\$144.7	\$253.5
FTE ¹	New	598	1,067	1,660

¹ FTE – Full-Time Equivalent
² Total obligations for performance goal excludes the Working Capital Fund obligations financed by other Census Bureau funds and are already reflected in the results for the other funds.

PERFORMANCE GOAL MEASURES							
MEASURE	FY 2001 Actual	FY 2002 Actual	FY 2003 Actual	FY 2004 Target	FY 2004 Actual	FY 2004 Met/Not Met	
ESA/CENSUS	Implement the American Community Survey (ACS)	New	Completed field activities supporting the release of 2001 data from the long form transitional database in summer of 2002	Evaluation reports not released.	(1) At least 92% overall weighted response rate for the ACS, using three modes of data collection – mail, telephone, & personal visit.	(1) 96.7%	(1) 
					(2) Meet reliability requirements for annual state estimates with a median coefficient of variation of 5% on typical characteristics that are reported for 10% of the population.	(2) 4.7%	(2) 




PERFORMANCE GOAL MEASURES (continued)							
MEASURE		FY 2001 Actual	FY 2002 Actual	FY 2003 Actual	FY 2004 Target	FY 2004 Actual	FY 2004 Met/Not Met
ESA/CENSUS	Implement Master Address File (MAF)/Topologically Integrated Geographic Encoding and Referencing System (TIGER) modernization	New	Prepared plan and systems by end of FY 2002 to measure housing unit coverage of the address list; list is at least as complete as it was for census 2000, as measured by the accuracy and coverage evaluation. ¹	Completed map feature corrections of 250 of the nation's counties by 9/30/03	TIGER features are within 7.6 meters of true GPS location for 26.3% of the nation's counties by 9/30/04.	26.3%	
	Conduct early 2010 Census planning, development, and testing	New	New	<ul style="list-style-type: none"> ◆ Selected test sites for 2004 census test by 12/31/02 ◆ Developed and documented design requirements for 2004 census test by 12/31/02 ◆ Developed detailed operational schedule for the 2004 census test in April 2004 by 9/30/03 	Implement the activities that support the following objectives of the 2004 census test: <ul style="list-style-type: none"> ◆ questionnaire content ◆ hand held computers (HHC) devices for field work ◆ coverage improvements ◆ special place/group quarters ◆ residence rules 	Activities completed	

¹ Based on and Inspector General recommendation and to clarify the FY 2002 reported actual performance, the revised actual results for FY 2002 should read: "Prepared plan and identified systems to measure housing unit coverage." Though unintentional, the original wording incorrectly implied that systems were complete measurement results were finalized. This is an ongoing program that will yield an annual national estimate of MAF coverage, with the first estimate available in FY 2006."

PERFORMANCE GOAL: Support innovation, promote data use, minimize respondent burden, respect individual privacy, and ensure confidentiality (ESA/CENSUS)

PERFORMANCE GOAL RESOURCES (Dollars in Millions)				
	FY 2001 Actual	FY 2002 Actual	FY 2003 Actual	FY 2004 Actual ²
Total Funding	\$68.5	\$72.8	\$73.2	\$104.0
FTE ¹	347	418	395	365

¹ FTE – Full-Time Equivalent
² Total obligations for performance goal excludes the Working Capital Fund obligations financed by other Census Bureau funds and are already reflected in the results for the other funds.






PERFORMANCE GOAL MEASURES							
MEASURE		FY 2001 Actual	FY 2002 Actual	FY 2003 Actual	FY 2004 Target	FY 2004 Actual	FY 2004 Met/Not Met
ESA/CENSUS	Response to the Annual Boundary and Annexation Survey (BAS)	81%	81%	84% ¹	83%	85.5%	
ESA/CENSUS	Meet milestone dates for evaluating and expanding web-based technology solutions to include more functionality/ business processes	New	New	100%	100%	100%	
ESA/CENSUS	Segment score for overall customer satisfaction on the American Customer Satisfaction Index (ACSI)	New	New	New	72%	71% ²	



¹ Due to a data duplication error in the BAS production reporting system, the FY 2003 response rate was erroneously reported at 88%. This error has been corrected and the correct FY 2003 response rate was 84%.
² All surveys result in an estimate, not a real value, with a "confidence interval" based upon sample size. The "confidence interval" for this survey is +/- 2 percentage points. The current result of 71% falls within an acceptable "confidence interval" range of 70 to 74% (72% - 2% = 70% and 72% + 2% = 74%).

Performance Goal: Promote a better understanding of the U.S. economy by providing the most timely, relevant, and accurate economic data in an objective and cost-effective manner (ESA/BEA)

PERFORMANCE GOAL RESOURCES (Dollars in Millions)				
	FY 2001 Actual	FY 2002 Actual	FY 2003 Actual	FY 2004 Actual
Total Funding	\$57.9	\$66.7	\$74.3	\$78.8
FTE ¹	474	488	494	541

¹ FTE – Full-Time Equivalent

PERFORMANCE GOAL MEASURES							
MEASURE		FY 2001 Actual	FY 2002 Actual	FY 2003 Actual	FY 2004 Target	FY 2004 Actual	FY 2004 Met/Not Met
ESA/BEA	Timeliness: Reliability of delivery of economic data (number of scheduled releases issued on time)	100%	50 of 50	48 of 48	54 of 54	54 of 54	
ESA/BEA	Relevance: Customer satisfaction with quality of products and services (mean rating on a 5-point scale)	N/A (survey postponed)	4.3	4.4	Greater than 4.0	4.3	
ESA/BEA	Accuracy: Percent of GDP estimates correct	New	83%	88%	Greater than 84%	88%	
ESA/BEA	Budget Related: Improving GDP and the Economic Accounts¹	New	Developed new measures to address gaps and updated BEA's accounts; designed prototype of new quarterly survey of international services; developed new pilot estimates that provide better integration with other accounts.	BEA completed all major Strategic Plan milestones related to improving the economic accounts (completed 164 milestones out of 171 overall).	Successful completion of related Strategic Plan milestones relating to improving the quality of the economic accounts.	BEA completed all major Strategic Plan milestones related to improving the economic accounts.	
ESA/BEA	Budget Related: Accelerating Economic Estimates¹	New	New	BEA completed all major Strategic Plan milestones related to accelerating economic estimates (completed 98 milestones out of 103 overall).	Successful completion of related Strategic Plan milestones related to efforts to accelerate economic measures.	BEA completed all major Strategic Plan milestones related to accelerating economic estimates.	

PERFORMANCE GOAL MEASURES (continued)							
MEASURE		FY 2001 Actual	FY 2002 Actual	FY 2003 Actual	FY 2004 Target	FY 2004 Actual	FY 2004 Met/Not Met
ESA/BEA	Budget Related: Meeting U.S. international obligations¹	New	New	BEA completed all major Strategic Plan milestones related to meeting U.S. international obligations (completed 99 milestones out of 103 overall).	Successful completion of related Strategic Plan milestones related to meeting international commitments as funded in FY 2003.	BEA completed all major Strategic Plan milestones related to meeting U.S. international obligations.	
	Budget Related: Upgrading information technology systems¹	New	Developed new systems, including implementation of prototype phase of new NIPA core processing system; developed improved interactive features on the BEA Web site; extended electronic reporting for international surveys.	BEA completed all major Strategic Plan milestones related to upgrading IT systems (completed 95 out of 98 overall).	Successful completion of related Strategic Plan milestones to improve the quality of BEA's information technology systems.	BEA completed all major Strategic Plan milestones related to upgrading IT systems.	

¹ Strategic Plan milestones are available in the BEA Strategic Plan on www.bea.gov, "About BEA." A report card of completed milestones also is available at www.bea.gov.

STRATEGIC GOAL 2

Foster Science and technological leadership by protecting intellectual property, enhancing technical standards, and advancing measurement science

STRATEGIC GOAL 2 TOTAL RESOURCES (Dollars in Millions)				
	FY 2001 Actual	FY 2002 Actual	FY 2003 Actual	FY 2004 Actual
Total Funding	\$1,980.7	\$2,153.7	\$2,241.3	\$2,147.5
FTE ¹	9,729	10,068	10,074	10,005
¹ FTE – Full-Time Equivalent				


STRATEGIC OBJECTIVE 2.1



Develop tools and capabilities that improve the productivity, quality, dissemination, and efficiency of research

OBJECTIVE 2.1 RESOURCES (Dollars in Millions)				
	FY 2001 Actual	FY 2002 Actual	FY 2003 Actual	FY 2004 Actual
Total Funding	\$818.9	\$913.5	\$952.8	\$830.1
FTE ¹	3,207	3,231	3,242	3,109
¹ FTE – Full-Time Equivalent				

PERFORMANCE GOAL: Provide leadership in promoting national technology policies that facilitate U.S. pre-eminence in key areas of science and technology (OTP)

PERFORMANCE GOAL RESOURCES (Dollars in Millions)				
	FY 2001 Actual	FY 2002 Actual	FY 2003 Actual	FY 2004 Actual ²
Total Funding	\$8.2	\$8.1	\$9.8	\$6.5
FTE ¹	40	46	42	34
¹ FTE – Full-Time Equivalent				
² The obligation amount reported in the Performance Report differs from the obligation amount reported in the Financial Statements by \$1.8M. The obligation amount reported in the Performance Report includes only current year accounts while the Financial Statements also include prior year obligations in expired and cancelled accounts.				



PERFORMANCE GOAL MEASURES							
MEASURE		FY 2001 Actual	FY 2002 Actual	FY 2003 Actual	FY 2004 Target	FY 2004 Actual	FY 2004 Met/Not Met
OTP	Support and improve American innovation system	New	Completed	Completed	Activities complete	Activities completed	
OTP	Advance role of technology in U.S. economic growth and homeland security	New	Completed	Completed	Activities complete	Activities completed	





PERFORMANCE GOAL MEASURES (continued)							
MEASURE		FY 2001 Actual	FY 2002 Actual	FY 2003 Actual	FY 2004 Target	FY 2004 Actual	FY 2004 Met/Not Met
OTP	Strengthen competitive position of American technology industries	New	Completed	Completed	Activities complete	Activities completed	
OTP	Strengthen OTP's organization, capabilities, and resources to maximize the effectiveness of its activities and services	New	Completed	Completed	Activities complete	Activities completed	

PERFORMANCE GOAL: Provide technical leadership for the Nation's measurement and standards infrastructure. Assure the availability and efficient transfer of measurement and standards capabilities essential to established industries (NIST-A and NIST-B)

PERFORMANCE GOAL RESOURCES (Dollars in Millions)				
	FY 2001 Actual ²	FY 2002 Actual	FY 2003 Actual	FY 2004 Actual
Total Funding	\$487.4	\$566.0	\$596.1	\$562.0
FTE ¹	2,596	2,607	2,639	2,598

¹ FTE – Full-Time Equivalent
² Includes discontinued goal: Protect the national information infrastructure.

PERFORMANCE GOAL MEASURES							
MEASURE		FY 2001 Actual	FY 2002 Actual	FY 2003 Actual	FY 2004 Target	FY 2004 Actual	FY 2004 Met/Not Met
NIST-A	Qualitative assessment and review of technical quality and merit using peer review	Completed	Completed	Completed	Complete	Completed	
NIST-A	Peer-reviewed technical publications produced	New	New	1,267	1,300	1,070	
	<i>Performance was not met, because:</i> The number of peer-reviewed publications produced in FY 2004 represents 82% of the expected level. Overall, the total number of NIST publications produced (including both peer-reviewed and non peer-reviewed) in FY 2004 is 2,074, and this is in line with past trend data (historically NIST produces between 2,000 - 2,200 publications each year.) The number of peer-reviewed publications is a new performance indicator implemented this year and historic trend data were not available when setting the FY 2004 target.						
	<i>Strategies for Improvement:</i> NIST will continue to track its publication count closely, and will also analyze trends that may indicate necessary adjustments to its annual projections and targets.						

PERFORMANCE GOAL MEASURES							
MEASURE		FY 2001 Actual	FY 2002 Actual	FY 2003 Actual	FY 2004 Target	FY 2004 Actual	FY 2004 Met/Not Met
NIST-A ¹	Citation impact of NIST-authored publications	New	New	New	Above Average	Available September 2005	N/A
NIST-B ²	Standard Reference Materials (SRMs) Sold	31,985	30,906	29,527	29,500	30,490	
NIST-B ²	NIST-maintained datasets downloaded	New	New	55,653,972	56,000,000	73,601,352	
NIST-B ²	Number of items calibrated	3,192	2,924	3,194	2,800	3,373	
NIST-B ²	Economic Impact Studies	Complete	Complete	No studies conducted	Complete	No studies conducted	
	<i>Performance was not met, because:</i> Due to significant budget restraints, NIST was unable to conduct any new assessment studies in FY 2004.						
	<i>Strategies for Improvement:</i> NIST values the data these assessments provide and is committed to conducting additional studies once funds become available.						
<p>¹ The measures that are denoted by NIST-A apply to the first portion of the performance goal, "Provide technical leadership for the Nation's measurement and standards infrastructure." This portion of the performance goal is being treated as a separate performance goal in the Strategic Goal 2 chapter of the Performance Section for discussion purposes.</p> <p>² The measures that are denoted by NIST-B apply to the first portion of the performance goal, "Assure the availability and efficient transfer of measurement and standards capabilities essential to established industries." This portion of the performance goal is being treated as a separate performance goal in the Strategic Goal 2 chapter of the Performance Section for discussion purposes.</p>							

PERFORMANCE GOAL: Accelerate private investment in and development of high-risk, broad-impact technologies (NIST)

PERFORMANCE GOAL RESOURCES <i>(Dollars in Millions)</i>				
	FY 2001 Actual	FY 2002 Actual	FY 2003 Actual	FY 2004 Actual
Total Funding	\$175.8	\$198.1	\$199.7	\$187.2
FTE ¹	239	249	247	204

¹ FTE – Full-Time Equivalent

PERFORMANCE GOAL MEASURES							
MEASURE		FY 2001 Actual	FY 2002 Actual	FY 2003 Actual	FY 2004 Target	FY 2004 Actual	FY 2004 Met/Not Met
NIST	Cumulative number of publications	747	969	1,245	990	Available May 2005	N/A
NIST	Cumulative number of patents	800	939	1,171	1,220	Available May 2005	N/A
NIST	Cumulative number of projects with technologies under commercialization	195	244	271	250	Available May 2005	N/A

PERFORMANCE GOAL: Raise the productivity and competitiveness of small manufacturers (NIST)

PERFORMANCE GOAL RESOURCES <i>(Dollars in Millions)</i>				
	FY 2001 Actual	FY 2002 Actual	FY 2003 Actual	FY 2004 Actual
Total Funding	\$106.4	\$108.5	\$111.3	\$46.9
FTE ¹	87	89	89	68


¹ FTE – Full-Time Equivalent

PERFORMANCE GOAL MEASURES							
MEASURE		FY 2001 Actual	FY 2002 Actual	FY 2003 Actual	FY 2004 Target	FY 2004 Actual	FY 2004 Met/Not Met
NIST	Number of clients served by Manufacturing Extension Partnership (MEP) Centers receiving federal funding	21,420	18,748	18,436	6,517	Available December 2005	N/A
NIST	Increased sales attributed to MEP Centers receiving federal funding	\$636M	\$953M	\$1,220M	\$228M	Available December 2005	N/A
NIST	Capital investment attributed to MEP Centers receiving federal funding	\$680M	\$940M	\$900M	\$285M	Available December 2005	N/A
NIST	Cost savings attributed to MEP Centers receiving federal funding	\$442M	\$681M	\$691M	\$156M	Available December 2005	N/A

PERFORMANCE GOAL: Catalyze, reward, and recognize quality and performance improvement practices in U.S. businesses and other organizations (NIST)

PERFORMANCE GOAL RESOURCES (Dollars in Millions)				
	FY 2001 Actual	FY 2002 Actual	FY 2003 Actual	FY 2004 Actual
Total Funding	\$6.5	\$5.1	\$8.2	\$8.3
FTE ¹	49	54	44	40

¹ FTE – Full-Time Equivalent




PERFORMANCE GOAL MEASURES							
	MEASURE	FY 2001 Actual	FY 2002 Actual	FY 2003 Actual	FY 2004 Target	FY 2004 Actual	FY 2004 Met/Not Met
NIST	Number of Baldrige Criteria Disseminated	New	New	948,832	1,032,486	795,726	
	<i>Performance was not met, because:</i> This is a new performance indicator implemented this year and historic trend data were not available when setting the FY 2004 target. Furthermore, this indicator does not capture additional dissemination channels, such as reproduction of the Baldrige Criteria in textbooks, articles, and other documents. Overall interest in the program and the Baldrige Quality concepts remain strong as evidenced by the increased number of applicants for the 2003 and 2004 Malcolm Baldrige National Quality Award and increased attendance at Baldrige sponsored conferences.						
	<i>Strategies for Improvement:</i> NIST will continue to track trends in hardcopy and on-line dissemination of the Baldrige Criteria and will adjust annual projections and targets, if necessary.						
NIST	Percent of applicants indicating satisfaction with the relevance of the feedback report	85%	86%	80% ¹	88%	Available April 2005	N/A

¹ FY 2003 data for this measure have been adjusted; previously reported data represented a projection. The revised figure reported above accurately represents the percent of applicants reporting satisfaction with the relevance of the feedback report.

PERFORMANCE GOAL: Enhance public access to world wide scientific and technical information through improved acquisition and dissemination activities (NTIS)

PERFORMANCE GOAL RESOURCES (Dollars in Millions)				
	FY 2001 Actual	FY 2002 Actual	FY 2003 Actual	FY 2004 Actual
Total Funding	\$34.7	\$27.7	\$27.7	\$19.2
FTE ¹	196	186	181	165

¹ FTE – Full-Time Equivalent

PERFORMANCE GOAL MEASURES							
MEASURE		FY 2001 Actual	FY 2002 Actual	FY 2003 Actual	FY 2004 Target	FY 2004 Actual	FY 2004 Met/Not Met
NTIS	Number of new items available (annual)	505,068	514,129	530,910	525,000	553,235	
NTIS	Number of information products disseminated (annual)	14,524,307	16,074,862	29,134,050	18,000,000	25,476,424	
	Customer Satisfaction	97%	98%	97%	98%	96%	
NTIS	<i>Performance was not met, because:</i> In addition to an abnormally high number of customer complaints of non-receipt, the customer satisfaction performance measure was not met due to problems encountered with orders submitted over the internet. The shopping cart module was misinforming customers that their order had not been processed correctly, so when the customer resubmitted their order it was duplicated. This issue was addressed immediately, and corrective action included redesign of the shopping cart module as well as the interface with the order processing system.						
	<i>Strategies for Improvement:</i> Strategies for improvement include efforts to develop a new functional shopping cart based on the redesigned module mentioned above.						

STRATEGIC OBJECTIVE 2.2

Protect intellectual property and improve the patent and trademark system




OBJECTIVE 2.2 RESOURCES <i>(Dollars in Millions)</i>				
	FY 2001 Actual	FY 2002 Actual	FY 2003 Actual	FY 2004 Actual
Total Funding	\$1,040.6	\$1,144.0	\$1,190.9	\$1,233.0
FTE ¹	6,278	6,593	6,581	6,627


¹ FTE – Full-Time Equivalent

Performance Goal: Improve the quality of patent products and services and optimize patent processing time (USPTO)

PERFORMANCE GOAL RESOURCES <i>(Dollars in Millions)</i>				
	FY 2001 Actual	FY 2002 Actual	FY 2003 Actual	FY 2004 Actual
Total Funding	N/A	N/A	\$1,019.6	\$1,059.0
FTE ¹			5,815	5,832


¹ FTE – Full-Time Equivalent




PERFORMANCE GOAL MEASURES							
	MEASURE	FY 2001 Actual	FY 2002 Actual	FY 2003 Actual	FY 2004 Target	FY 2004 Actual	FY 2004 Met/Not Met
USPTO	Patent Allowance Error Rate	5.4%	4.2%	4.4%	4.0%	5.3% ¹	
	<i>Performance was not met because:</i> The USPTO fell short of its 2004 target however under the 21st Century Strategic Plan, the Patents Organization is enhancing current quality assurance programs to include greater review of work in progress which USPTO anticipates will help achieve the projected 2006 goal.						
	<i>Strategies for Improvement:</i> The USPTO began implementing several quality initiatives, including an enhanced Quality Assurance Program that includes end product reviews, in-process reviews, and enhanced “second pair of eyes” reviews. The feedback from these reviews is used to identify and develop training modules and other quality enhancements. Additionally to ensure that our primary patent examiners maintain the knowledge, skills, and abilities (KSAs) necessary to perform a high quality examination, the USPTO implemented a recertification program, with primary examiners being recertified once every three years. A certification program was also implemented for junior examiners to ensure that they had the required KSAs prior to promotion to the level where they are given legal and negotiation authority.						
USPTO	Patent In-process Reviews Error Rate (New)	N/A	N/A	N/A	Baseline	N/A	N/A
USPTO	Patent First Action Pendency	14.4	16.7	18.3	20.2	20.2 ¹	
USPTO	Patent Total Pendency	24.7	24.0	26.7	29.8	27.6 ¹	
USPTO	Patent examiner certification	New	New	New	Baseline	N/A	N/A
USPTO	Patent examiner re-certification	New	New	New	Baseline	N/A	N/A

PERFORMANCE GOAL MEASURES (continued)							
MEASURE		FY 2001 Actual	FY 2002 Actual	FY 2003 Actual	FY 2004 Target	FY 2004 Actual	FY 2004 Met/Not Met
USPTO	Patent In-process reviews	New	New	New	Baseline	N/A	N/A
USPTO	Patent Efficiency	\$3,210	\$3,376	\$3,329	\$3,502	\$3,556	
	<p><i>Performance was not met, because:</i> This measure is a relative indicator of the efficiency of the patent process, which indicates the degree to which the program can operate within plan costs relative to examiner outputs. Actual costs on a unit basis were more than plan because, although production unit output was 3.5% greater than plan, the high production was offset by even higher costs. The measure is calculated by dividing total annual USPTO expenses associated with the examination and processing of patents, including associated overhead and support expenses, by annual production units. The target is calculated by dividing the enacted budget by the planned number of production units. Total annual USPTO expenses display full program costs that include the cost to the Federal government of providing pension and post-retirement health and life insurance benefits to eligible USPTO employees. These costs are not included in the enacted budget that was used to develop the efficiency measure target. If actual expenses were reduced by these benefit costs, the actual patent efficiency measure would be \$3,440, clearly within target.</p>						
	<p><i>Strategies for Improvement:</i> See USPTO Web site at www.uspto.gov.</p>						
USPTO	Patent Productivity (new)	N/A	N/A	N/A	Baseline	N/A	N/A
<p>¹ FY 2004 estimate that may be updated in the FY 2006 budget submission.</p>							

Performance Goal: Improve the quality of trademark products and services and optimize trademark processing time (USPTO)

PERFORMANCE GOAL RESOURCES (Dollars in Millions)				
	FY 2001 Actual	FY 2002 Actual	FY 2003 Actual	FY 2004 Actual
Total Funding	N/A	N/A	\$119.4	\$112.0
FTE ¹			719	693
<p>¹ FTE – Full-Time Equivalent</p>				





PERFORMANCE GOAL MEASURES							
MEASURE		FY 2001 Actual	FY 2002 Actual	FY 2003 Actual	FY 2004 Target	FY 2004 Actual	FY 2004 Met/Not Met
USPTO	Trademark Final Action Deficiency Rate	3.1%	4.3%	5.3%	5.0%	5.9% ¹	
	<p><i>Performance was not met because:</i> Quality results achieved were within 1% of the target (margin of error) but were not met.</p>						
	<p><i>Strategies for Improvement:</i> Customers are rightly concerned with the quality of the products and services they receive in exchange for the fees they pay. The Trademark Organization has created a new "in-process review" standard for assessing work to create a more comprehensive and meaningful and rigorous review of what constitutes quality. The results of an Examiner's first and final office action are reviewed for the quality of the substantive basis for decision making, search strategy, evidence, and writing. The new measure considers more elements for review and evaluation with training targeted to topics that warrant improvement. Examiners are given specific feedback about their work to further improve quality.</p>						

PERFORMANCE GOAL MEASURES (continued)							
	MEASURE	FY 2001 Actual	FY 2002 Actual	FY 2003 Actual	FY 2004 Target	FY 2004 Actual	FY 2004 Met/Not Met
USPTO	Trademark First Action Pendency (months)	2.7	4.3	5.4	5.4	6.6 ¹	
	<i>Performance was not met because:</i> New application filings were more than 8.5% above the prior year and 6% above plan – (% subject to change based on actual results). Process changes in the fourth quarter created a short-term negative impact by increasing first action pendency.						
	<i>Strategies for Improvement:</i> Trademarks has implemented an aggressive management plan to focus on pendency reduction. The initiatives identified in the USPTO 21st Century Strategic Plan will ultimately have a positive impact on reducing trademark pendency and substantially increase the tools available for the Office to better manage fluctuations in filings and control inventories in the future.						
USPTO	Trademark Average Total Pendency (months)	17.8	19.9	19.8	21.6	19.5 ¹	
USPTO	Trademark In-process reviews	New	New	New	Baseline	N/A	N/A
USPTO	Trademark Efficiency ²	\$501	\$487	\$433	\$583	\$539	
USPTO	Trademark Productivity (new)	N/A	N/A	N/A	Baseline	N/A	N/A
<p>¹ FY 2004 estimate that may be updated in the FY 2006 budget submission.</p> <p>² This measure is a relative indicator of the efficiency of the trademark process, which indicates the degree to which the program can operate within plan costs relative to outputs produced. Actual costs on a unit basis were less than plan because office disposals were 17% above plan. The measure is calculated by dividing total USPTO expenses associated with the examination and processing of trademarks, including associated overhead and support expenses, by outputs (office disposals). The target is calculated by dividing the enacted budget by the planned number of office disposals. The total annual USPTO expenses display the full program costs that include the cost to the Federal government of providing pension and post-retirement health and life insurance benefits to eligible USPTO employees. These costs are not included in the enacted budget that was used to develop the efficiency measure target. Although the Trademark efficiency measure is already within target, if actual expenses were reduced by these benefit costs, the actual trademark efficiency measure would be \$519, an even better result.</p>							

Performance Goal: Create a more flexible organization through transitioning patent and trademark applications to e-government operations and participating in Internet protocol development worldwide (USPTO)

PERFORMANCE GOAL RESOURCES (Dollars in Millions)				
	FY 2001 Actual	FY 2002 Actual	FY 2003 Actual	FY 2004 Actual
Total Funding	N/A	N/A	\$51.9	\$62.0
FTE ¹			47	102

¹ FTE – Full-Time Equivalent

PERFORMANCE GOAL MEASURES							
	MEASURE	FY 2001 Actual	FY 2002 Actual	FY 2003 Actual	FY 2004 Target	FY 2004 Actual	FY 2004 Met/Not Met
USPTO	Patent Applications filed electronically	N/A	New	1.3%	2.0%	1.5% ¹	
	<i>Performance was not met, because:</i> There is likely some reluctance on the part of the patent applicants to file electronically including: (1) customers are familiar with the paper based systems already in place; (2) they have not invested the time and resources necessary to upgrade their internal systems to enable them to file electronically; and (3) they want a simple, user-friendly system which does not require them to change their internal processes.						
	<i>Strategies for Improvement:</i> See USPTO Web site at www.uspto.gov .						
USPTO	Patent Applications Managed Electronically (new)	N/A	N/A	Baseline	70%	88% ¹	
USPTO	Trademark Applications Filed Electronically	Baseline	38.0%	57.5%	65.0%	73% ¹	
USPTO	Trademark Applications Managed Electronically (new)	N/A	N/A	Baseline	100%	98.4% ¹	
	<i>Performance was not met, because:</i> Performance was not met due to the electronic workflow and file management system delay in schedule. The system delivery date was changed several times. The last date was to have been November 2, 2003.						
	<i>Strategies for Improvement:</i> Prior to the passage of the Madrid Protocol it was to have been delivered by October 2002. The result was that the USPTO's plans to deliver full electronic processing of trademark applications was delayed. The schedule to ensure the ability to capture and use electronic file records is being revised to ensure that this measure will be met in the future.						

¹ FY 2004 estimate that may be updated in the FY 2006 budget submission.

STRATEGIC OBJECTIVE 2.3

Advance the development of global e-commerce and enhanced telecommunications and information services

OBJECTIVE 2.3 RESOURCES <i>(Dollars in Millions)</i>				
	FY 2001 Actual	FY 2002 Actual	FY 2003 Actual	FY 2004 Actual
Total Funding	\$121.2	\$96.2	\$97.6	\$84.4
FTE ¹	244	244	251	269

¹ FTE – Full-Time Equivalent

Performance Goal: Increase competition within the telecommunications sector and promote universal access to telecommunications services for all Americans (NTIA)

PERFORMANCE GOAL RESOURCES <i>(Dollars in Millions)</i>				
	FY 2001 Actual	FY 2002 Actual	FY 2003 Actual	FY 2004 Actual
Total Funding	\$3.7	\$4.2	\$3.9	\$4.4
FTE ¹	25	27	26	24





¹ FTE – Full-Time Equivalent

PERFORMANCE GOAL MEASURES							
	MEASURE	FY 2001 Actual	FY 2002 Actual	FY 2003 Actual	FY 2004 Target	FY 2004 Actual	FY 2004 Met/Not Met
NTIA	Provide the policy framework for introduction of new technology	New	New	Policy successes: Spectrum for 3G ultra-wideband; .us and kids.us, ENUM, VoIP, ICANN, Rights of way, CIPA	Policy successes: Spectrum for 3G ultra-wideband; Internet management including ICANN reform; “.us” domain name administration	President’s Spectrum Initiative reports, broadband over power line (BPL) filings, next-generation Internet Protocols, ultrawideband technology, wireless broadband applications, wireless sensor technologies, and Internet management.	
NTIA	Policy customer survey	New	New	New	50 customers	50 customers	

Performance Goal: Efficient and effective allocation of radio spectrum (NTIA)

PERFORMANCE GOAL RESOURCES (Dollars in Millions)				
	FY 2001 Actual	FY 2002 Actual	FY 2003 Actual	FY 2004 Actual
Total Funding	\$21.5	\$23.4	\$24.5	\$28.5
FTE ¹	133	141	147	159

¹ FTE – Full-Time Equivalent




PERFORMANCE GOAL MEASURES							
MEASURE		FY 2001 Actual	FY 2002 Actual	FY 2003 Actual	FY 2004 Target	FY 2004 Actual	FY 2004 Met/Not Met
NTIA	Timeliness of processing	New	New	15	12 business days	<12 business days ¹	
NTIA	Percentage of requests accomplished online	New	New	55%	95%	97%	
NTIA	Completeness and accuracy of agency assignment request	New	New	87%	90%	93%	
NTIA	Customer satisfaction survey on training course	New	New	90%	90% satisfactory or better	95%	

¹ NTIA has reduced the average time to process frequency assignment actions from 15 to less than 12 days. Requested actions to delete or make minor modifications to frequency assignments—approximately half of all frequency assignment actions—are now processed within 5 - 7 days; requested actions to make major modifications and add new frequency assignments—approximately half of all frequency assignment actions—are processed within 14 days. FY 2003 actuals have been changed to reflect this average total time computation.

Performance Goal: Ensure broader availability and support new sources of advanced telecommunications and information services (NTIA)

PERFORMANCE GOAL RESOURCES (Dollars in Millions)				
	FY 2001 Actual	FY 2002 Actual	FY 2003 Actual	FY 2004 Actual
Total Funding	\$96.0	\$68.6	\$69.2	\$51.5
FTE ¹	86	76	78	86

¹ FTE – Full-Time Equivalent

PERFORMANCE GOAL MEASURES							
MEASURE		FY 2001 Actual	FY 2002 Actual	FY 2003 Actual	FY 2004 Target	FY 2004 Actual	FY 2004 Met/Not Met
NTIA	Digital broadcasting conversion	New	New	79	0	31	
NTIA	Quality of basic research as reflected in peer reviewed publications	New	New	5 Publications	6 Publications	7	
NTIA	Level of technology transfer activities conducted with the private sector through the Cooperative Research and Development Agreements	New	New	5 Cooperative Research and Development Agreements	3 Cooperative Research and Development Agreements	5	

STRATEGIC GOAL 3

Observe, protect, and manage the Earth's resources to promote environmental stewardship

STRATEGIC GOAL 3 TOTAL RESOURCES (Dollars in Millions)				
	FY 2001 Actual	FY 2002 Actual	FY 2003 Actual	FY 2004 Actual
Total Funding	\$3,254.8	\$3,398.3	\$3,458.5	\$4,100.0
FTE ¹	11,473	11,585	11,898	12,086
¹ FTE – Full-Time Equivalent				

STRATEGIC OBJECTIVE 3.1

Advance understanding and predict changes in the Earth's environment to meet America's economic, social, and environmental needs

OBJECTIVE 3.1 RESOURCES (Dollars in Millions)				
	FY 2001 Actual	FY 2002 Actual	FY 2003 Actual	FY 2004 Actual
Total Funding	N/A ²	\$1,665.1	\$1,780.7	\$2,254.9
FTE ¹		6,951	6,715	6,888
¹ FTE – Full-Time Equivalent				
² FY 2001 actual data not available by goal.				

PERFORMANCE GOAL: Improve accuracy and timeliness of weather and water information (NOAA)

PERFORMANCE GOAL RESOURCES (Dollars in Millions)				
	FY 2001 Actual	FY 2002 Actual	FY 2003 Actual	FY 2004 Actual
Total Funding	N/A ²	\$1,325.3	\$1,403.9	\$1,763.2
FTE ¹		6,024	6,008	5,921
¹ FTE – Full-Time Equivalent				
² FY 2001 actual data not available by goal.				

PERFORMANCE GOAL MEASURES								
MEASURE			FY 2001 Actual	FY 2002 Actual	FY 2003 Actual	FY 2004 Target	FY 2004 Actual	FY 2004 Met/Not Met
NOAA	Lead time (minutes), accuracy (%), and false alarm rate (FAR, %) for severe weather warnings tornadoes	Lead Time	10	12	13	12	13 ¹	
		Accuracy	67%	76%	79%	72%	76% ¹	
		FAR	72%	73%	76%	70%	75% ¹	
	<p><i>Performance was not met, because:</i> Currently NOAA is meeting two of the three FY 2004 goals for tornadoes. The goal for False Alarm Ratio (FAR) was not met. There is a strong statistical relationship between accuracy and FAR using current technology and operational methodology. A higher rate of accuracy results in a higher FAR. Outyear goals included in the FY 2006 budget have been adjusted to reflect this. National emergency manager and media surveys indicate that a higher false alarm rate is "tolerable" if it results in longer lead times and increased accuracy.</p> <p><i>Strategies for Improvement:</i> Training: NOAA's National Weather Service (NWS) will train local Science Operations Officers on the latest tornado detection and analysis techniques in August and September 2004. Due to the strong statistical relationship between accuracy and FAR, the training for local Science Operations Officers will address warning methodologies which can reduce the FAR.</p> <p><u>NEXRAD/AWIPS (FY 2005-2006):</u> Enhanced Mesocyclone and Tornado Vortex Signature Algorithms will improve AWIPS tornado and analysis detection tools. The inclusion of Federal Aviation Administration Terminal Doppler Weather Radar data in AWIPS will supplement existing WSR 88D data, in some cases provide finer resolution data than the WSR 88D, and provide service backup data if the closest WSR 88D site is inoperable. The deployment of the Open Radar Data Acquisition platform will include Super Resolution WSR 88D data. This technological enhancement has the potential to add 3 minutes to the Average Tornado Warning Lead Time and reduce the FAR.</p>							
NOAA	Lead time (min) and accuracy (%) for severe weather warnings for flash floods	Lead Time	46	52	41	50	50 ¹	
		Accuracy	86%	89%	89%	88%	91% ¹	
NOAA	Hurricane forecast track error (48 hour)	Nautical Miles	New	122	107	129	Available November 2004	N/A
NOAA	Accuracy (%) (threat score) of day 1 precipitation forecasts		New	30	27	25	29	
NOAA	Lead time (hours) and accuracy (%) for winter storm warnings	Lead Time	13	13	14	14	16 ¹	
		Accuracy	90%	89%	90%	89%	90% ¹	
NOAA	Cumulative percentage of U.S. shoreline and inland areas that have improved ability to reduce coastal hazard impacts		8%	8%	17%	17%	17%	



¹ FY 2004 actual projection.

PERFORMANCE GOAL: Increase understanding of climate variability and change (NOAA)

PERFORMANCE GOAL RESOURCES (Dollars in Millions)				
	FY 2001 Actual	FY 2002 Actual	FY 2003 Actual	FY 2004 Actual
Total Funding	N/A ²	\$339.8	\$376.7	\$491.7
FTE ¹		927	707	967

¹ FTE – Full-Time Equivalent
² FY 2001 actual data not available by goal.

PERFORMANCE GOAL MEASURES							
	MEASURE	FY 2001 Actual	FY 2002 Actual	FY 2003 Actual	FY 2004 Target	FY 2004 Actual	FY 2004 Met/Not Met
NOAA	U.S. temperature forecasts (cumulative skill score computed over the regions where predictions are made)	20	18	17	21	17	
	<p><i>Performance was not met, because:</i> For each three month period, seasonal outlooks for U.S. surface temperature are produced by CPC and reported as either warmer than normal, cooler than normal or, where no definite seasonal guidance can be provided, equal chance. These forecasts are verified using a 48 month running mean of Heidke Skill scores computed for seasonal outlooks for each 3-month seasonal mean (e.g., January-February-March mean; February-March-April mean; March-April-May mean; and so on). It is calculated as follows: Heidke skill score: $S = ((c-e)/(t-e)) \times 100$, where c = number of stations where forecast was correct and e = number of stations expected to be correct by chance alone and t = total number of stations where the forecast was made.</p> <p>The FY 2004 goal was not met. This measure is computed using a 48-month running mean; the high scores from the end of the strong El Nino season of 1999-2000 (48 months ago) have dropped out; the current values for this measure are no longer artificially high due to the El Nino/La Nina, and are more indicative of a "real" or "normal" climate skill. The FY 2004 goal was set relatively high during the 1990s when El Nino and La Nina had an unusually strong influence on forecast skill; the current absence of an El Nino/La Nina affecting the climate adds to the difficulty of making a climate forecast.</p>						
	<p><i>Strategies for Improvement:</i> The new Climate Forecast Model was implemented in August of FY 2004. NOAA's National Weather Service (with OGP support) is developing a Climate Test Bed to accelerate the transition of research and development improvements to operational climate predictions. Future collaborative efforts between NWS and OAR's Climate Diagnostics Center (CDC) to address operational intraseasonal-seasonal science modeling ensemble and data assimilation deficiencies. The North American Monsoon Experiment (NAME) is led by CPC's principle scientist and is focused on improving warm-season predictions. NOAA is exploiting land-surface related predictability (e.g., soil moisture prediction) to improve model and statistical forecasts.</p>						
NOAA	New climate observations introduced	132	192	182	275	529	
NOAA	Assess and model carbon sources and sinks throughout the United States	New	Identified Five Pilot Carbon Profiling Sites and four New Oceanic Carbon Tracks	Reduce Uncertainty of Atmospheric Estimates of U.S. Carbon Source/Sink to +/- 0.8 Gt. Carbon per Year	Improve Model-data Fusion Techniques and Reduce Uncertainty of Atmospheric Measurement Estimates of U.S. Carbon Source/Sink to +/- Gt. 0.7 Carbon per Year	+/- 0.5 Gt. Carbon per year	

PERFORMANCE GOAL MEASURES <i>(continued)</i>							
MEASURE		FY 2001 Actual	FY 2002 Actual	FY 2003 Actual	FY 2004 Target	FY 2004 Actual	FY 2004 Met/Not Met
NOAA	Assess and model carbon sources and sinks globally	New	Established three New Global Background Sites as Part of the Global Flask Network	Completed a Working Prototype of a Coupled Carbon-climate Model	Develop Carbon-Climate Scenarios for Input to Assessment	Activities completed	
NOAA	Determine the actual long-term changes in temperature and precipitation over the United States	New	Captured more than 85% of true contiguous U.S. temperature trend and captured more than 35% of true contiguous U.S. precipitation trend.	Captured more than 95% of true contiguous U.S. temperature trend and captured 84% of true contiguous U.S. precipitation trend.	Capture more than 80% of true contiguous U.S. temperature trend and capture more than 55% of true contiguous U.S. precipitation trend.	Captured more than 96% of true contiguous U.S. temperature trend and captured more than 90% of true contiguous U.S. precipitation trend.	
¹ FY 2004 actual projection.							

STRATEGIC OBJECTIVE 3.2

Enhance the conservation and management of coastal and marine resources to meet America's economic, social and environmental needs

OBJECTIVE 3.2 RESOURCES <i>(Dollars in Millions)</i>				
	FY 2001 Actual	FY 2002 Actual	FY 2003 Actual	FY 2004 Actual
Total Funding	N/A ²	\$1,733.2	\$1,677.9	\$1,845.1
FTE ¹		4,634	5,183	5,198




¹ FTE – Full-Time Equivalent
² FY 2001 actual data not available by goal.

PERFORMANCE GOAL: Improve protection, restoration, and management of coastal and ocean resources through ecosystem-based management (NOAA)

PERFORMANCE GOAL RESOURCES <i>(Dollars in Millions)</i>				
	FY 2001 Actual	FY 2002 Actual	FY 2003 Actual	FY 2004 Actual
Total Funding	N/A ²	\$1,461.3	\$1,404.0	\$1,517.3
FTE ¹		3,707	4,005	4,231

¹ FTE – Full-Time Equivalent
² FY 2001 actual data not available by goal.

PERFORMANCE GOAL MEASURES							
	MEASURE	FY 2001 Actual	FY 2002 Actual	FY 2003 Actual	FY 2004 Target	FY 2004 Actual	FY 2004 Met/Not Met
NOAA	Number of overfished major stocks of fish	46	45	43	43	43 ¹	●
NOAA	Number of major stocks with an "unknown" stock status ²	120	88	94	84	85 ¹	●
NOAA	Percentage of plans to rebuild overfished major stocks to sustainable levels ³	93%	90%	90%	96%	90% ¹	●
NOAA	Increase in number of threatened species with lowered risk of extinction	2	7	7	5	7	●

PERFORMANCE GOAL MEASURES (continued)						
MEASURE	FY 2001 Actual	FY 2002 Actual	FY 2003 Actual	FY 2004 Target	FY 2004 Actual	FY 2004 Met/Not Met
NOAA Number of commercial fisheries that have Insignificant marine mammal mortality	2	3	3	8	3 ¹	
	<p><i>Performance was not met, because:</i> The target was not achieved due to delayed completion of regulations that would reduce the mortality of Bottlenose Dolphins in the Atlantic Ocean. The Bottlenose Dolphin Take Reduction Team developed consensus recommendations in 2002 that would have led to a proposed regulation. However, additional information on abundance and mortality was gathered in 2002, leading to revised Take Reduction Team recommendations in 2003. The regulation was further delayed due to an effort to combine this regulation with a regulation to reduce mortality of sea turtles, and also Bottlenose dolphins, in the large mesh gillnet fishery in North Carolina and Virginia state waters. While this process further delayed the publication of the Bottlenose dolphin regulation, the combined regulations were necessary to reduce Bottlenose Dolphin mortality to an acceptable level. The Bottlenose Take Reduction Plan is currently scheduled to be finalized in December 2004. Once completed, this plan will address 9 of the 15 fisheries targeted with this performance measure.</p>					
	<p><i>Strategies for Improvement:</i> NOAA anticipates meeting this target in FY 2005 because of the completion of the Bottlenose Take Reduction Plan.</p>					
NOAA Increase in number of endangered species with lowered risk of extinction	3	5	5	6	5 ¹	
	<p><i>Performance was not met, because:</i> Though many conservation actions have been implemented, resulting improvements in species condition were not deemed large enough to reduce the overall risk of extinction for any endangered species in FY 2004.</p>					
	<p><i>Strategies for Improvement:</i> Species conservation efforts implemented in FY 2004 will contribute to achieving our performance targets for FY 2005-2008.</p>					
NOAA Number of habitat acres restored (annual/cumulative)	1,520	4,300/5,820	5,200/11,020	3,760/14,780	5,562/16,582	

¹ Projection: Actual not available until May 2005.






² The definition of a "major" stock is one for which at least 200,000 pounds of landings occur. Since landings data are updated every 1-2 years, the group of stocks classified as "major" changes. NOAA's FY 2003 and FY 2004 targets were set according to the 2002 classifications. However, in the 2003 Report to Congress on the Status of Fisheries new landings data resulted in a classification of an additional eight stocks with an unknown status as "major." If adjusted to reflect this increase in stocks classified as major, the FY 2004 target would have been 92. Therefore NOAA has exceeded this adjusted target.


³ The original target of 96% was an error. Based on the 2002 Report to Congress on the Status of Fisheries, the FY 2004 target should be 90%.

PERFORMANCE GOAL: Support the Nation’s commerce with information for safe, efficient, and environmentally sound transportation (NOAA)

PERFORMANCE GOAL RESOURCES (Dollars in Millions)				
	FY 2001 Actual	FY 2002 Actual	FY 2003 Actual	FY 2004 Actual
Total Funding	N/A ²	\$271.9	\$273.9	\$327.8
FTE ¹		927	1,178	967

¹ FTE – Full-Time Equivalent
² FY 2001 actual data not available by goal.

PERFORMANCE GOAL MEASURES								
MEASURE		FY 2001 Actual	FY 2002 Actual	FY 2003 Actual	FY 2004 Target	FY 2004 Actual	FY 2004 Met/Not Met	
NOAA	Reduce the hydrographic survey backlog within navigationally significant areas (square nautical miles surveyed per year)	2,963	1,514	1,762	2,290	2,070		
	<i>Performance was not met, because:</i> Target for hydrographic surveying miles acquired was not met due to vessel constraints (activation of NOAA Survey Vessel FAIRWEATHER and contract vessel were unexpectedly delayed until FY 2004’s end), meaning loss of planned performance. FY 2004 production was impacted by emergency repairs to aging RAINIER’s propulsion system; time in dry dock was quite significant because it was during the limited good weather window for safe and efficient survey operations in critical Alaskan waters.							
	<i>Strategies for Improvement:</i> NOAA anticipates that in FY 2005, all ships will be fully operational throughout the year.							
NOAA	Percentage of National Spatial Reference System (NSRS) completed (cumulative %)	75%	83%	84%	87%	88.2% ¹		
NOAA	Accuracy (%) and false alarm rate (FAR) (%) for forecasts of ceiling and visibility (aviation forecasts)	Accuracy	New Measure	45	48	46	45 ¹	
		FAR	New Measure	71	64	70	64 ¹	
	<i>Performance was not met, because:</i> NOAA will meet the FY 2004 goal for aviation False Alarm Ratio, but will not meet the FY 2004 goal of 46% for aviation forecast accuracy (data thru July 2004). There is a tradeoff relationship between aviation forecast accuracy and aviation False Alarm Ratio (FAR). The tradeoff for improving FARs (Since 2002, the FAR has been reduced about 9%) is usually significantly lower accuracy scores. However, despite this tradeoff, accuracy scores have remained steady (currently only 2% below FY 2004 goal), while the FAR has been improved (lowered); this shows an improvement in forecasting.							
<i>Strategies for Improvement:</i> <u>AWIPS Software:</u> Aviation Forecast Preparation Software (AVNFPS) will begin testing in the first quarter of FY 2005 to improve the 0-6 hour portion of the TAF (Terminal Aerodrome Forecast). <u>Training:</u> In FY 2005 and FY 2006, NWS will fund two Distance Learning Aviation Courses (DLACs) developed by Cooperative Program for Meteorology, Education and Training (COMET). One course will focus on convective forecasting and the other on building a better TAF. Training will also be conducted with the Weather Event Simulator for ceiling and visibility. <u>Verification:</u> Updated and improved verification and forecaster feedback tools have been fielded and will be tested throughout FY 2005.								
NOAA	Accuracy (%) for marine wind speed forecasts	Accuracy	New Measure	52	57	57	57 ¹	

PERFORMANCE GOAL MEASURES								
MEASURE		FY 2001 Actual	FY 2002 Actual	FY 2003 Actual	FY 2004 Target	FY 2004 Actual	FY 2004 Met/Not Met	
NOAA	Accuracy (%) for marine wave height forecasts	Accuracy	New Measure	68	71	69	67 ¹	
	<p><i>Performance was not met, because:</i> The target for Marine Wave Height was not met because not as many extreme events (only 210 through 7/31/04) occurred. Forecast wave height accuracy peaked in FY 2003 because there were a large number of extreme events (306 total, 297 by 7/31/03). Marine wave height forecast scores naturally vary (accuracy +/- 4% per year) due to fluctuations in the number of extreme events measured over NWS marine areas per year. The higher the number of extreme events, the greater opportunity a forecaster has to increase forecast performance/accuracy. 80-90% of extreme events occur during the cold season (Nov-Mar). Performance in first and second quarter has a high impact on final score.</p> <p><i>Strategies for Improvement:</i> <u>AWIPS Upgrades:</u> SAFESEAS (System on AWIPS for Forecasting and Evaluation of Seas and Lakes) decision assistance tools provide the capability to display and monitor marine observations, view past trends for these observations, and alert forecasters of intensifying conditions over maritime zones.</p> <p><u>Marine Observations:</u> Partnerships with boating organizations will provide new sources of observations, in places where none exist now, that can be displayed on AWIPS. The more sources of marine observations improve forecaster performance.</p> <p><u>Training:</u> Marine Professional Development Series is currently underway, with a new module scheduled to be online by the end of FY 2004. Regional marine workshops for marine program leaders will also help improve scores in the future.</p>							
¹ FY 2004 actual projection.								

MANAGEMENT INTEGRATION GOAL

Achieve organizational and management excellence






MANAGEMENT INTEGRATION GOAL RESOURCES (Dollars in Millions)				
	FY 2001 Actual	FY 2002 Actual	FY 2003 Actual	FY 2004 Actual
Total Funding	\$65.9	\$74.9	\$73.4	\$75.8
FTE ¹	310	319	326	310




¹ FTE – Full-Time Equivalent

PERFORMANCE GOAL: Ensure effective resource stewardship in support of the Department's programs (DM)

PERFORMANCE GOAL RESOURCES (Dollars in Millions)				
	FY 2001 Actual	FY 2002 Actual	FY 2003 Actual	FY 2004 Actual
Total Funding	\$36.0	\$43.0	\$39.4	\$42.9
FTE ¹	129	139	144	136

¹ FTE – Full-Time Equivalent

PERFORMANCE GOAL MEASURES							
	MEASURE	FY 2001 Actual	FY 2002 Actual	FY 2003 Actual	FY 2004 Target	FY 2004 Actual	FY 2004 Met/Not Met
DM	Clean audit opinion on Department's consolidated financial statements	100%	100%	100%	100%	100%	
DM	Consolidate Commerce-wide integrated financial management system platforms	N/A	N/A	N/A	Reduce platforms from 5 to 3.	Platforms reduced from 5 to 4.	
DM	<p><i>Performance was not met, because:</i> The Office of Financial Management (OFM) did not reduce the number of platforms running CAMS from 5 to 3. Other program priorities have caused the Department to reschedule the completion of this milestone to the end of FY 2006.</p> <p><i>Strategies for Improvement:</i> The Department has identified this as a priority in focusing resources to enable it to accomplish this goal in FY 2006.</p>						
DM	Implement competitive sourcing	Inventory submitted on 6/29/01.	1% completed and management plan in place to accomplish cumulative goal for FY 2002/2003,	Combined target for FY 2002/2003 was 1203 FTEs. Competed 534 FTEs or 6.6% of new target of 800 FTEs.	Multi-year plan under development.	New Departmental FAIR Inventory Guidance has been developed.	
DM	Funds obligated through performance-based contracting	25% of eligible service contracting dollars.	31% of \$795M ¹	24% of \$605M ¹	40% of eligible service contracting dollars.	42% of \$806M ¹	
DM	Small purchases made using credit cards	92% of actions below \$25,000	95% of actions below \$25,000	97% of actions below \$25,000	90% of actions below \$25,000	> 90% of actions below \$25,000	



PERFORMANCE GOAL MEASURES (continued)							
MEASURE		FY 2001 Actual	FY 2002 Actual	FY 2003 Actual	FY 2004 Target	FY 2004 Actual	FY 2004 Met/Not Met
DM	Increase percentage of total obligations awarded as contracts to small businesses	Small business 50%	Small business 52%	Small business 53.34%	Small business 42%	Small business 61.95% ²	
DM	Ensure a secure workplace for all Commerce employees	Conducted 32 studies of classified computer systems.	Established DOC COOP; 47 risk assessments completed.	Reviewed COOPs for 16 Commerce components, including the Office of the Secretary, the Office of the Inspector General, and U.S. Patent and Trademark Office. Conducted compliance reviews of over 450 security containers and 550 sensitive documents. Conducted 40 risk assessment surveys.	Conduct 40 compliance reviews of security programs and classified systems, develop comprehensive COOP compliance and oversight program, and identify Commerce-specific security concerns.	Conducted compliance reviews of 368 security containers and 1,762 sensitive documents. Additionally, conducted 141 physical security risk assessment surveys. Further, performed reviews and tests (to include deployment exercises) related to DOC/Bureau COOP Plans. Lastly, completing final coordination of Departmental Administrative Order relating to Foreign Visitors, which has been designed to mitigate the Department's espionage risk.	
DM	Ensure a safe workplace for all Commerce employees	N/A	Developed safety action plan, reinvigorated the Commerce Safety Council to communicate safety issues, appointed a new agency safety and health official to spearhead safety efforts, established performance element for senior executives, and developed a web-based safety awareness training program.	Employee education and awareness training activities were implemented, including safety awareness training at the SES and supervisory levels, and evacuation training. Implemented safety website, published safety reports, and distributed safety brochures.	Implement a facility safety assessment program and conduct 10 facility safety assessments and 2 industrial hygiene surveys at DOC facilities, and provide safety training for 100 DOC employees.	Conducted 13 facility assessments and 5 industrial hygiene surveys at DOC facilities, provided safety training for escape hood training, fire extinguisher training, and new safety training for new employee orientation.	




¹ These dollar amounts represent eligible service contracting dollars, and are reported in the Federal Procurement Data System as of 9/17/04.
² Projection as of 9/23/04.

PERFORMANCE GOAL: Strategic management of human capital (DM)

PERFORMANCE GOAL RESOURCES <i>(Dollars in Millions)</i>				
	FY 2001 Actual	FY 2002 Actual	FY 2003 Actual	FY 2004 Actual
Total Funding	\$3.0	\$4.0	\$4.1	\$5.3
FTE ¹	24	23	23	22

¹ FTE – Full-Time Equivalent








PERFORMANCE GOAL MEASURES							
	MEASURE	FY 2001 Actual	FY 2002 Actual	FY 2003 Actual	FY 2004 Target	FY 2004 Actual	FY 2004 Met/Not Met
DM	Strategic competencies—ensure competency in leadership and in mission-critical occupations	Automated tools used by 3 pilot test offices	Completed final workforce restructuring plan in June 2002. Mission-critical competencies identified. Candidate development program (CDP) implementation plan was developed, which provides for the identification of gaps.	Implemented succession planning strategies, identified staffing and retention targets for 20 mission critical occupations, announced SES CDP, and received 204 applications.	Enroll new SES CDP participants.	The SES CDP kick-off began in September 2004 with 35 candidates selected from 270 applicants in mission-critical occupations through an OPM assessment center process.	
DM	Strategic competencies—ensure comprehensive training and development strategies	N/A	General and supervisory training policies implemented.	The Department completed needs assessments for targeted employee groups, and successfully implemented over 1200 e-learning courses in the learning management system (LMS).	Implement learning management online system in the Office of the Secretary.	The LMS was implemented in the Office of the Secretary. In addition, a memorandum of understanding was signed by all bureaus for Department-wide implementation which began in July 2004.	

PERFORMANCE GOAL MEASURES (continued)						
MEASURE	FY 2001 Actual	FY 2002 Actual	FY 2003 Actual	FY 2004 Target	FY 2004 Actual	FY 2004 Met/Not Met
DM Strategic competencies—ensure diverse candidate recruitment	Developed and implemented resume database. Sponsored 19 recruitment activities and marketed more than 350 resumes with Department managers.	Completed refining resume data base, participated in 25 recruitment activities, implemented awareness campaign with Department managers.	Completed a survey of effectiveness and utilization of recruitment activities, and determined Department's hiring baseline, including analysis by race and national origin, and occupation.	Assess efficacy of recruitment approaches.	Corporate recruitment strategy implemented to include training and deploying 25 diverse recruiters to 45 career fair events. Four members of the SES met with University administrators to initiate 12 partnerships with Hispanic Serving Institutions (HSIs) and Minority Serving Institutions (MSIs). Results were 26% or 146 of 561 hires in 6/04 were members of minority groups, including 61 (3.7%) Hispanic hires. The Post Secondary Intern Program was used to develop a pipeline for entry-level diverse hires using the Student Career Employment Program (formerly Co-op program).	
DM Efficiency and effectiveness of hiring systems using the Commerce Opportunities On-Line (COOL) System	COOL phase III created and fill time identified at 38 days.	Incomplete data.	Reduced fill time to 21 days, and completed an assessment survey of the 304 managers who used COOL.	Maintain fill time standard of 30 days and assess applicants' and bureaus' satisfaction with COOL.	Maintained fill time at 21 days. Reviewed survey data of applicants' and bureaus' satisfaction with COOL.	
DM Increase the alignment of performance management with mission accomplishment	Tracking system for aligning ratings with mission accomplishment and overall recognition designed.	All SES were placed on new performance management system in June. The system links management of PMA, individual, and organizational performance and results.	Commerce GS and equivalent performance management systems are linked through the use of performance metrics tied to the APP.	Cascade new performance elements to 60% of the supervisory ranks.	Performance elements which align critical elements to the strategic plan were cascaded to 100% of the supervisory ranks. Commerce applied for provisional approval of new SES plans.	

PERFORMANCE GOAL: Acquire and manage the technology resources to support program goals (DM)

PERFORMANCE GOAL RESOURCES <i>(Dollars in Millions)</i>				
	FY 2001 Actual	FY 2002 Actual	FY 2003 Actual	FY 2004 Actual
Total Funding	\$7.0	\$7.0	\$7.9	\$6.6
FTE ¹	18	21	19	24




¹ FTE – Full-Time Equivalent

PERFORMANCE GOAL MEASURES							
MEASURE		FY 2001 Actual	FY 2002 Actual	FY 2003 Actual	FY 2004 Target	FY 2004 Actual	FY 2004 Met/Not Met
DM	Transactions converted to electronic format	28 (23% of 123 total)	67 (54% of 123 total)	107 (50% of 214 total)	149 (70% of 214 total)	172 (80% of 214 total)	
DM	IT planning and investment review program maturity <i>(on a scale of 0-5)</i>	2	41% at 3 or higher	73% at 3 or higher; 5% at 4 or higher	60% at 3 or higher; 10% at 4 or higher	68% at 3 or higher; 18% at 4 or higher	
DM	IT architecture program maturity <i>(on a scale of 0-5)</i>	1.5	82% at 2 or higher; 59% at 3 or higher	91% at 2 or higher; 77% at 3 or higher	60% at 3 or higher; 10% at 4 or higher	77% at 3 or higher; 36% at 4 or higher	
DM	IT security program maturity <i>(on a scale of 0-5)</i>	100% at 1 or higher; 60% at 2 or higher	70% at 2 or higher; 48% at 3 or higher; 26% at 4 or higher.	100% at 2 or higher; 79% at 3 or higher; 7% at 4 or higher	85% at 3 or higher; 33% at 4 or higher	100% at 3 or higher; 36% at 4 or higher	
DM	Percentage of IT system security plans completed	61%	98%	100%	100%	100%	
DM	Percentage of IT systems certified and accredited	N/A	N/A	N/A	85%	97%	
DM	Percentage of unsuccessful intrusion attempts	86% (1,380 of 1,620 intrusion attempts)	87% (1,441 of 1,655 intrusion attempts)	85% (560 of 661 intrusion attempts)	85%	94% (1,486 of 1,587 intrusion attempts)	

PERFORMANCE GOAL: Promote improvements to Commerce programs and operations by identifying and completing work that (1) promotes integrity, efficiency, and effectiveness and (2) prevents and detects fraud, waste and abuse (OIG)

PERFORMANCE GOAL RESOURCES <i>(Dollars in Millions)</i>				
	FY 2001 Actual	FY 2002 Actual	FY 2003 Actual	FY 2004 Actual
Total Funding	\$19.9	\$20.9	\$22.0	\$21.0
FTE ¹	139	136	140	128

¹ FTE – Full-Time Equivalent

PERFORMANCE GOAL MEASURES							
MEASURE		FY 2001 Actual	FY 2002 Actual	FY 2003 Actual	FY 2004 Target	FY 2004 Actual	FY 2004 Met/Not Met
OIG	Percentage of Commerce's management challenges, stakeholder concerns and other critical issues addressed by OIG work products	Modified	Modified	58%	51%	60%	
OIG	Percentage of OIG recommendations accepted by departmental and bureau management	95%	95%	97%	90%	97.5%	
OIG	Dollar value of financial benefits identified by OIG	Modified	Modified	\$43,323,124	\$20,000,000	\$26,000,000	
OIG	Percentage of criminal and civil matters that are accepted for prosecution	Modified	Modified	50%	50%	67%	